

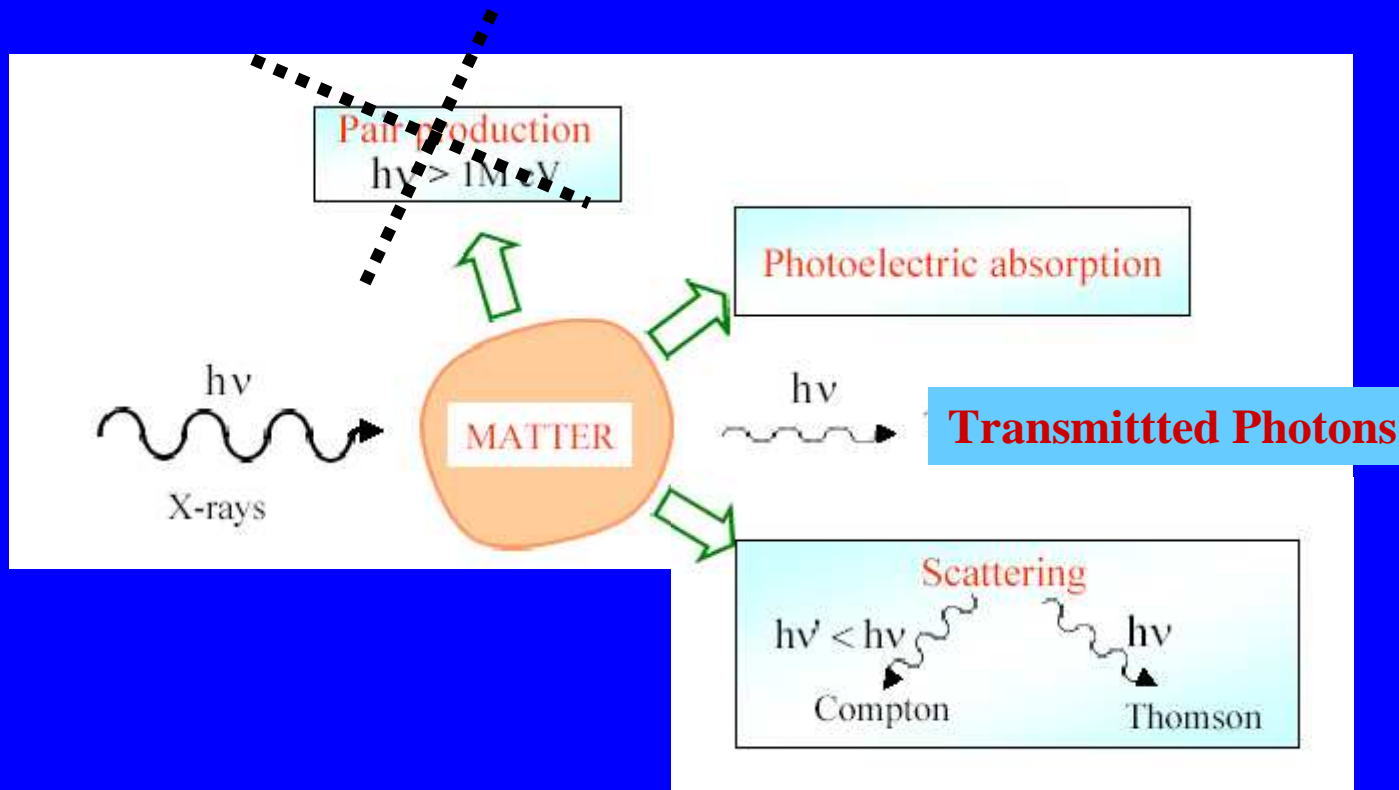
# **Interaction between matter and radiation: an introduction**

**Overview of the basic processes**  
**Classical approach**  
**Semi-classical approach**

**Basic elements to follow lectures**

**Relativistic effects**

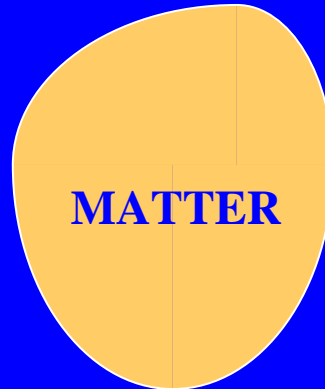
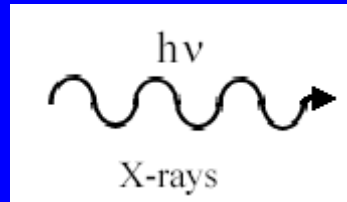
# Main interactions



**Photon absorption:** excitation  
with or without emission of electrons

**Photon scattering:** elastic  $\rightarrow$  Thompson (Magnetic)  
inelastic  $\rightarrow$  Compton (Raman)  
Resonant (elastic and inelastic)

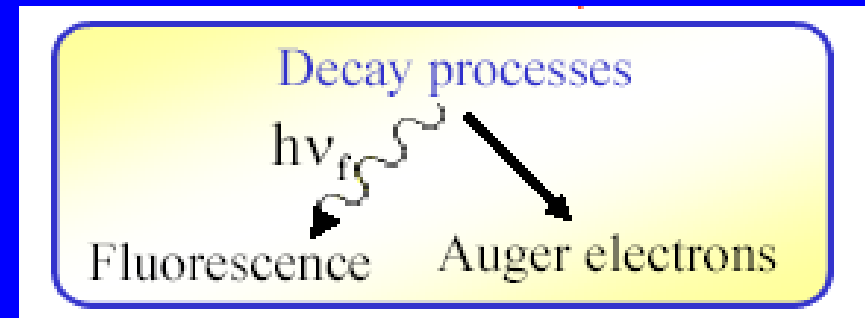
# Indirect effects: decay processes



*Matter is excited by the radiation*



**It loose energy through decay processes**



# Experimental techniques

**Absorption  
Photoemission**

**Fluorescence Yield  
Auger spectroscopy**

**Scattering:**

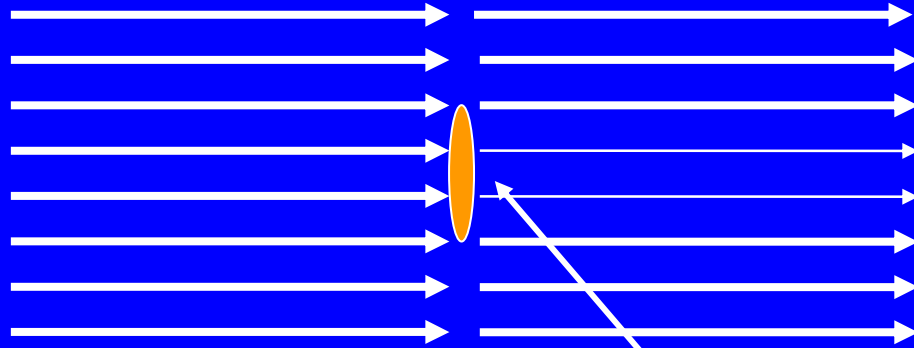
**Elastic Scattering: Diffraction, SAXS**

**Inelastic Scattering: Compton, IXS**

**Resonant scattering: RIXS**

**Imaging**

## The cross section $\sigma$



$$I_{\text{in}} = \frac{N_{\text{in}}}{\Delta S \Delta t}$$

$\sigma$

$$N_{\text{out}} = N_{\text{in}} \frac{(\Delta S - \sigma)}{\Delta S}$$



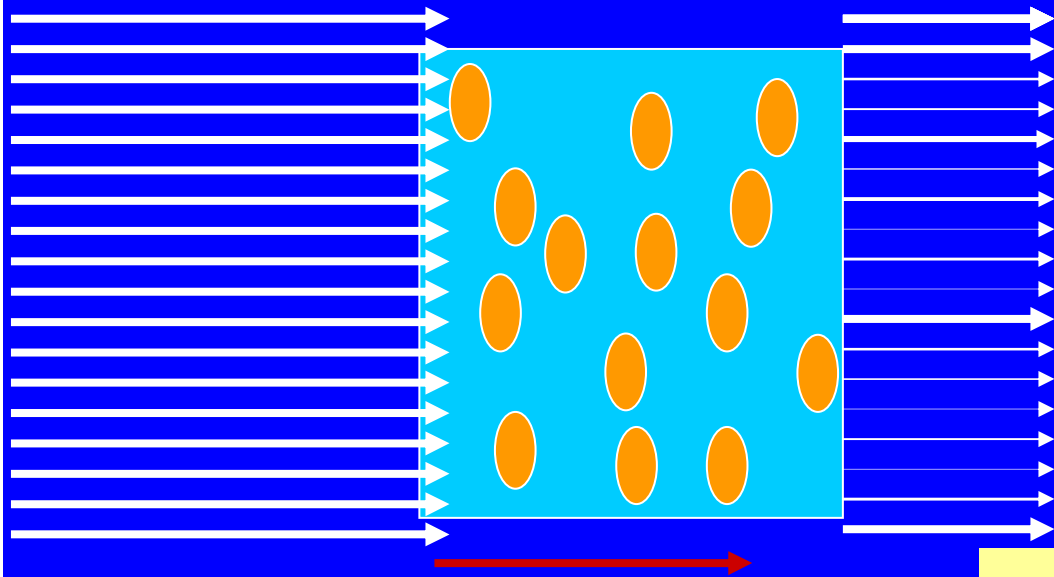
$$I_{\text{out}} = I_{\text{in}} \frac{(\Delta S - \sigma)}{\Delta S}$$

$$\frac{\Delta I}{I_{\text{in}}} = - \frac{\sigma}{\Delta S}$$

$\sigma$  has no geometrical meaning:  
it is a measure of the  
interaction  
It is called “cross section”

$$1 \text{ barn} = 10^{-24} \text{ cm}^2$$

## The cross section $\sigma$ – II



$\rho$  is the density  
of the objects

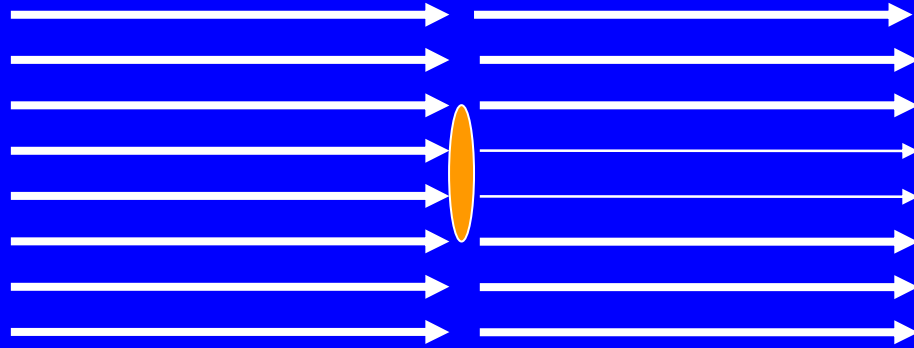
$$\sigma_{\text{tot}} = \sigma \rho \Delta S \Delta x$$

$$-\frac{\Delta I}{I_{\text{in}}} = \frac{\sigma_{\text{tot}}}{\Delta S} = \sigma \rho \Delta x$$

$$\frac{dI}{I_{\text{in}}} = -\sigma \rho dx$$

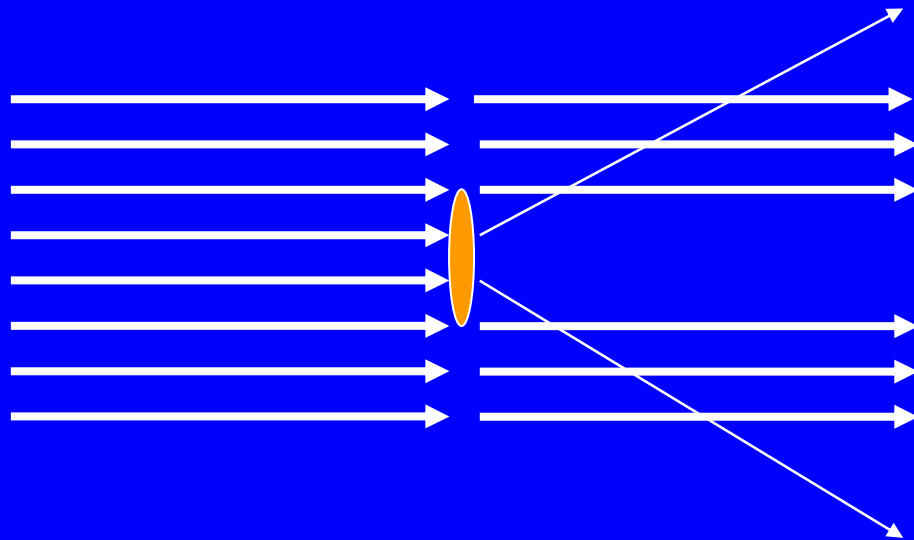
$$I(x) = I_{\text{in}} e^{-\sigma \rho x}$$

## Origin of the cross section



**Absorption**  
Photons are removed  
from the beam

$$\sigma_{\text{abs.}}$$

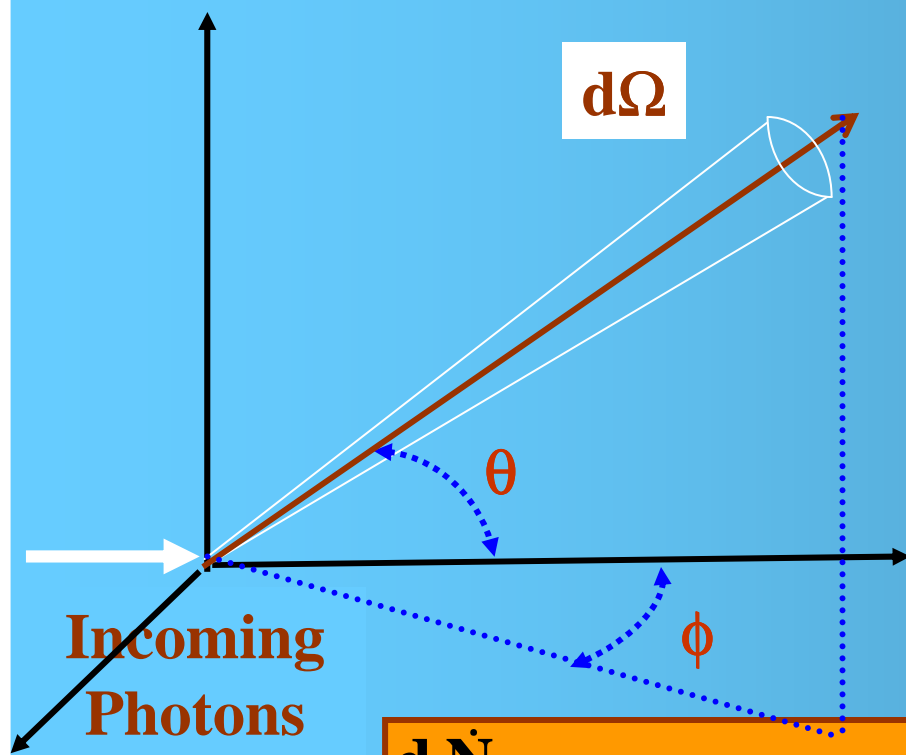


**Scattering**  
Photons are scattered  
into a different direction

$$\sigma_{\text{scatt.}}$$

$$\text{Total cross section } \sigma = \sigma_{\text{abs.}} + \sigma_{\text{scatt.}}$$

# Differential Cross Section $d\sigma/d\Omega$



Outcoming photons

$$\frac{dI}{I_{in}} = -\sigma \rho dx$$

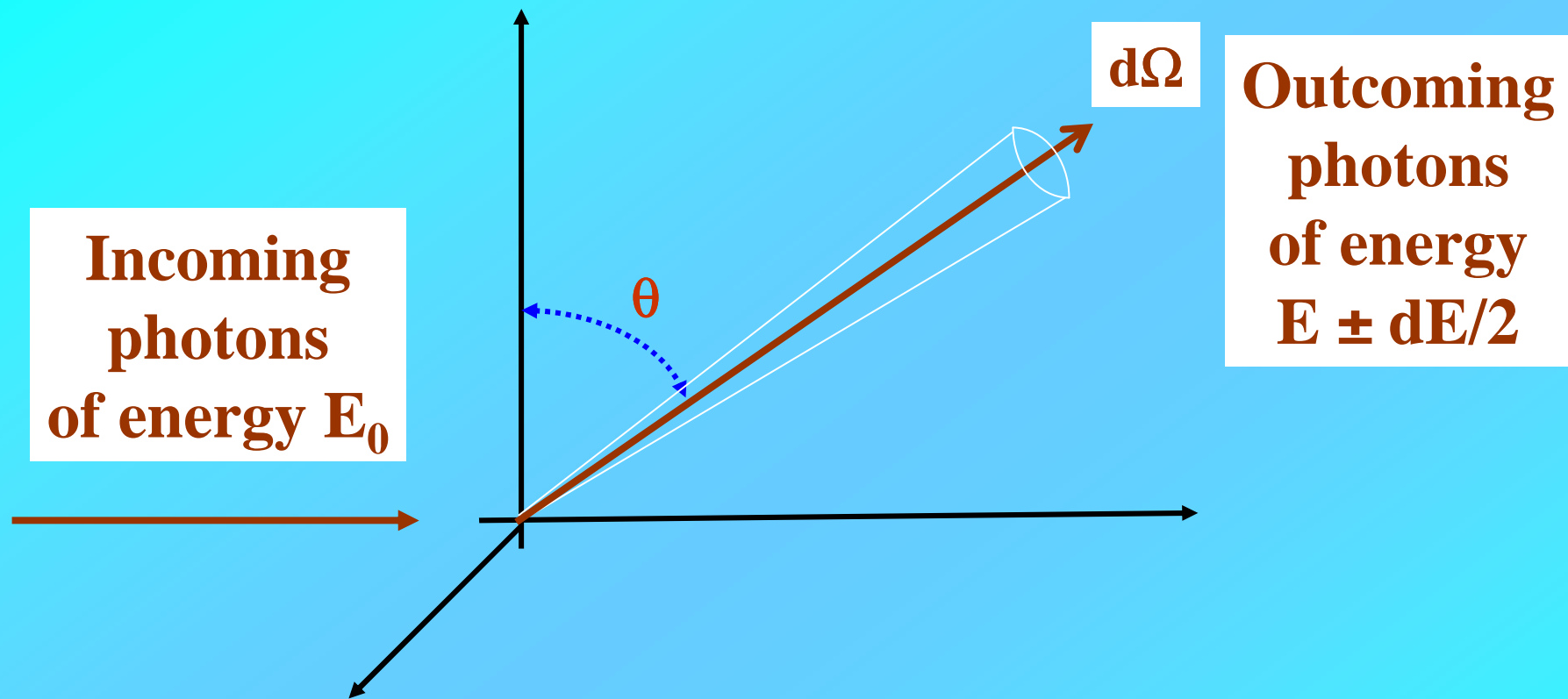
$$\frac{d\dot{N}_{\text{scattered photons}}}{\dot{N}_{\text{incoming photons}}} = \left( \frac{d\sigma}{d\Omega} \right) d\Omega \times (\rho dx)$$

$$\frac{d\sigma}{d\Omega} = \frac{d\sigma(\theta, \phi)}{d\Omega}$$

$$\sigma_{\text{scatt}} = \int \frac{d\sigma(\theta, \phi)}{d\Omega} d\Omega$$

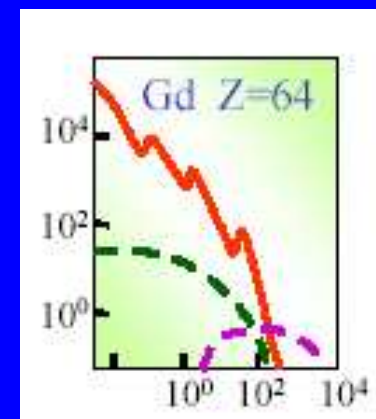
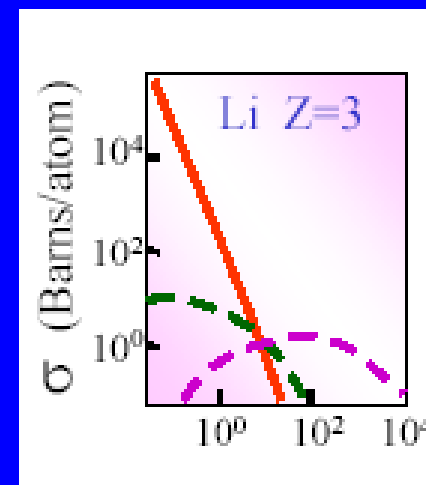
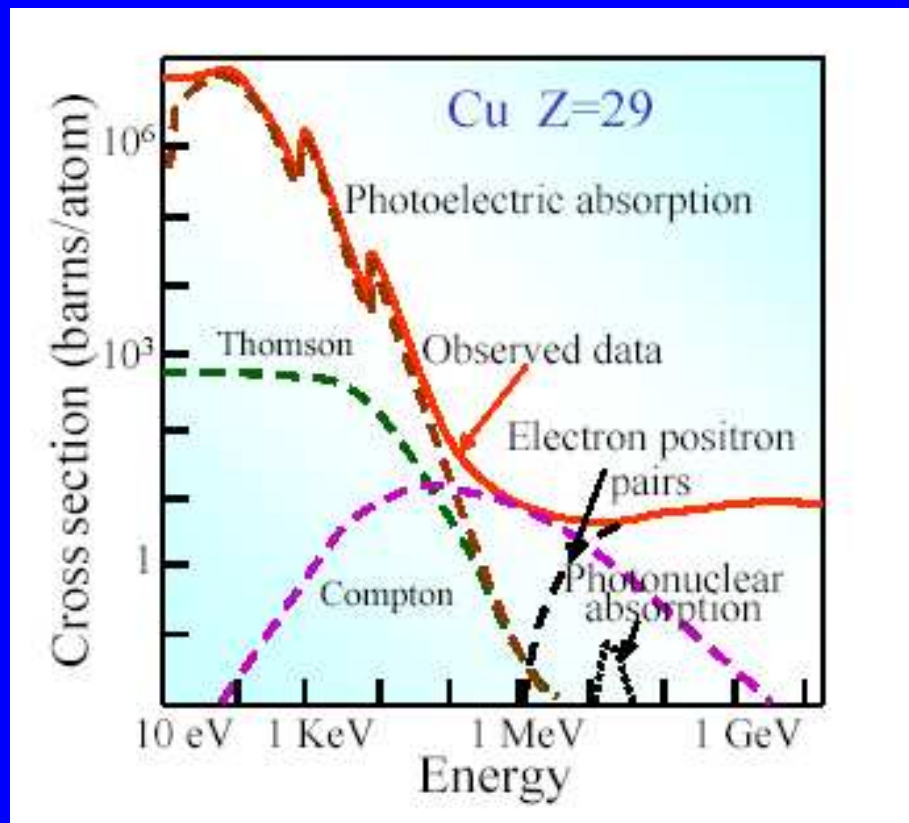


## Double Differential Cross Section $d^2\sigma/d\Omega dE$



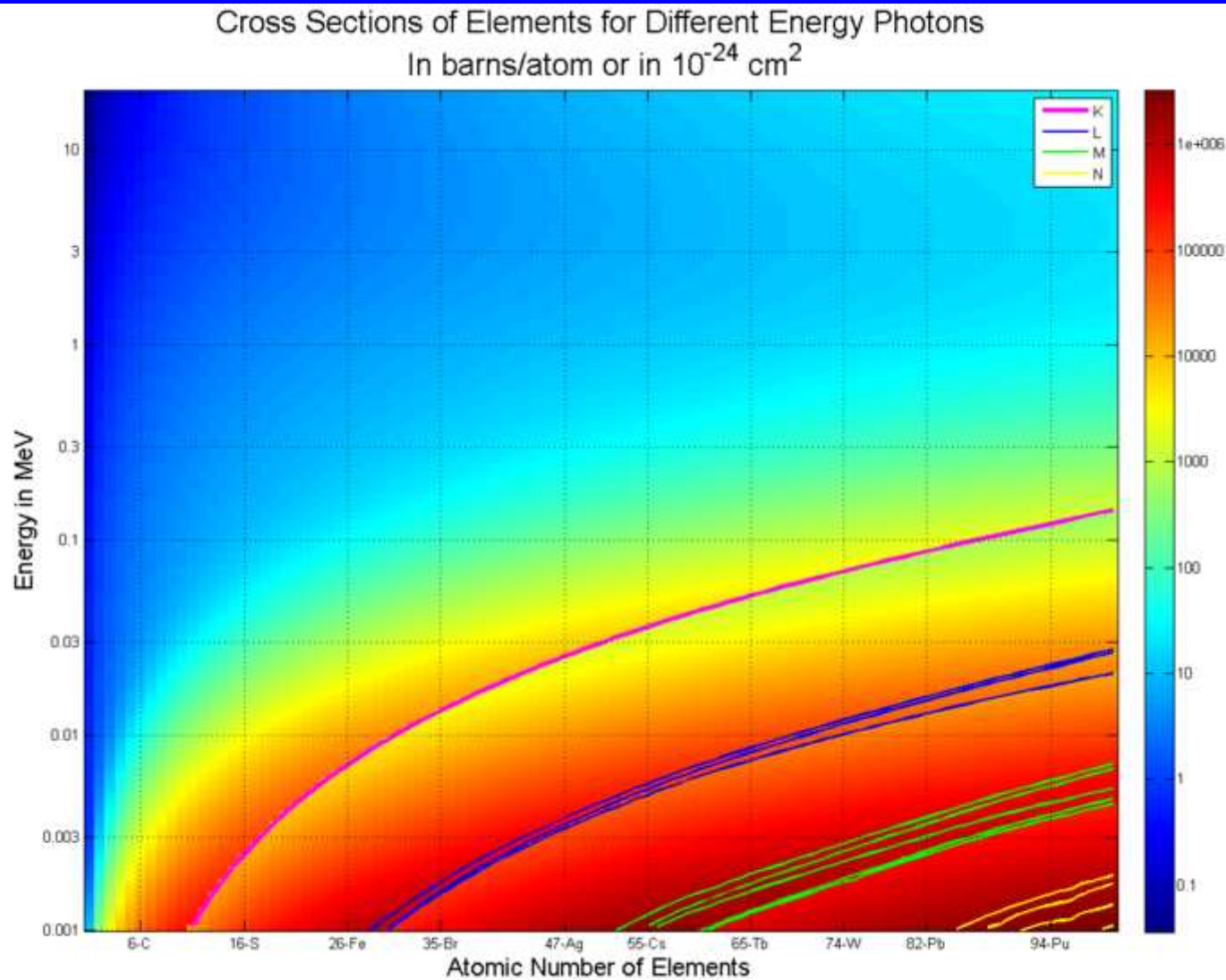
$$\frac{d^2\dot{N}_{\text{events}}}{d\Omega dE} = \dot{N}_{\text{photons}} \times \left[ \rho dx \right] \times \left( \frac{d^2\sigma}{d\Omega dE} \right)$$

# Total cross section $\sigma$ of atoms

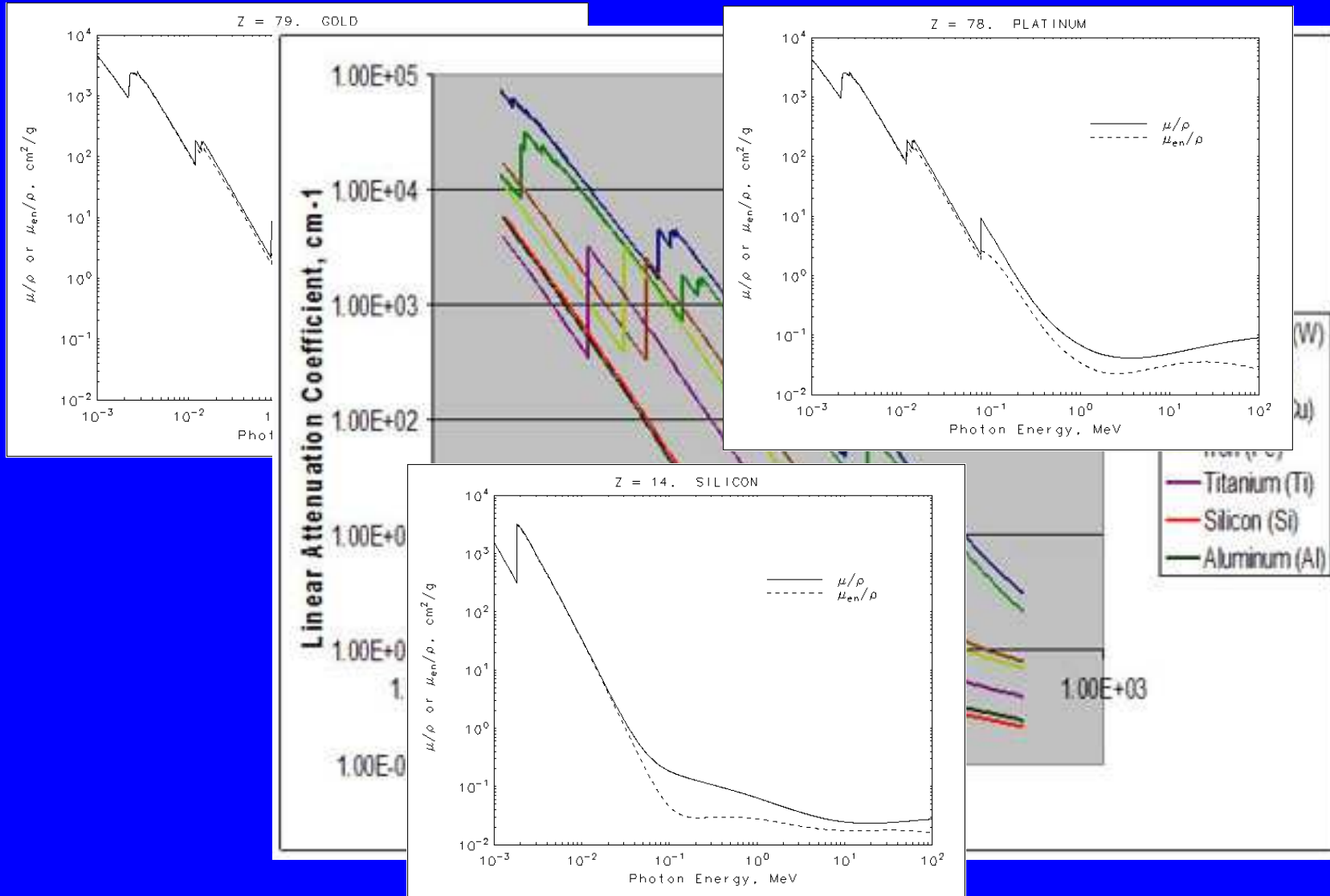


1 Barn =  $10^{-24}$  cm<sup>2</sup>

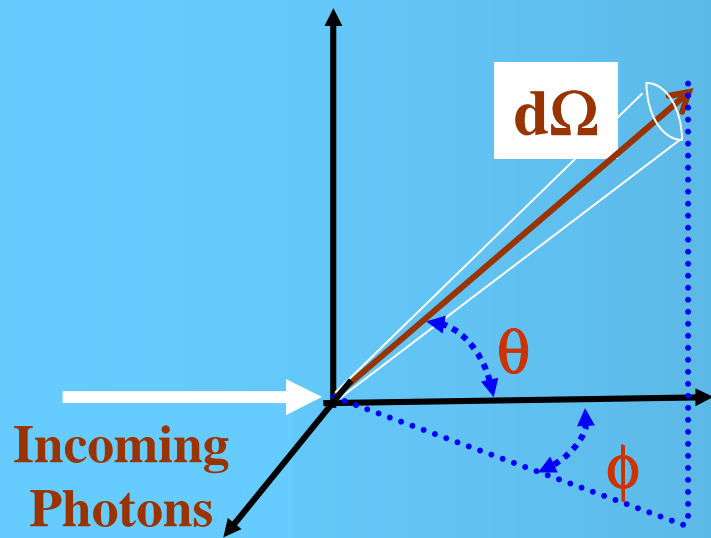
# Absorption cross section $\sigma$ of atoms



# Absorption cross section $\sigma$ of atoms



# Cross section & Probability



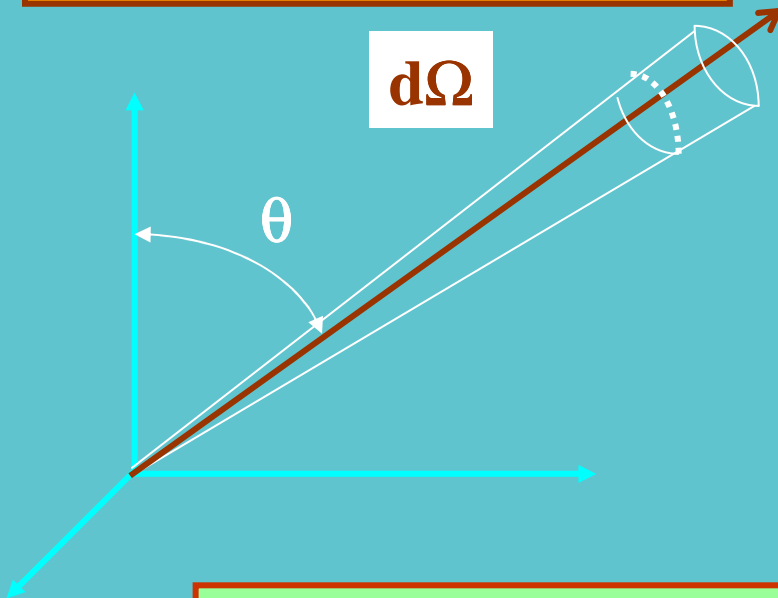
$$\frac{d\dot{N}_{\text{photons}}}{\dot{N}_{\text{photons}}} = \left( \frac{d\sigma}{d\Omega} \right) d\Omega \times \left( \rho dx \right)$$

Probability

## Cross Sections: Classical definition

$$\frac{d\dot{N}_{sc.}}{\dot{N}_{in}} = \left( \frac{d\sigma}{d\Omega} \right) d\Omega \times (\rho dx)$$

$$\frac{dI}{d\Omega} = I_0 \times \left( \frac{d\sigma}{d\Omega} \right) \times (\rho dx)$$



**Differential cross section:**  
it is the differential power  
scattered in  $d\Omega$   
normalized to the incoming power  
and to the scattering objects

$\sigma$  is the intensity lost for  
absorption normalized to the  
incoming power and to the  
absorption object

$$\frac{dI}{I_{in}} = -\sigma \rho dx$$

# Matter $\leftarrow$ Interaction $\rightarrow$ Radiation I

## Classical approach

### **Radiation:**

Electromagnetic waves described by Maxwell equations

### **Matter:**

Macroscopic optical constants

### **Deeper:**

microscopic description of the matter as an ensemble of  
classical oscillator

# Classical Approach

•Radiation: Electromagnetic wave composed of  $\mathbf{E}$  and  $\mathbf{B}$

•Matter: Optical constant

Refraction index:  $n(\lambda)$

Absorption coefficient:  $\mu(\lambda)$

Interaction: Lorentz force

$$\vec{\mathbf{F}} = q(\vec{\mathbf{E}} + \vec{\mathbf{v}} \times \vec{\mathbf{B}})$$

Measurement: gives  $n(\lambda)$  and  $\mu(\lambda)$

Microscopic model of the charge in motion  $\rightarrow n(\lambda)$  and  $\mu(\lambda)$

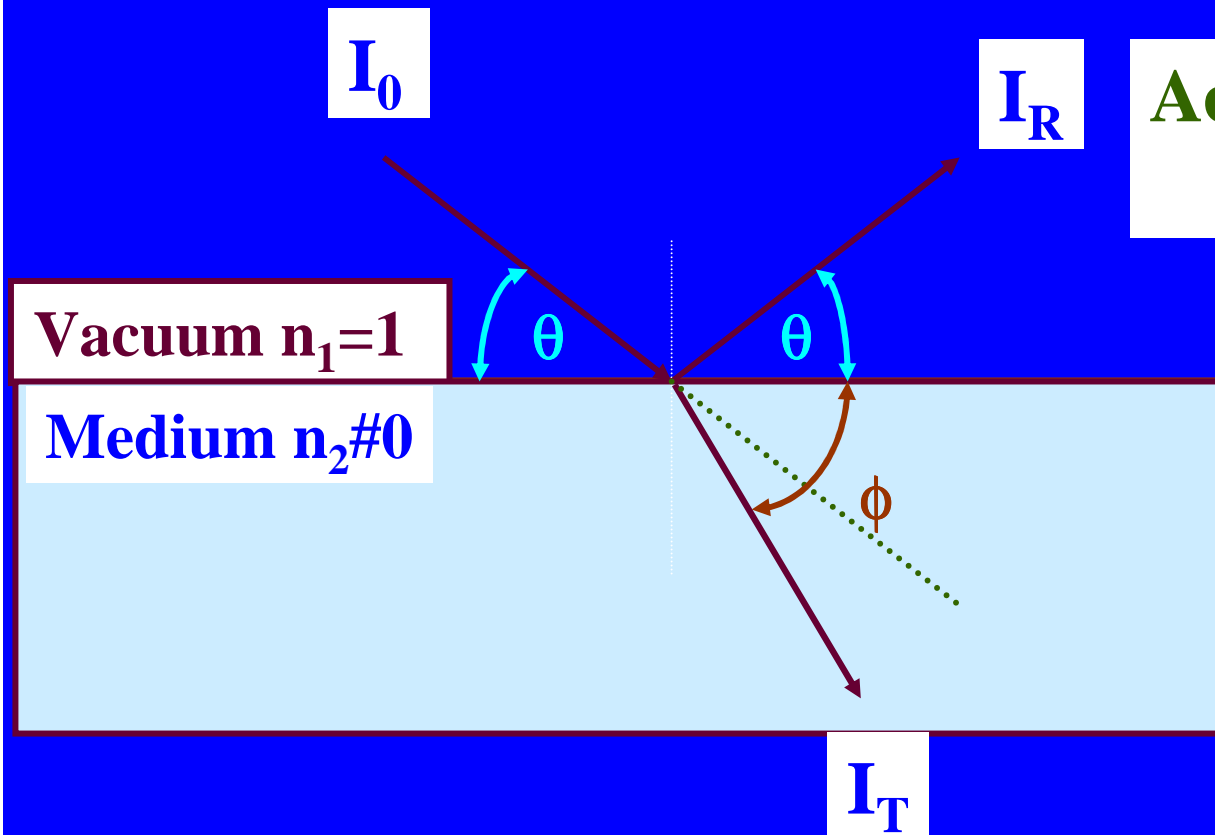


# Reflection and refraction

$n_i$  is the index of refraction

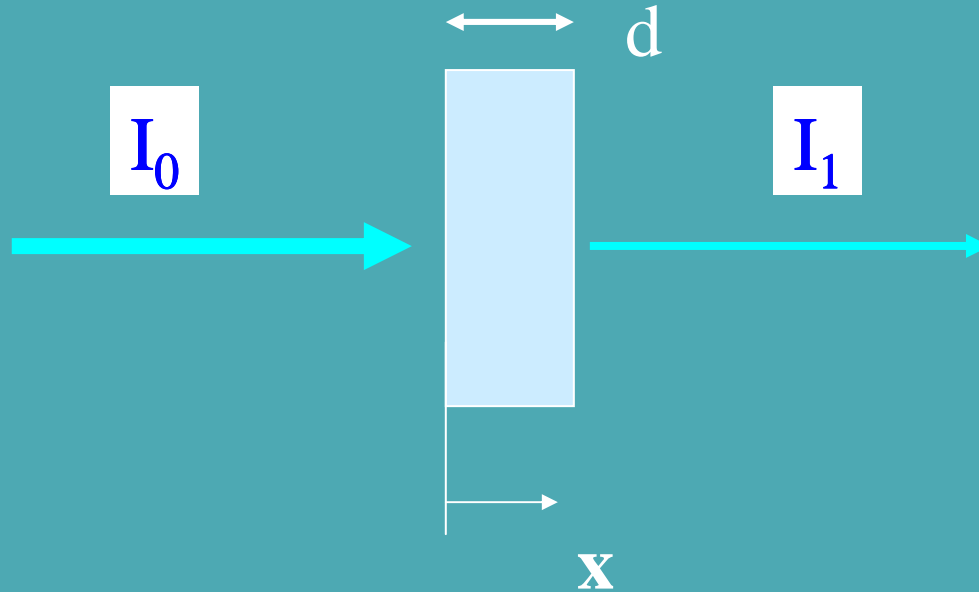
Snell law of refraction  
 $n_1 \cos(\theta) = n_2 \cos(\phi)$

According to Newton  
 $n_i$  is  $\sim$  to  $1/v$

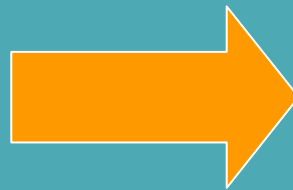


$$R_{\theta \approx \pi/2} = \frac{I_R}{I_0} = \frac{|n_2 - n_1|^2}{|n_2 + n_1|^2}$$

## Absorption coefficient



$$I(x) = I_0 e^{-\mu x}$$



$$I_1 = I_0 e^{-\mu d}$$

$$\mu = \frac{1}{d} \ln \frac{I_0}{I_1} = \rho \sigma_{\text{tot}}$$

## Plane Wave in vacuum

$$\vec{E} = \vec{E}_0 e^{i\vec{k}\vec{r} - \omega t}$$

$$\vec{B} = \vec{B}_0 e^{i\vec{k}\vec{r} - \omega t}$$

$\vec{k}$  is the wavevector; it gives

- the direction of the propagation
- the wavelength of the radiation

$$|\vec{k}| = \frac{2\pi}{\lambda_0}$$

$$\vec{k} = \frac{2\pi}{\lambda_0} \hat{k} = \frac{\omega}{c} \hat{k}$$

The radiation moves with a speed equal to  $c$

$$c = \frac{1}{\sqrt{\mu_0 \epsilon_0}}$$

Associated to the radiation there is an energy density  $w$  equal to:

$$w = \frac{1}{2} \epsilon_0 E_0^2$$

The intensity  $I$  of the beam is equal to  $I = wc =$

$$c \times \frac{1}{2} \epsilon_0 E_0^2$$

## Plane Wave in matter

$$\vec{\mathbf{E}} = \vec{\mathbf{E}}_0 e^{i\vec{\mathbf{k}}\vec{\mathbf{r}} - \omega t}$$

$$\vec{\mathbf{B}} = \vec{\mathbf{B}}_0 e^{i\vec{\mathbf{k}}\vec{\mathbf{r}} - \omega t}$$

$\vec{\mathbf{k}}$  is the wavevector

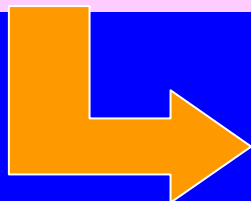
$$|\vec{\mathbf{k}}| = \frac{2\pi}{\lambda}$$

$$\nabla^2 \vec{\mathbf{E}} - \mu\epsilon \frac{\partial^2 \vec{\mathbf{E}}}{\partial t^2}$$

$$v = \frac{1}{\sqrt{\mu\epsilon}} = \frac{1}{\sqrt{\mu_0\mu_r\epsilon_0\epsilon_r}} = \frac{c}{\sqrt{\mu_r\epsilon_r}} = \frac{c}{n}$$

Dispersion relation:

$$\mathbf{k}^2 - \mu\epsilon\omega^2 = 0 \Rightarrow \mathbf{k}^2 - \frac{1}{v^2}\omega^2 = 0 \Rightarrow \frac{2\pi}{\lambda^2} - \frac{\omega^2}{v^2} = 0$$



$$\lambda\omega = 2\pi v$$

## E.M. Waves in matter

$$n = (\epsilon_r \mu_r)^{1/2}$$

refraction index

$$|\vec{v}| = \frac{1}{\sqrt{\mu_0 \mu_r \epsilon_0 \epsilon_r}} = \frac{c}{\sqrt{\mu_r \epsilon_r}} = \frac{c}{n}$$

Non magnetic medium:  $\mu_r = 1$   
Generally:  $\epsilon_r > 1 \rightarrow n > 1$

$$|\vec{v}| = \frac{c}{n} < c$$

*In the matter the light is slower than in the vacuum*

$$\lambda = \frac{\lambda_0}{n}$$

*In the matter the wavelength is shorter than in the vacuum*

$$|\vec{k}| = \frac{2\pi}{\lambda_0} \times n$$

## **Origin of the dielectric function (qualitative)**

**The electric field of the radiation cause a motion of the microscopic charges**

**Electrons and nuclei moves in opposite directions giving rise to fluctuating microscopic electric dipoles**

**Dipoles generate additional electric fields that adds to the radiation ones**

**The dielectric function describe the relation between the e.m. field and the induced dipoles: it is a complex quantity**

**Real part  $\rightarrow$  amplitude relation**

**Imaginary part  $\rightarrow$  phase relation**

## Complex dielectric function

$$\varepsilon = \varepsilon_0 \varepsilon_r = \varepsilon_0 (\varepsilon_1 + i\varepsilon_2)$$

$$n^2 = \varepsilon_r = \varepsilon_1 + i\varepsilon_2$$



**n is complex  $n = n_r + i\beta$**

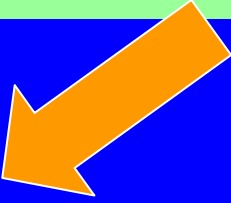
$$n_r = \left[ \frac{\varepsilon_1 + (\varepsilon_1^2 + \varepsilon_2^2)^{1/2}}{2} \right]^{1/2} \cong \sqrt{\varepsilon_1}$$

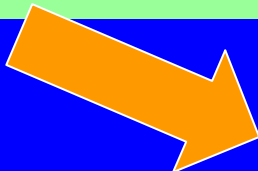
$$\beta = \left[ \frac{-\varepsilon_1 + (\varepsilon_1^2 + \varepsilon_2^2)^{1/2}}{2} \right]^{1/2} \cong \frac{1}{2} \frac{\varepsilon_2}{n_r}$$

## Complex wavevector

$$\vec{\mathbf{k}} = \frac{2\pi}{\lambda} \hat{\mathbf{k}} = \frac{2\pi}{\lambda_0} \mathbf{n} \hat{\mathbf{k}} = \frac{\omega}{\mathbf{c}} \mathbf{n} \hat{\mathbf{k}} \quad \text{is complex}$$

$$\vec{\mathbf{k}} = \vec{\mathbf{k}}_r + \mathbf{i} \vec{\mathbf{k}}_i = (\mathbf{k}_r + \mathbf{i} \mathbf{k}_i) \hat{\mathbf{k}}$$


$$\vec{\mathbf{k}}_r = \frac{\omega \mathbf{n}_r}{\mathbf{c}} \hat{\mathbf{k}}$$


$$\vec{\mathbf{k}}_i = \frac{\omega \beta}{\mathbf{c}} \hat{\mathbf{k}}$$

$$\vec{\mathbf{k}} = (\mathbf{k}_r + \mathbf{i} \mathbf{k}_i) \hat{\mathbf{k}} = \frac{\omega}{\mathbf{c}} (\mathbf{n}_r + \mathbf{i} \beta) \hat{\mathbf{k}} = \frac{\omega \mathbf{n}}{\mathbf{c}} \hat{\mathbf{k}}$$



## Wave-damping: Absorption coefficient

$$\vec{k} = \vec{k}_r + i\vec{k}_i = \frac{\omega}{c} (\mathbf{n}_r + i\beta) \hat{\mathbf{k}}$$

$$\vec{E} = \vec{E}_0 e^{i(\vec{k}\vec{r} - \omega t)} = \vec{E}_0 e^{i(\vec{k}_r\vec{r} - \omega t)} e^{-\vec{k}_i\vec{r}}$$

Standard plane wave  
as in vacuum with  
 $\lambda = \lambda_0/n$

Amplitude  
reduction

$$\vec{k}_i = \frac{\omega\beta}{c} \hat{\mathbf{k}}$$

Intensity  $I \propto E^2$

Absorption coefficient  $\mu$

$$I(\mathbf{r}) = I_0 e^{-2\vec{k}_i\vec{r}} = I_0 e^{-\mu x}$$

$$\mu = 2k_i = \frac{2\omega\beta}{c} \cong \frac{\omega\epsilon_2}{2c}$$

## Kramers-Kronig Relation

The real and imaginary parts of the dielectric function depend one on the other

$$\varepsilon_1(\omega) - 1 = \frac{2}{\pi} \int_0^{\infty} \frac{\bar{\omega} \varepsilon_2(\bar{\omega})}{\bar{\omega}^2 - \omega^2} d\bar{\omega}$$

$$\varepsilon_2(\omega) = \frac{2\omega}{\pi} \int_0^{\infty} \frac{\varepsilon_1(\bar{\omega}) - 1}{\bar{\omega}^2 - \omega^2} d\bar{\omega}$$

**Causality:** the dipole moment  $P(t)$  at time  $t$  is determined only by the values of the electric field at time  $t' \leq t$

## Microscopic model

The matter is composed of positive and negative charges

At equilibrium the positive and negative charges do not give rise to any dipole moment



Oscillating negative charge  
Damped oscillator

$$\frac{d^2 \vec{r}}{dt^2} + \gamma \frac{d\vec{r}}{dt} + \omega_0^2 \vec{r} = \frac{e}{m} \mathbf{E}_0 e^{i\omega t}$$

## Induced dipole moment

$$\frac{d^2 \vec{r}}{dt^2} + \gamma \frac{d\vec{r}}{dt} + \omega_0^2 \vec{r} = \frac{e}{m} \vec{E}_0 e^{i\omega t}$$

In stationary condition

$$\vec{r}(t) = \vec{r}_0 e^{i\omega t}$$

$$(-\omega^2 + i\gamma\omega + \omega_0^2) \vec{r}_0 e^{i\omega t} = \frac{e}{m} \vec{E}_0 e^{i\omega t}$$

$$\vec{r}_0 = \frac{e\vec{E}_0}{m} \frac{1}{(-\omega^2 + i\gamma\omega + \omega_0^2)}$$

$$\vec{p}(t) = Ze\vec{r}(t) = \frac{Ze^2\vec{E}_0}{m} \frac{1}{(-\omega^2 + i\gamma\omega + \omega_0^2)} e^{i\omega t}$$

## Dielectric function

$N$  = number of atoms per unit volume

$$\vec{P} = \epsilon_0 \chi \vec{E}$$

$$\epsilon_r = 1 + \chi$$

$$\vec{P}(t) = N\vec{p} = \frac{NZe^2\vec{E}_0}{m} \frac{1}{(-\omega^2 + i\gamma\omega + \omega_0^2)} e^{i\omega t}$$

$$\chi = \frac{NZe^2}{\epsilon_0 m} \frac{1}{(-\omega^2 + i\gamma\omega + \omega_0^2)}$$

$$\epsilon_r = 1 + \chi = 1 + \frac{NZe^2}{\epsilon_0 m} \frac{1}{(-\omega^2 + i\gamma\omega + \omega_0^2)}$$

## Real and imaginary part of the dielectric function

$$\epsilon_r = 1 + \chi = 1 + \frac{NZe^2}{\epsilon_0 m} \frac{1}{(-\omega^2 + i\gamma\omega + \omega_0^2)}$$

$$\epsilon_1 = 1 + \frac{NZe^2}{\epsilon_0 m} \frac{\omega_0^2 - \omega^2}{(\omega_0^2 - \omega^2)^2 + (\gamma\omega)^2}$$

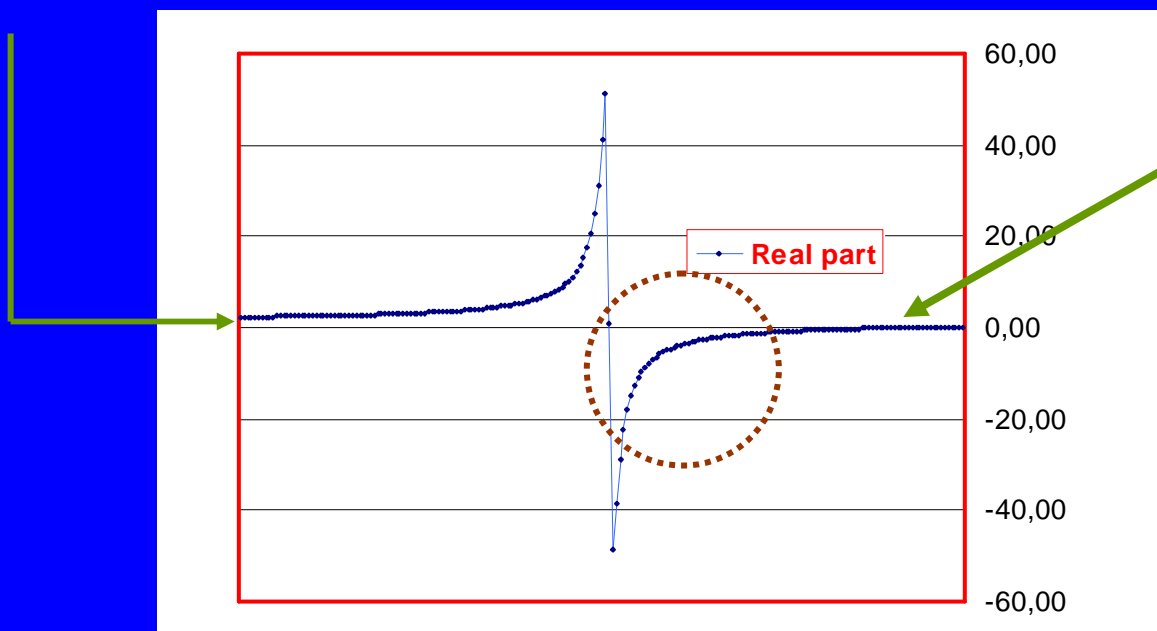
$$\epsilon_2 = \frac{NZe^2}{\epsilon_0 m} \frac{\gamma\omega}{(\omega_0^2 - \omega^2)^2 + (\gamma\omega)^2}$$

# General behavior of the real part of the dielectric function

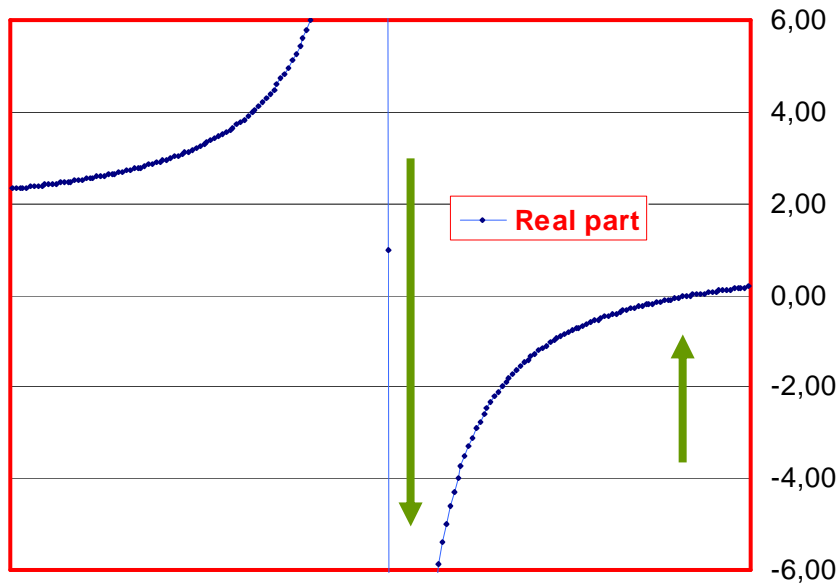
$$\epsilon_1 = 1 + \frac{NZe^2}{\epsilon_0 m} \frac{\omega_0^2 - \omega^2}{(\omega_0^2 - \omega^2)^2 + (\gamma\omega)^2}$$

$$\epsilon_1(0) = 1 + \frac{NZe^2}{\epsilon_0 m \omega_0^2}$$

$$\epsilon_2(\omega \gg \omega_0) = 1 - \frac{NZe^2}{\epsilon_0 m \omega^2}$$



## Behavior of the real part above $\omega_0$



$$\begin{aligned} \epsilon_1 &< 0 \\ \epsilon_2 &= 0 \end{aligned}$$

$$\beta = 0$$

$$\mathbf{n}_r = \sqrt{\epsilon_r} = \mathbf{i} \sqrt{|\epsilon_r|}$$

$$\vec{\mathbf{k}} = \mathbf{i} \frac{\omega |\mathbf{n}_r|}{c} \hat{\mathbf{k}}$$

$$\vec{\mathbf{E}} = \vec{\mathbf{E}}_0 e^{i(\vec{\mathbf{k}}\vec{\mathbf{r}} - \omega t)} = \vec{\mathbf{E}}_0 e^{-i\omega t} e^{-\vec{\mathbf{k}}\vec{\mathbf{r}}}$$

There is no propagation into the matter  
no energy exchange

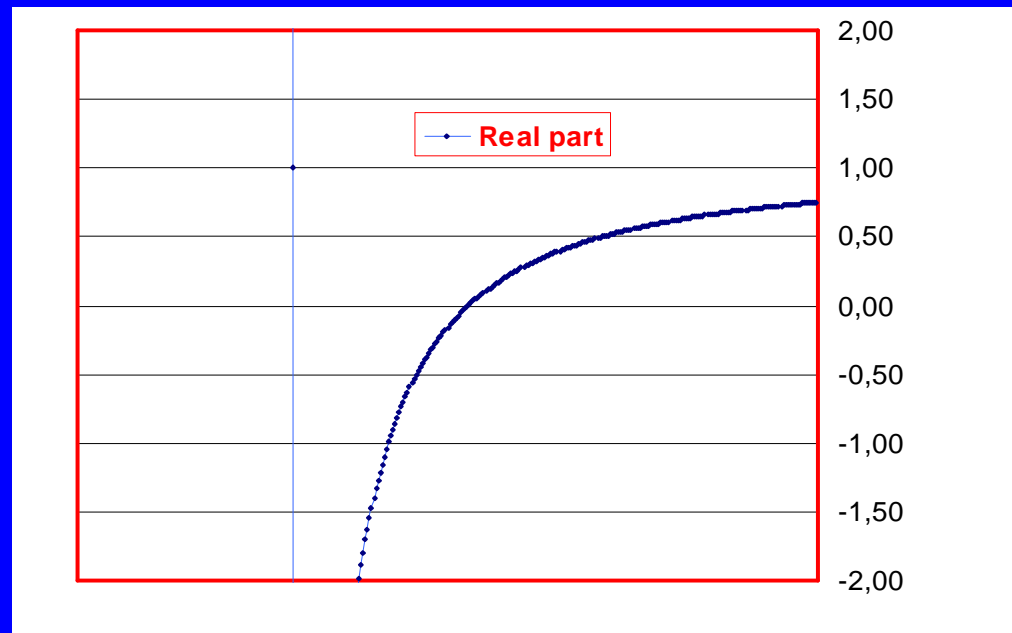
$$\frac{1}{|\mathbf{k}|} = \frac{c}{\omega |\mathbf{n}_r|}$$

is called “extinction length”



## Behavior of the real part at high energy

$$\varepsilon_1(\omega \gg \omega_0) = 1 - \frac{NZe^2}{\varepsilon_0 m \omega^2}$$



$$\varepsilon_1(\omega \gg \omega_0) < 1$$

## Refraction index at high energy

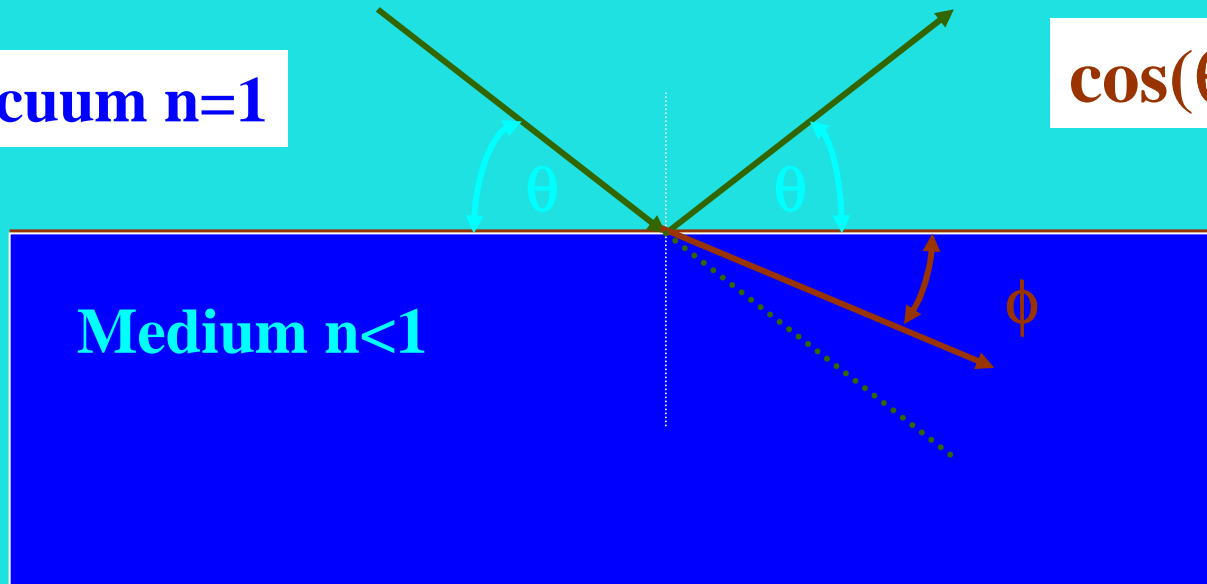
$$\mathbf{n}_r = \sqrt{\mathbf{1} - \frac{NZe^2}{\epsilon_0 m \omega^2}} \cong \mathbf{1} - \frac{\mathbf{1}}{2} \frac{NZe^2}{\epsilon_0 m \omega^2} = \mathbf{1} - \delta$$

$$\delta = \frac{\mathbf{1}}{2} \frac{NZe^2}{\epsilon_0 m \omega^2} \cong \mathbf{10}^{-5} - \mathbf{10}^{-6}$$

# Total Reflection

Vacuum  $n=1$

$$\cos(\theta) = n \cos(\phi)$$



Medium  $n < 1$

The critical angle  $\theta_c$  is defined by  $\cos(\phi) = 1$

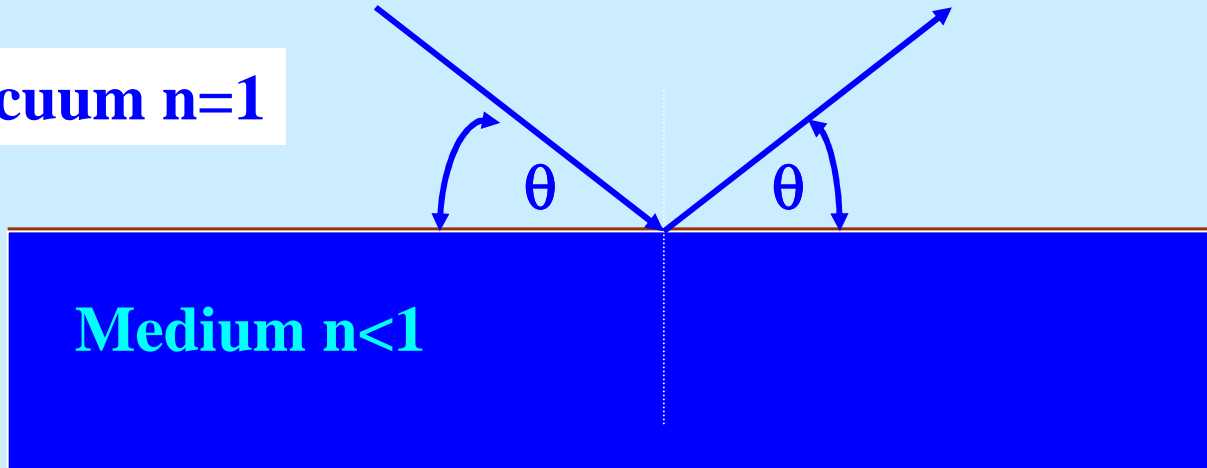


$$\cos(\theta_c) = n$$

$$1 - \frac{\theta_c^2}{2} = n = 1 - \delta \Rightarrow \theta_c = \sqrt{2\delta} \cong \text{few } 10^{-3}$$

## Use of Total Reflection

Vacuum  $n=1$

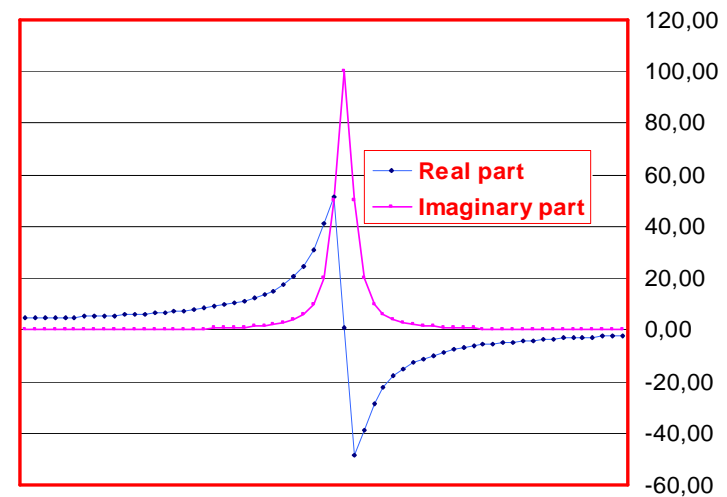
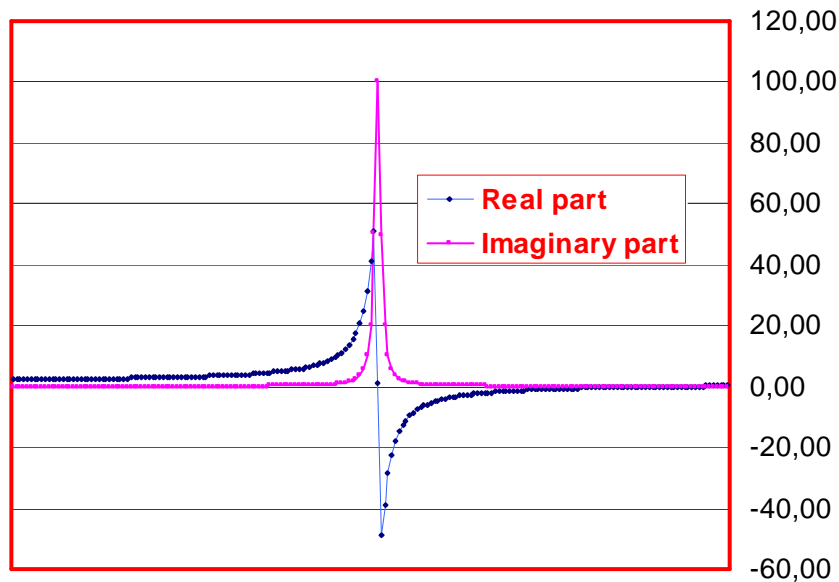


$$\theta_c = \sqrt{2\delta} \cong \text{few } 10^{-3}$$

- X-ray Mirrors
- Surface Diffraction
- REFLEXAFS

## Behavior of the imaginary part

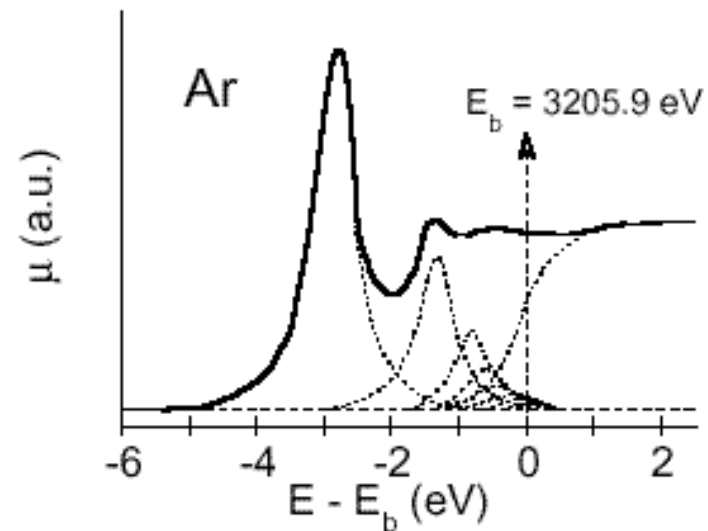
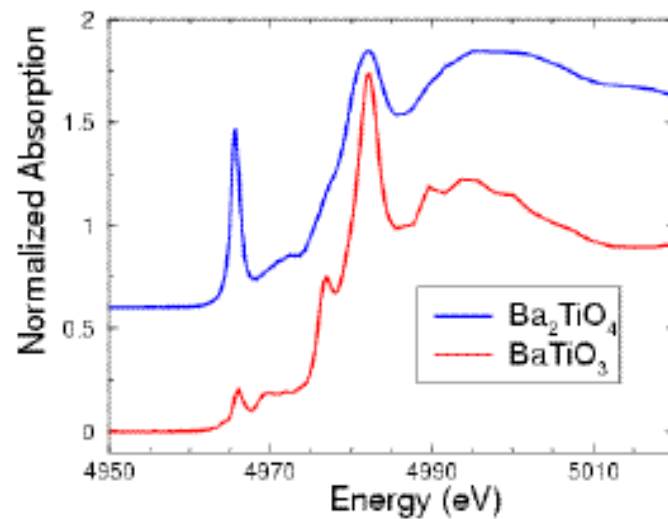
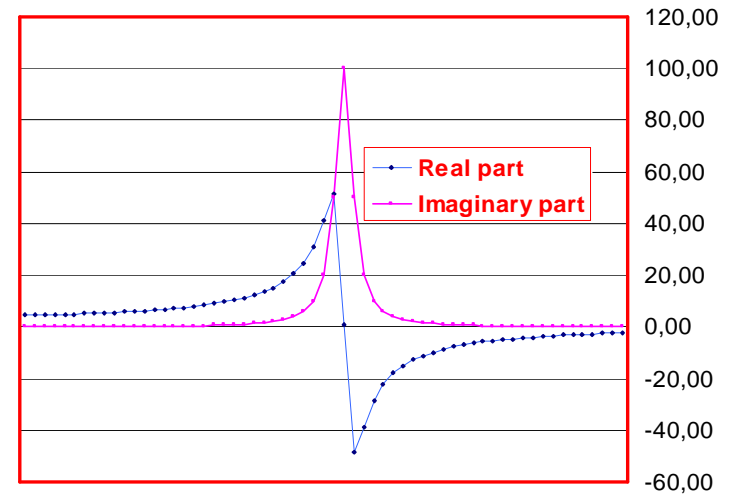
$$\epsilon_2 = \frac{NZe^2}{\epsilon_0 m} \frac{\gamma \omega}{(\omega_0^2 - \omega^2)^2 + (\gamma \omega)^2}$$



# Absorption coefficient

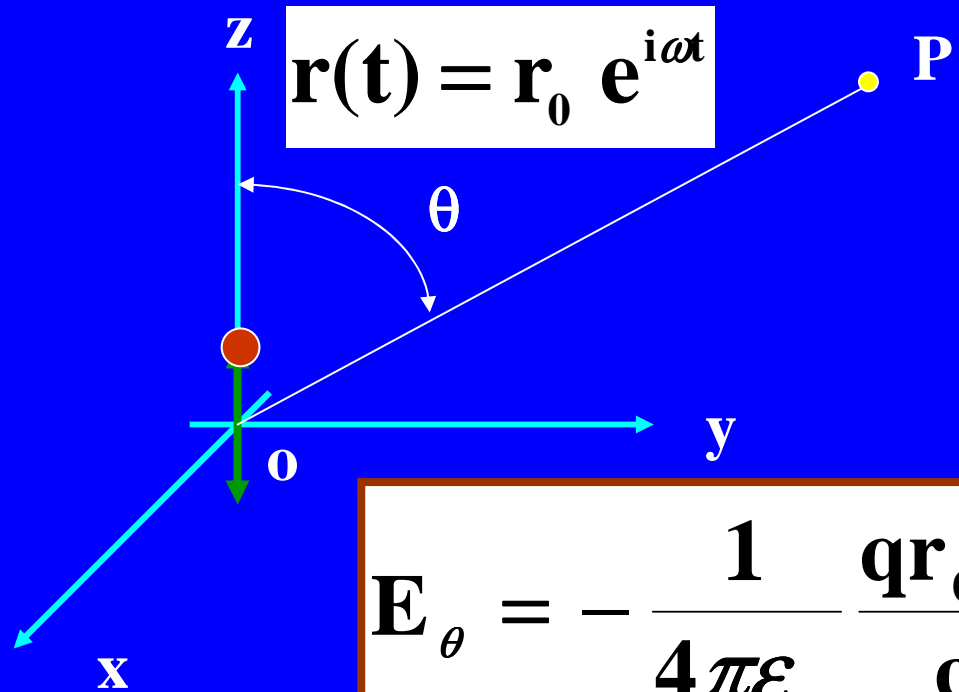
$$\mu = 2k_i = \frac{2\omega\beta}{c} \cong \frac{\omega\epsilon_2}{2c}$$

$$\mathbf{I}(\mathbf{r}) = \mathbf{I}_0 e^{-2\vec{k}_i \cdot \vec{r}} = \mathbf{I}_0 e^{-\mu x}$$



# Scattering

Electric field generated by an oscillating point electric charge  $q$   
The charge is oscillating under the action of the electric field of the incoming radiation



$\mathbf{r}(t) = \mathbf{r}_0 e^{i\omega t}$

$$\mathbf{E}_\theta = -\frac{1}{4\pi\epsilon_0} \frac{q\mathbf{r}_0\omega^2}{c^2} \frac{e^{i(\bar{\mathbf{k}}_{\text{out}} \bar{\mathbf{r}} - \omega t)}}{|\mathbf{r}|} \sin \theta$$

The electric field is in the plane (OzP)

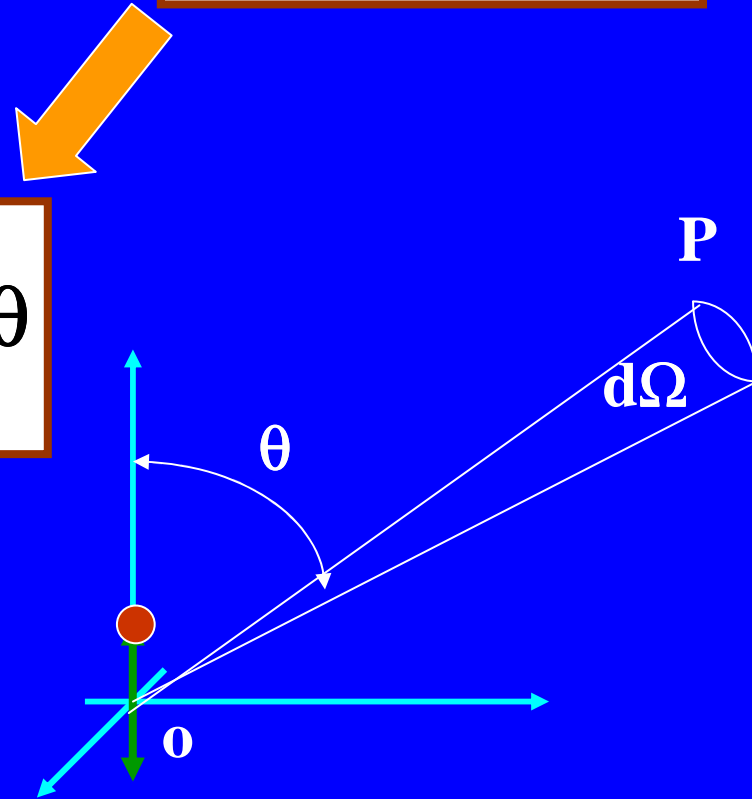
## Scattering by a free electron

$$\frac{d^2 \vec{r}}{dt^2} = \frac{e}{m} \vec{E}_0 e^{-i\omega t}$$

$$\vec{r} = \vec{r}_0 e^{-i\omega t}$$

$$\vec{r}_0 = -\frac{e}{m \omega^2} \vec{E}_0$$

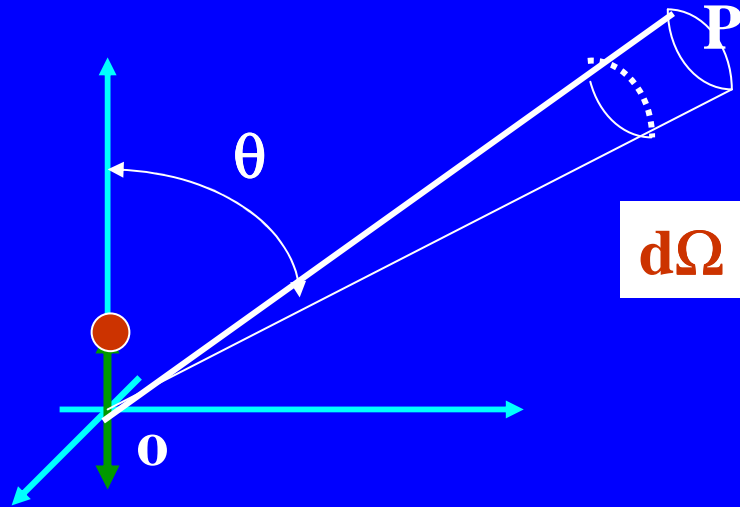
$$\mathbf{E}_\theta = \frac{1}{4\pi\epsilon_0} \frac{e^2 \mathbf{E}_0}{mc^2} \frac{e^{i(\vec{k}_{\text{out}} \vec{r} - \omega t)}}{|\mathbf{r}|} \sin \theta$$





## Differential cross section

Differential cross section ( normalized differential scattered power)



$$I = \frac{dW}{dS} = \left( \frac{1}{2} \epsilon_0 E^2 \right) c dS$$

$$dW = I_\theta c dS = \left( \frac{1}{2} \epsilon_0 E_\theta^2 \right) c r^2 d\Omega =$$

$$\frac{1}{2} \frac{c E_0^2}{(4\pi)^2 \epsilon_0} \left( \frac{e^2}{m c^2} \right)^2 \sin^2 \theta d\Omega$$

$$\frac{d\sigma}{d\Omega} = \frac{1}{I_0} \frac{dW}{d\Omega} = \frac{1}{\frac{1}{2} \epsilon_0 c E_0^2} \frac{dW}{d\Omega} = \left( \frac{1}{4\pi \epsilon_0} \frac{e^2}{m c^2} \right)^2 \sin^2 \theta$$

Electron classical radius

## Electron classical radius

$$\frac{d\sigma}{d\Omega} = \left( \frac{1}{4\pi\epsilon_0} \frac{e^2}{mc^2} \right)^2 \sin^2 \theta = r_e^2 \sin^2 \theta$$

$r_e$  is called the electron classical radius =  $2.818 \times 10^{-15}$  m

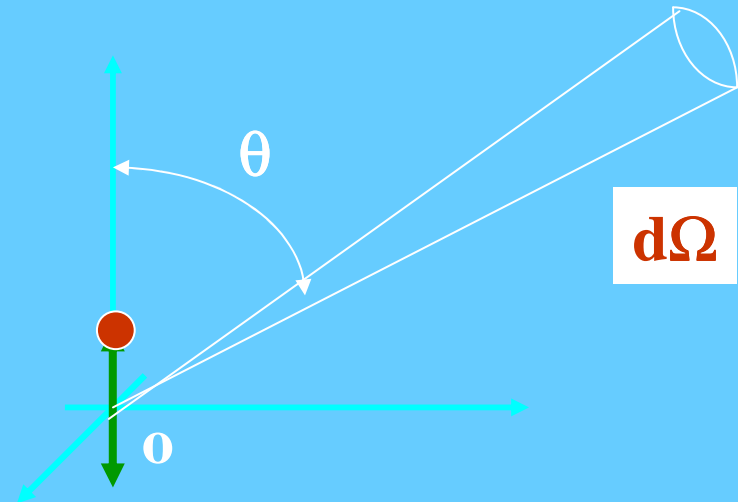
$$\frac{1}{4\pi\epsilon_0} \frac{e^2}{r_e} = mc^2$$

In Gauss system

$$r_e = \frac{e^2}{mc^2}$$

## Total scattering cross section: polarized radiation

$$\sigma = \int \frac{d\sigma}{d\Omega} = \int r_e^2 \sin^2 \theta d\Omega$$



### Linear Polarization

$$\begin{aligned}\sigma &= \int r_e^2 \sin^2 \theta d\Omega = r_e^2 \int_0^\pi \sin^2 \theta 2\pi \sin \theta d\theta = \\ &= r_e^2 2\pi \int_0^\pi \sin^3 \theta d\theta = \underbrace{\frac{8\pi}{3}} r_e^2 = \underbrace{6.7 \times 10^{-29}} \text{ m}^2 / \text{ electron}\end{aligned}$$

Thomson cross section

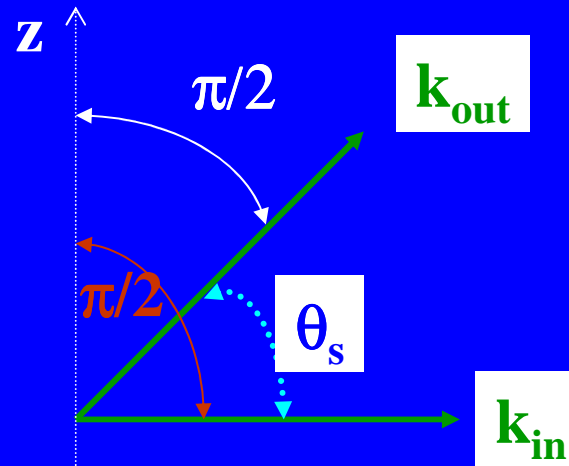
## Scattering Plane



The plane formed by the direction of the incoming and outgoing radiation is called is scattering angle  
It is the plane formed by  $k_{in}$  and  $k_{out}$

The angle  $\theta_s$  is called the scattering angle  
(Sometimes the scattering angle is indicated with  $2\theta_s$ )

## Incoming Radiation polarized perpendicular to the Scattering Plane



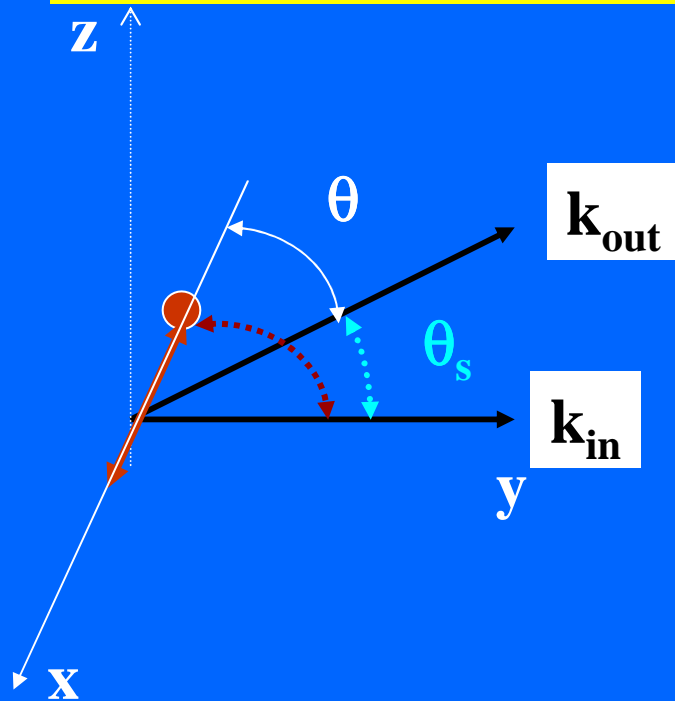
Incoming radiation polarized perpendicular to the scattering plane  $\pi_s$   
 $\rightarrow \theta = \pi/2 \rightarrow \sin\theta = 1$

Scattering radiation perpendicular to the scattering plane

$$(\hat{\mathbf{e}}_{\text{in}} \cdot \hat{\mathbf{e}}_{\text{out}}) = 1 = \sin\theta$$

$$\frac{d\sigma}{d\Omega} = \sin^2\theta r_e^2 = r_e^2 = (\hat{\mathbf{e}}_{\text{in}} \cdot \hat{\mathbf{e}}_{\text{out}})^2 r_e^2$$

## Incoming radiation polarized in the Scattering Plane



Incoming radiation polarized in the scattering plane  $\pi_s$   
It is also perpendicular to  $\mathbf{k}_{in}$

Scattering radiation is polarized in the scattering plane

$$\theta + \theta_s = \frac{\pi}{2}$$

$$(\hat{\mathbf{e}}_{in} \cdot \hat{\mathbf{e}}_{out}) = (\hat{\mathbf{k}}_{in} \cdot \hat{\mathbf{k}}_{out}) = \cos \theta_s = \sin \theta$$

$$\frac{d\sigma}{d\Omega} = (\hat{\mathbf{e}}_{in} \cdot \hat{\mathbf{e}}_{out})^2 r_e^2$$

## Differential scattering cross section for un-polarized radiation

At any  $\theta$  the radiation can be decomposed into two component of equal intensity

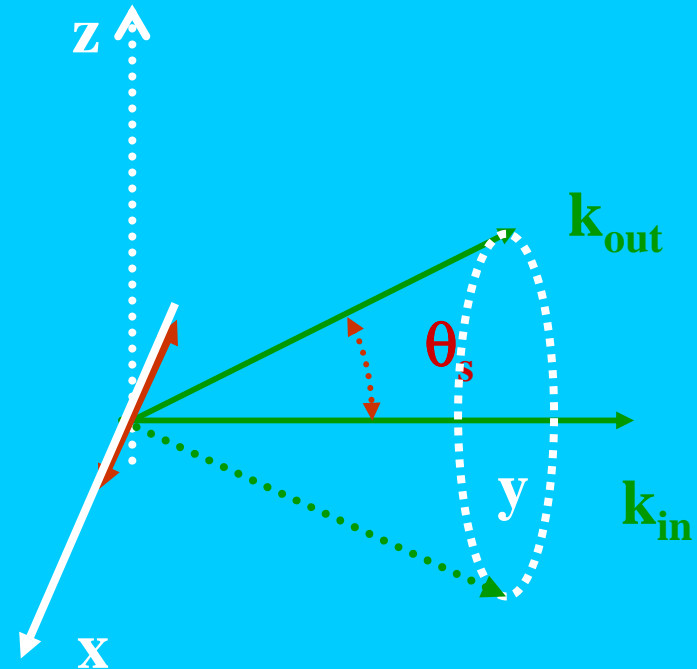
- The first one is polarized perpendicular to the scattering plane
- The second one is polarized in the scattering plane

$$\frac{d\sigma}{d\Omega} = \frac{1}{2} \left[ (\hat{\mathbf{e}}_{\text{in}} \cdot \hat{\mathbf{e}}_{\text{out}})^2 \right]_{\perp} r_e^2 + \frac{1}{2} \left[ (\hat{\mathbf{e}}_{\text{in}} \cdot \hat{\mathbf{e}}_{\text{out}})^2 \right]_{\parallel} r_e^2$$

$$\frac{d\sigma}{d\Omega} = r_e^2 \frac{1 + \cos^2 \theta_s}{2}$$

# Total scattering cross section for un-polarized radiation

$$\sigma = \int \frac{d\sigma}{d\Omega} d\Omega = \int r_e^2 \frac{1 + \cos^2 \theta_s}{2} d\Omega$$



$$\sigma = \frac{1}{2} 4\pi r_e^2 + \frac{r_e^2}{2} \int \cos^2 \theta_s \sin \theta_s d\Omega = 2\pi r_e^2 + \frac{2\pi}{3} r_e^2 = \frac{8\pi}{3} r_e^2 = 6.7 \times 10^{-29} \text{ m}^2 / \text{electron}$$

Thompson cross section



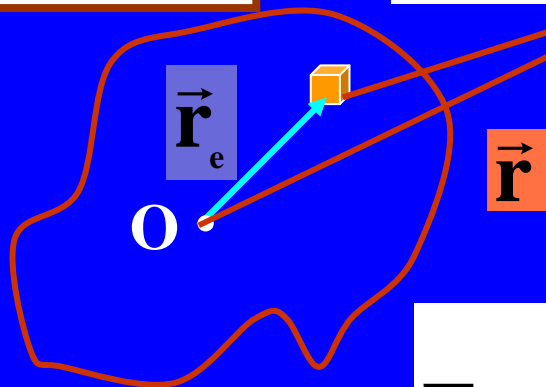
# Charge distributions: Scattering Factor

$$dN_e = \rho_e dV$$

$$\vec{r} - \vec{r}_e$$

**P**

$$\mathbf{E}_{in} = \mathbf{E}_0 e^{i(\vec{k}_{in} \vec{r} - \omega t)}$$

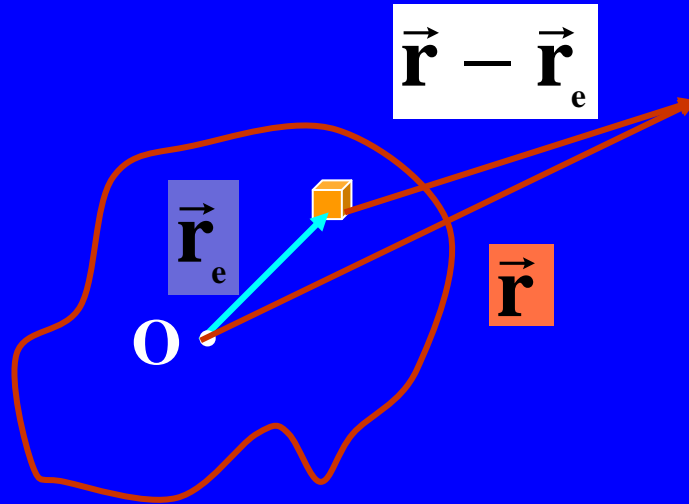


$$\mathbf{E}_\theta = \frac{1}{4\pi\epsilon_0} \frac{e^2 \mathbf{E}_0}{mc^2} \frac{e^{i(\vec{k}_{out} \vec{r} - \omega t)}}{|\mathbf{r}|} (\hat{\mathbf{e}}_{in} \cdot \hat{\mathbf{e}}_{out})$$

$$|\vec{r} - \vec{r}_e|$$

$$d\mathbf{E}_\theta = \frac{1}{4\pi\epsilon_0} \frac{e^2 \mathbf{E}_0 e^{i\vec{k}_{in} \vec{r}_e}}{mc^2} \frac{e^{i(\vec{k}_{out} (\vec{r} - \vec{r}_e) - \omega t)}}{|\vec{r} - \vec{r}_e|} (\hat{\mathbf{e}}_{in} \cdot \hat{\mathbf{e}}_{out}) \rho_e dV$$

## Scattering Factor II

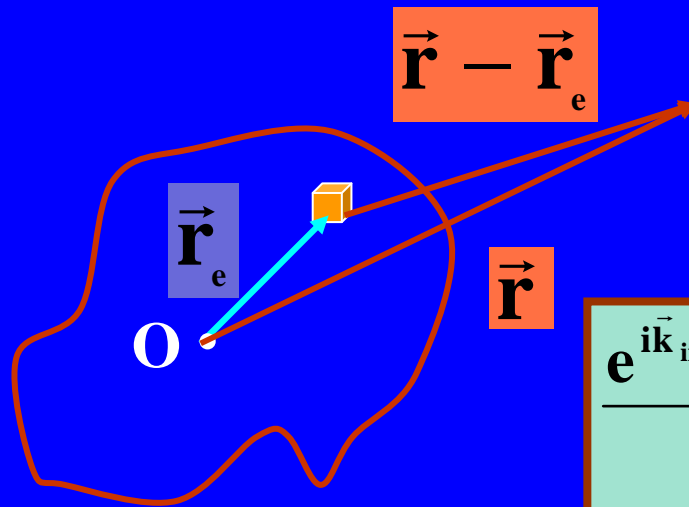


$$dE_{\theta} = \frac{1}{4\pi\epsilon_0} \frac{e^2 E_0 e^{i\vec{k}_{in}\vec{r}_e}}{mc^2} \frac{e^{i(\vec{k}_{out}(\vec{r}-\vec{r}_e)-\omega t)}}{|\vec{r}-\vec{r}_e|} (\hat{e}_{in} \cdot \hat{e}_{out}) \rho_e dV$$

$$dE_{\theta} = \frac{1}{4\pi\epsilon_0} \frac{e^2 E_0}{mc^2} (\hat{e}_{in} \cdot \hat{e}_{out}) \frac{e^{i\vec{k}_{in}\vec{r}_e} e^{i(\vec{k}_{out}(\vec{r}-\vec{r}_e)-\omega t)}}{|\vec{r}-\vec{r}_e|} \rho_e dV$$

$$\frac{e^{i(\vec{k}_{out}\vec{r}-\omega t)}}{|\vec{r}|}$$

# Scattering Factor III



$$\vec{r} - \vec{r}_e$$

$$|\vec{r} - \vec{r}_e| \gg |\vec{r}_e|$$

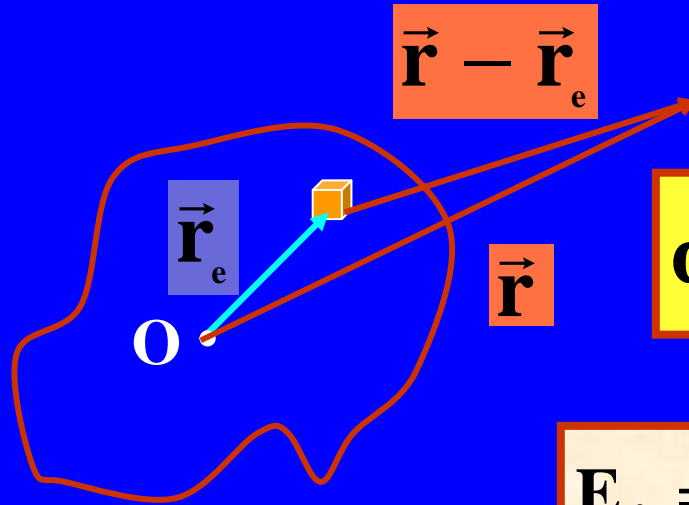
$$\frac{e^{i(\vec{k}_{\text{out}} \vec{r} - \omega t)}}{|\vec{r}|}$$

$$\frac{e^{i\vec{k}_{\text{in}} \vec{r}_e} e^{i(\vec{k}_{\text{out}} (\vec{r} - \vec{r}_e) - \omega t)}}{|\vec{r} - \vec{r}_e|} \cong e^{-i(\vec{k}_{\text{out}} - \vec{k}_{\text{in}}) \vec{r}_e} \frac{e^{i(\vec{k}_{\text{out}} \vec{r} - \omega t)}}{|\vec{r}|}$$

$$d\mathbf{E}_\theta = \frac{1}{4\pi\epsilon_0} \frac{e^2 \mathbf{E}_0}{mc^2} (\hat{\mathbf{e}}_{\text{in}} \cdot \hat{\mathbf{e}}_{\text{out}}) \frac{e^{i(\vec{k}_{\text{out}} \vec{r} - \omega t)}}{|\vec{r}|} e^{-i(\vec{k}_{\text{out}} - \vec{k}_{\text{in}}) \vec{r}_e} \rho_e dV$$

$$d\mathbf{E}_\theta = \mathbf{E}_{\text{SinglePointElectron}} e^{-i(\vec{k}_{\text{out}} - \vec{k}_{\text{in}}) \vec{r}_e} \rho_e dV$$

## Scattering Factor IV



$$d\mathbf{E}_\theta = \mathbf{E}_{\text{Single}} e^{-i(\vec{k}_{\text{out}} - \vec{k}_{\text{in}}) \cdot \vec{r}_e} \rho_e dV$$

$$\mathbf{E}_\theta = \int d\mathbf{E}_\theta = \mathbf{E}_{\text{Single}} \int e^{-i(\vec{k}_{\text{out}} - \vec{k}_{\text{in}}) \cdot \vec{r}_e} \rho_e dV$$

$$\vec{q} = \vec{k}_{\text{out}} - \vec{k}_{\text{in}}$$

$$\mathbf{E}_\theta = \int d\mathbf{E}_\theta = \mathbf{E}_{\text{Single}} \int e^{-i\vec{q} \cdot \vec{r}_e} \rho_e dV = \mathbf{E}_{\text{Single}} \mathbf{f}(\vec{q})$$

$\mathbf{f}$  is called the scattering factor

$\mathbf{f}$  is the Fourier Transform of the charge density (in e.u.)

# Scattering Factor $V$

$$\mathbf{E}_\theta = \mathbf{E}_{\text{Single}} \mathbf{f}(\vec{q})$$

$$\vec{q} = \vec{k}_{\text{out}} - \vec{k}_{\text{in}}$$

$f$  is called the scattering factor

$$\mathbf{f}(\vec{q}) = \int e^{-i\vec{q}\vec{r}_e} \rho_e dV$$

Number of electrons per unit volume

Scattering amplitude  $\propto$  to:  
Fourier Transform of the charge density (in electron units)  
For atoms, molecules, crystals ...

$$\frac{d\sigma}{d\Omega} = r_e^2 (\hat{\mathbf{e}}_{\text{in}} \cdot \hat{\mathbf{e}}_{\text{out}})^2 |\mathbf{f}(\vec{q})|^2$$



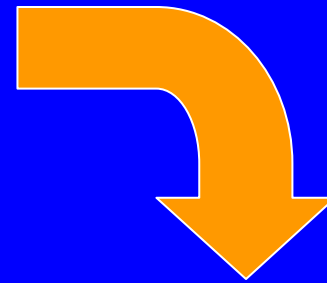
Phase Problem

# Scattering Factor of electrons

Single electron: quantum case

$$\rho_e = |\psi|^2$$

$$\mathbf{f}(\vec{q}) = \int \mathbf{e}^{-i\vec{q}\vec{r}_e} \rho_e dV$$



$$\mathbf{f}(\vec{q}) = \int \mathbf{e}^{-i\vec{q}\vec{r}_e} |\psi|^2 dV$$

## Scattering Factor of atoms

Atomic case

$$\rho_e = \sum_j |\psi_j|^2 \quad \rho_e(\vec{r}) = \rho_e(|\vec{r}|) \rightarrow f(\vec{q}) = f(|\vec{q}|) = f(q)$$

$$\begin{aligned} f(\vec{q}) &= \int e^{-i\vec{q} \cdot \vec{r}} \rho \, dV = \\ &= \int e^{-iqr \cos \theta} \rho \, 2\pi \sin \theta \, r^2 \, d\vartheta \, dr = \\ &= \frac{2\pi}{iq} \int \left[ e^{-iqr \cos \theta} \right]_0^\pi \rho \, r \, dr = \\ &= \frac{4\pi}{q} \int \sin(qr) \rho(r) \, r \, dr \end{aligned}$$

## Scattering Factor atoms

$$f(\mathbf{q}) = \frac{4\pi}{q} \int \rho(\mathbf{r}) \sin(\mathbf{q}\mathbf{r}) \, r \, d\mathbf{r}$$

For small  $q$   $\sin(\mathbf{q}\mathbf{r}) \cong \mathbf{q}\mathbf{r}$

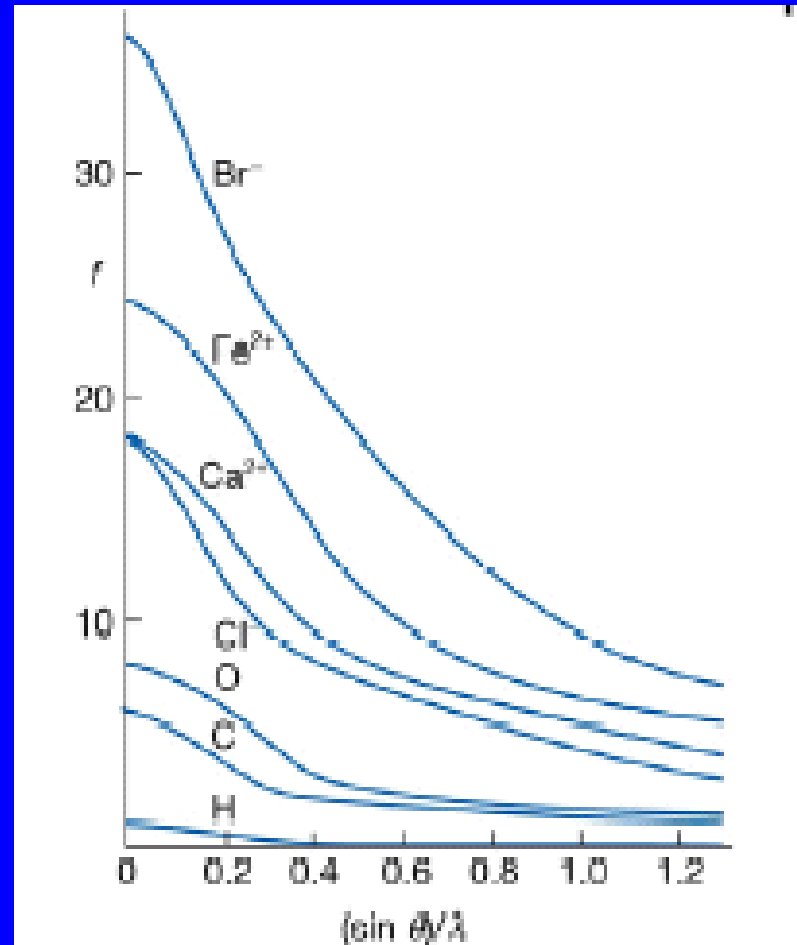
$$f(\mathbf{q}) \cong \frac{4\pi}{q} \int \rho(\mathbf{r}) (\mathbf{q}\mathbf{r}) \, r \, d\mathbf{r} \cong 4\pi \int \rho(\mathbf{r}) \, r^2 \, d\mathbf{r} = Z$$

For high  $q$  because  $\rho(\mathbf{r})$  is a slowly decreasing function  
 $r\rho(\mathbf{r})$  can be assumed as a constant in the integral  $\rightarrow$

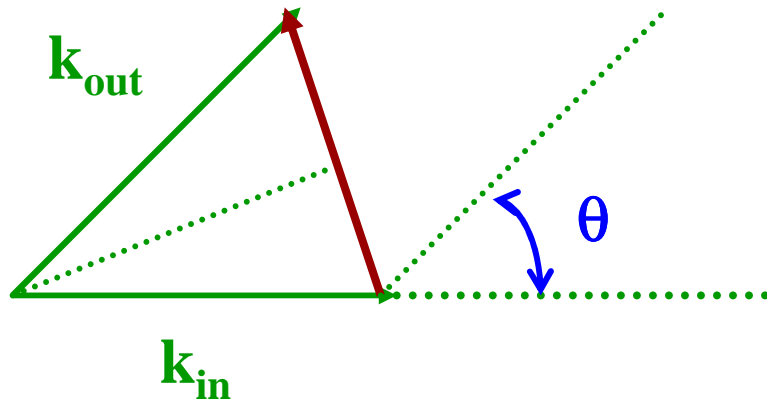
$$f(\mathbf{q}) \rightarrow 0$$



# Behavior of the Atomic Scattering Factor

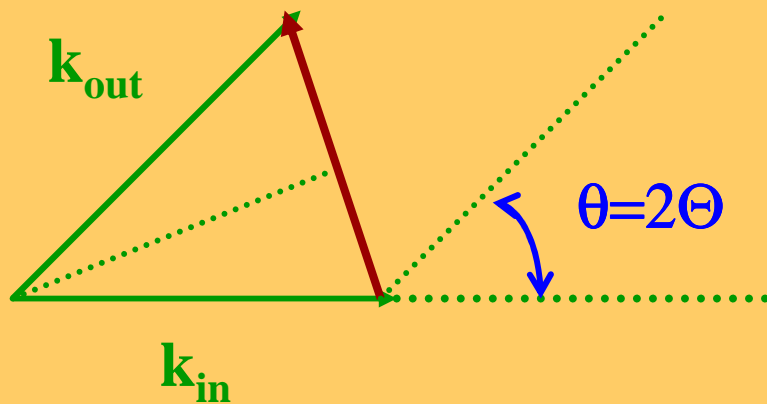


# Scattering vector



$$\vec{q} = \vec{k}_{out} - \vec{k}_{in}$$

$$|\vec{q}| = 2|\vec{k}| \sin \frac{\theta}{2} = \frac{4\pi}{\lambda} \sin \frac{\theta}{2}$$



$$\vec{q} = \vec{k}_{out} - \vec{k}_{in}$$

$$|\vec{q}| = 2|\vec{k}| \sin \Theta = \frac{4\pi}{\lambda} \sin \Theta$$

## Overview

$$\mathbf{E}_\theta = \frac{1}{4\pi\pi_0} \frac{e^2}{mc^2} (\hat{\mathbf{e}}_{\text{in}} \cdot \hat{\mathbf{e}}_{\text{out}}) \frac{e^{i(\vec{k}_{\text{out}} \vec{r} - \omega t)}}{|\vec{r}|} \mathbf{E}_0 = r_e (\hat{\mathbf{e}}_{\text{in}} \cdot \hat{\mathbf{e}}_{\text{out}}) \frac{e^{i(\vec{k}_{\text{out}} \vec{r} - \omega t)}}{|\vec{r}|} \mathbf{E}_0$$

$$\frac{d\sigma}{d\Omega} = r_e^2 (\hat{\mathbf{e}}_{\text{in}} \cdot \hat{\mathbf{e}}_{\text{out}})^2$$

$$\mathbf{E}_\theta = \int d\mathbf{E}_\theta = \mathbf{E}_{\text{Single}} \int e^{i(\vec{k}_{\text{out}} - \vec{k}_{\text{in}}) \cdot \vec{r}_e} \rho_e dV = \mathbf{E}_{\text{Single}} \mathbf{f}(\vec{q})$$

$$\frac{d\sigma}{d\Omega} = r_e^2 (\hat{\mathbf{e}}_{\text{in}} \cdot \hat{\mathbf{e}}_{\text{out}})^2 |\mathbf{f}(\vec{q})|^2$$

$$d\dot{N}_{\text{scattered}} = \left( \frac{d\dot{N}_0}{dS} \right) \left( \frac{d\sigma}{d\Omega} \right) d\Omega$$

## Anomalous correction

$$\frac{d^2 \vec{r}}{dt^2} + \gamma \frac{d\vec{r}}{dt} + \omega_0^2 \vec{r} = \frac{e}{m} \vec{E}_0 e^{i\omega t}$$

$$\vec{r} = \vec{r}_0 e^{-i\omega t}$$

$$\vec{r}_0 = \left( -\frac{e\vec{E}_0}{m\omega^2} \right) \frac{-\omega^2}{(\omega_0^2 - \omega^2) - i\gamma\omega}$$

$$\vec{r}_0 = \left( -\frac{e\vec{E}_0}{m\omega^2} \right) \left[ 1 - \frac{\omega_0^2 - i\gamma\omega}{(\omega_0^2 - \omega^2) - i\gamma\omega} \right]$$

## Anomalous correction

$$\mathbf{f}_i = \mathbf{f}_i^{\text{free}} \left[ 1 - \frac{\omega_0^2 - i\gamma\omega}{(\omega_0^2 - \omega^2) - i\gamma\omega} \right]$$

$$\omega \ll \omega_0$$

$$\mathbf{f}_i = \mathbf{0}$$

At low frequency electron do not  
Contribute to the scattering

$$\omega \gg \omega_0$$

$$\mathbf{f}_i = \mathbf{f}_i^{\text{free}}$$

At high frequency the electron  
behaves like free electrons

## Anomalous correction

$$\mathbf{f}_i = \mathbf{f}_i^{\text{free}} \left[ \mathbf{1} - \frac{\omega_0^2 - i\gamma\omega}{(\omega_0^2 - \omega^2) - i\gamma\omega} \right] = \mathbf{f}_i^{\text{free}} + \delta\mathbf{f}_i$$

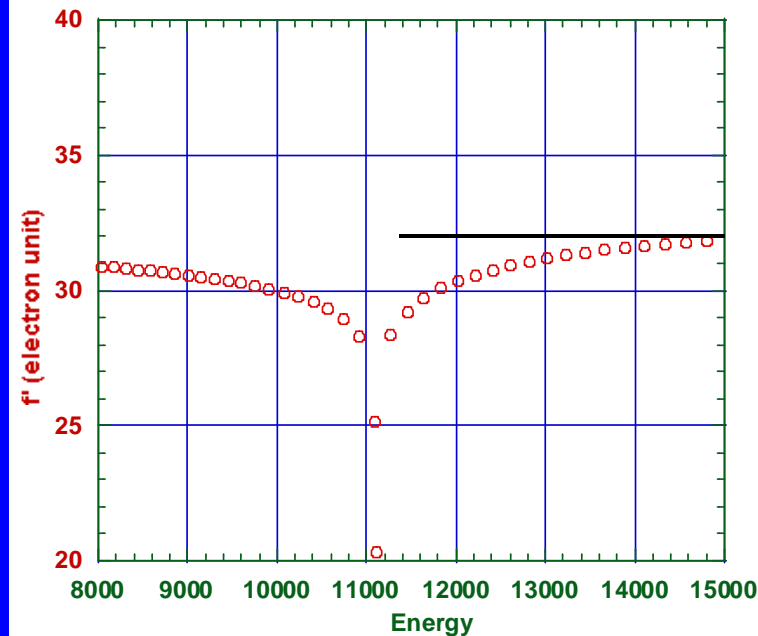
$$\delta\mathbf{f}_i' = -\mathbf{f}_i^{\text{free}} \frac{\omega_0^2 (\omega_0^2 - \omega^2) + \gamma^2 \omega^2}{(\omega_0^2 - \omega^2)^2 + \gamma^2 \omega^2}$$

$$\delta\mathbf{f}_i'' = \mathbf{f}_i^{\text{free}} \frac{\gamma\omega^3}{(\omega_0^2 - \omega^2)^2 + \gamma^2 \omega^2}$$

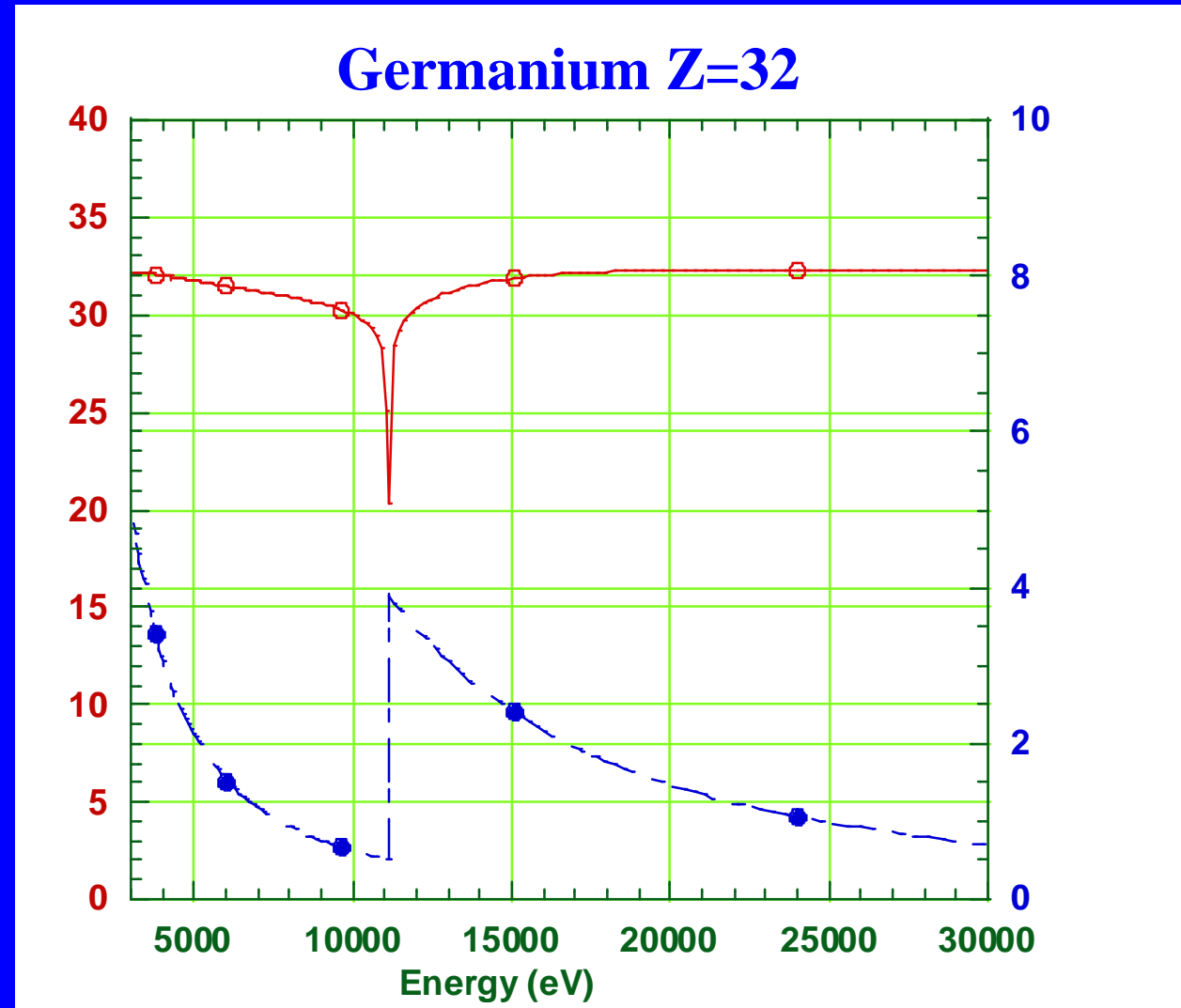
# Anomalous correction for atoms

$$\mathbf{f} = \mathbf{f}^{\text{free}} + \Delta\mathbf{f} = \sum_j \mathbf{f}_j^{\text{free}} - \sum_j \mathbf{f}_j^{\text{free}} \frac{\omega_{0j}^2 - i\gamma\omega}{(\omega_{0j}^2 - \omega^2) - i\gamma\omega}$$

## Germanium Z=32



# Anomalous correction for atoms: $f'$ and $f''$ of Ge

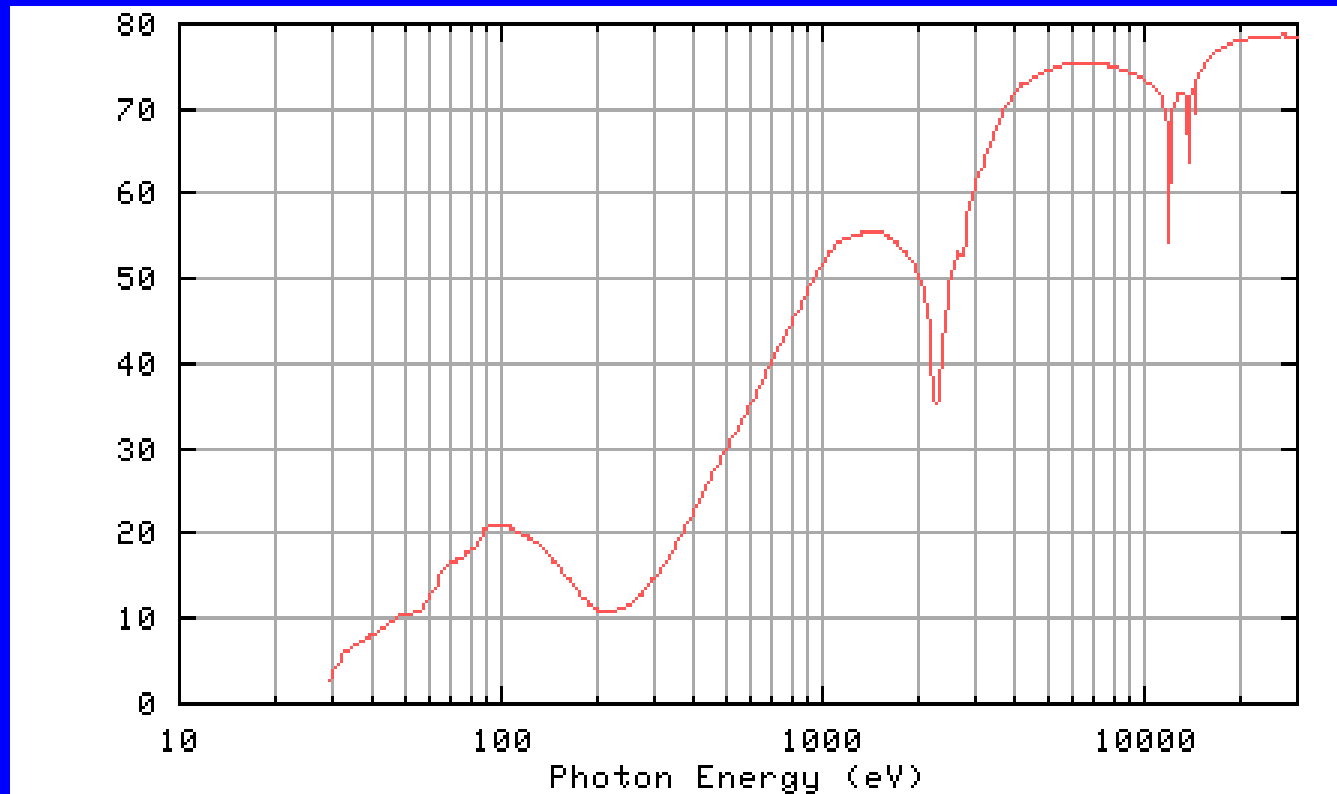




## Anomalous correction for Au

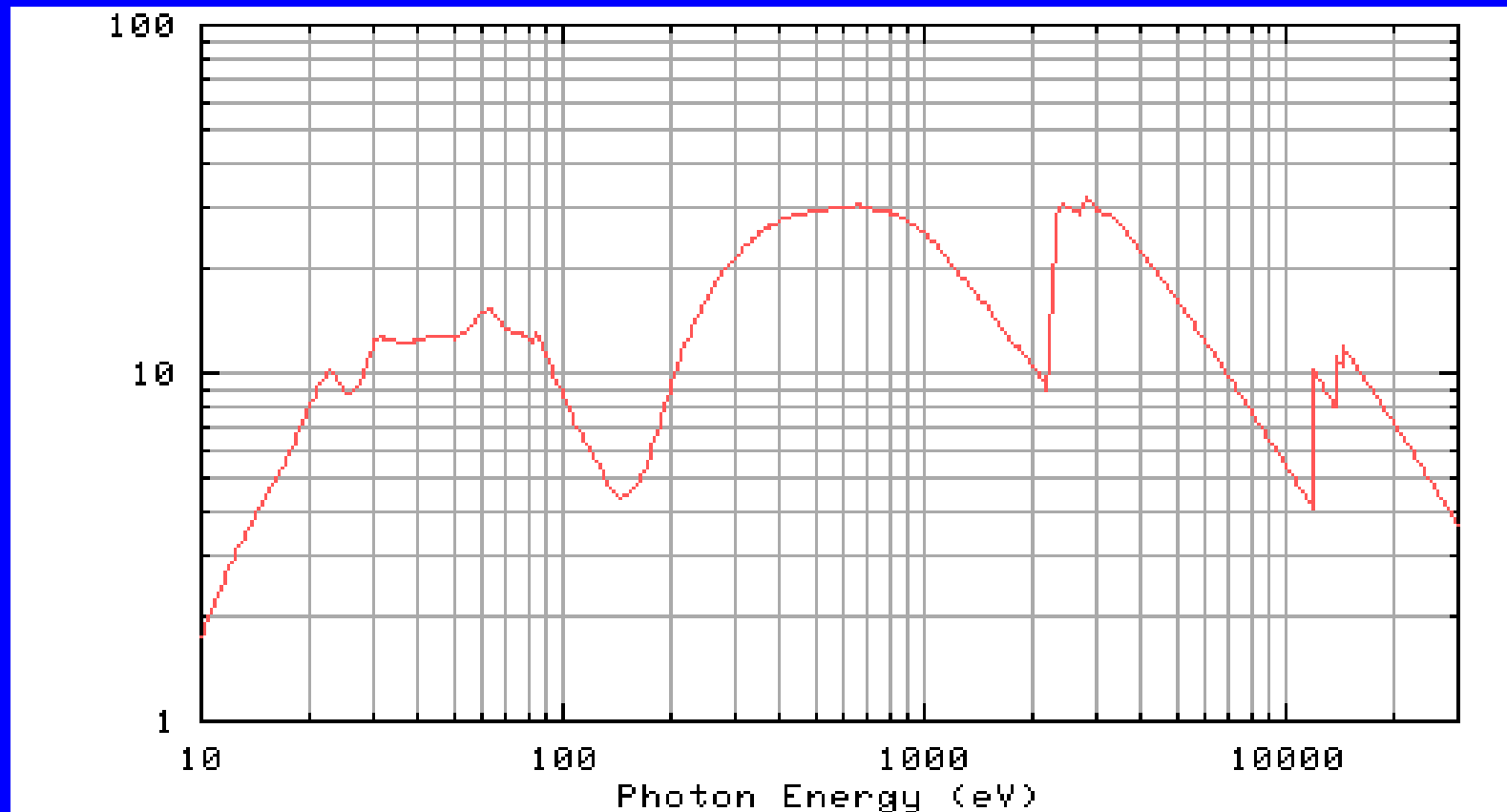
$$\mathbf{f} = \mathbf{f}^{\text{free}} + \Delta\mathbf{f} = \sum_j \mathbf{f}_j^{\text{free}} + \sum_j \mathbf{f}_j^{\text{free}} \frac{\omega_{0j}^2 - i\gamma\omega}{(\omega_{0j}^2 - \omega^2) - i\gamma\omega}$$

Gold Z=

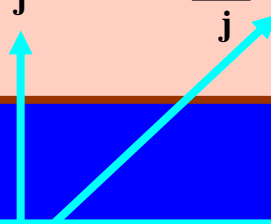


# Anomalous correction: $f''$ of Ge

Germanium  $Z=32$



## Angular dependence of the Anomalous corrections

$$\mathbf{f} = \mathbf{f}^{\text{free}} + \Delta\mathbf{f} = \sum_j \mathbf{f}_j^{\text{free}} + \sum_j \mathbf{f}_j^{\text{free}} \frac{\omega_{0j}^2 - i\gamma\omega}{(\omega_{0j}^2 - \omega^2) - i\gamma\omega}$$


For each electron the anomalous correction has the same  $q$  dependence as the free term

In the X-ray regime the only electron to consider are the inner shell electron, which origin a spherically symmetric charge distribution

**Anomalous corrections do not depend on the angle**

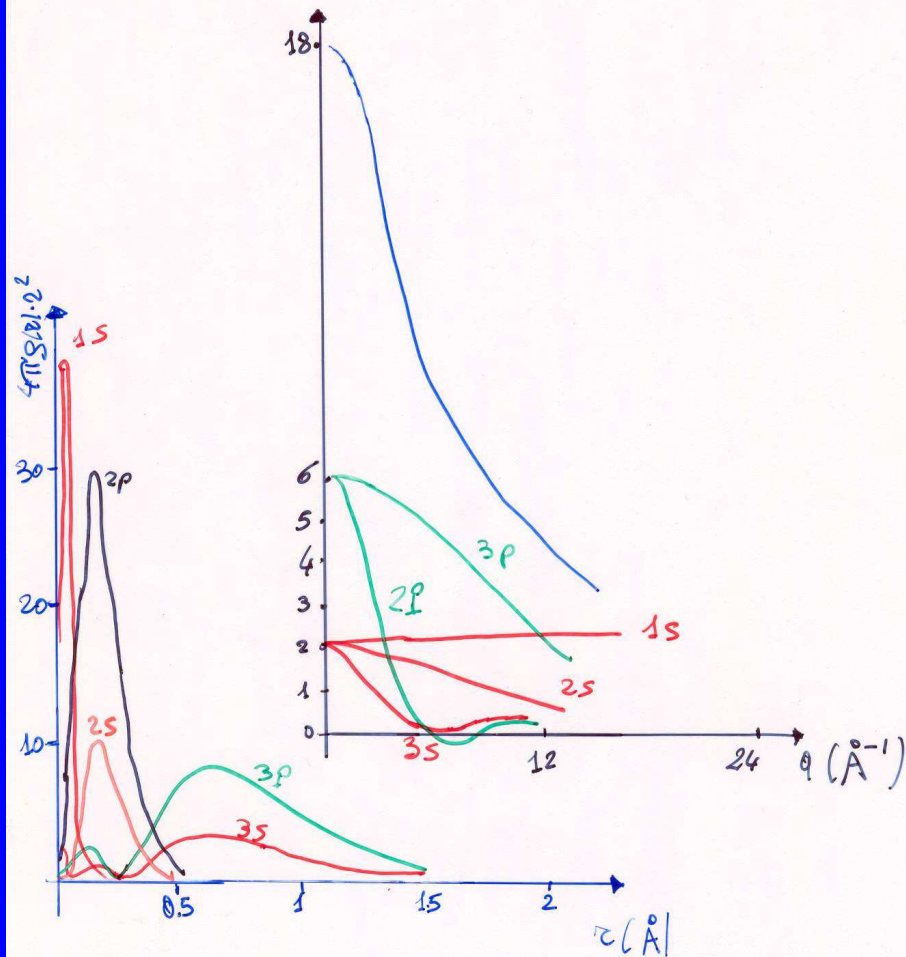
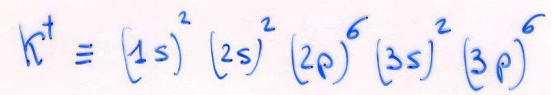
## KK & Optical theorem

$$\mathbf{f}'' = \frac{mc^2}{2Ne^2\lambda} \mu \propto \mu$$

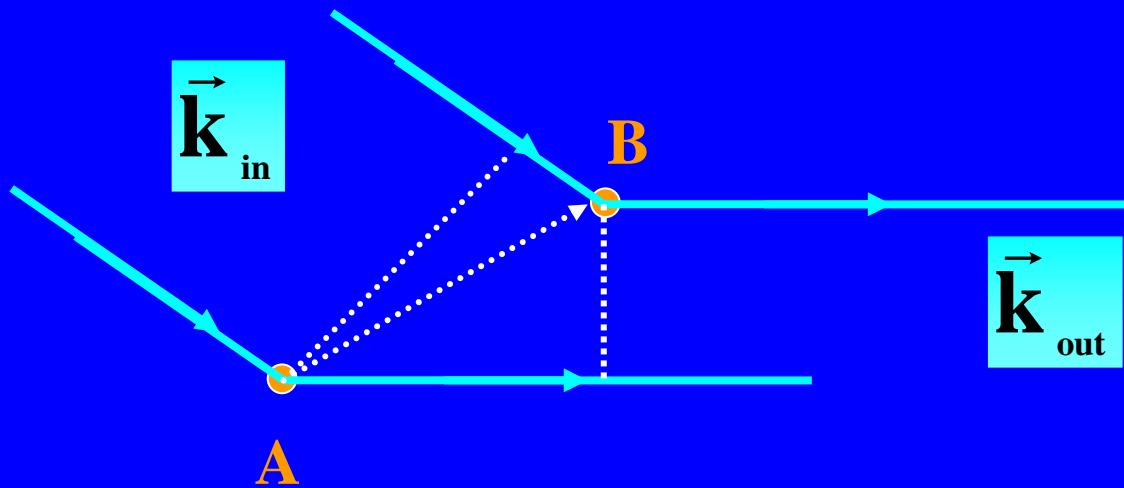
$$\mathbf{f}' = \frac{2}{\pi} \int_0^\infty \bar{\omega} \mathbf{f}''(\bar{\omega}) d\bar{\omega} + \frac{5\mathbf{E}_{\text{tot}}}{3mc^2}$$

$$\mathbf{f}'' = -\frac{2\omega}{\pi} \int_0^\infty \frac{\mathbf{f}'(\bar{\omega})}{\omega^2 - \bar{\omega}^2} d\bar{\omega}$$

# K<sup>+</sup> scattering factors



## Anomalous scattering to solve the phase problem



$$\begin{aligned}
 \mathbf{E}_{sc.} &\propto \mathbf{E}_0 e^{i\vec{k}_{in} \cdot \vec{r}_A} \mathbf{f}_A e^{i\vec{k}_{out} \cdot (\vec{r} - \vec{r}_A)} + \mathbf{E}_0 e^{i\vec{k}_{in} \cdot \vec{r}_B} \mathbf{f}_B e^{i\vec{k}_{out} \cdot (\vec{r} - \vec{r}_B)} \\
 &\propto \mathbf{E}_0 e^{i\vec{k}_{in} \cdot \vec{r}_A} e^{i\vec{k}_{out} \cdot (\vec{r} - \vec{r}_A)} \left( \mathbf{f}_A + \mathbf{f}_B e^{i\vec{k}_{in} \cdot (\vec{r}_B - \vec{r}_A)} e^{i\vec{k}_{out} \cdot (\vec{r}_A - \vec{r}_B)} \right) \propto \\
 &\mathbf{E}_0 e^{i\vec{k}_{in} \cdot \vec{r}_A} e^{i\vec{k}_{out} \cdot (\vec{r} - \vec{r}_A)} \left( \mathbf{f}_A + \mathbf{f}_B e^{i\vec{q} \cdot (\vec{r}_A - \vec{r}_B)} \right)
 \end{aligned}$$

## Friedel law

$$I(\mathbf{q}) \propto |\mathbf{E}_{sc.}|^2 \propto \left| \mathbf{f}_A + \mathbf{f}_B e^{i\mathbf{q}(\vec{r}_A - \vec{r}_B)} \right|^2$$



When  $f_A$  and  $f_B$  are real  $\rightarrow I(\mathbf{q})=I(-\mathbf{q})$

$$\left| \mathbf{f}_A + \mathbf{f}_B e^{i\mathbf{q}(\vec{r}_A - \vec{r}_B)} \right|^2 = \left( \mathbf{f}_A + \mathbf{f}_B e^{i\mathbf{q}(\vec{r}_A - \vec{r}_B)} \right) \left( \mathbf{f}_A + \mathbf{f}_B e^{-i\mathbf{q}(\vec{r}_A - \vec{r}_B)} \right)$$

Friedel law

## Friedel law

When  $f_A$  and  $f_B$  are complex  $\rightarrow I(\mathbf{q}) \neq I(-\mathbf{q})$

$$\left| \left( \mathbf{f}_A + \mathbf{f}_B e^{i\mathbf{q}(\vec{r}_A - \vec{r}_B)} \right) \right|^2 = \left( \mathbf{f}_A + \mathbf{f}_B e^{i\mathbf{q}(\vec{r}_A - \vec{r}_B)} \right) \left( \mathbf{f}_A^* + \mathbf{f}_B^* e^{-i\mathbf{q}(\vec{r}_A - \vec{r}_B)} \right)$$

$$\left| \left( \mathbf{f}_A + \mathbf{f}_B e^{-i\mathbf{q}(\vec{r}_A - \vec{r}_B)} \right) \right|^2 = \left( \mathbf{f}_A + \mathbf{f}_B e^{-i\mathbf{q}(\vec{r}_A - \vec{r}_B)} \right) \left( \mathbf{f}_A^* + \mathbf{f}_B^* e^{+i\mathbf{q}(\vec{r}_A - \vec{r}_B)} \right)$$

$$\mathbf{f}_A = |\mathbf{f}_A| e^{i\Phi_A}$$

$$\mathbf{f}_B = |\mathbf{f}_B| e^{i\Phi_B}$$

$$I(\vec{q}) - I(-\vec{q}) \propto \operatorname{Re} \left\{ e^{i\mathbf{q}(\vec{r}_A - \vec{r}_B)} \right\} \operatorname{Re} \left\{ e^{i(\Phi_A - \Phi_B)} \right\}$$



# Matter $\leftarrow$ Interaction $\rightarrow$ Radiation II

## Semi-Classical approach

### Radiation:

Electromagnetic waves described by Maxwell equations

### Matter:

Quantum system obeying Schrodinger equation  
(oscillators,...)

## **Semiclassical approach**

**Radiation: classical**  
**Electromagnetic field described by the potential vector  $A$**

**Matter: Quantum system**

# Matter $\leftarrow$ Interaction $\rightarrow$ Radiation III

## Quantum approach

### Radiation

Quantum system composed of mass less particles (Photons)

### Matter

Quantum system obeying Schrodinger equation

# Semiclassical approach: the radiation

One vector is enough  
to describe  
e.m. radiation



Vector potential  $\mathbf{A}(\mathbf{r},t)$

$$\vec{\mathbf{E}} = -\frac{1}{c} \frac{\partial \vec{\mathbf{A}}}{\partial t} - \text{grad}V$$
$$\vec{\mathbf{B}} = \text{rot} \vec{\mathbf{A}}$$

$$\nabla^2 V + \frac{1}{c^2} \frac{\partial^2 V}{\partial t^2} = \rho$$
$$-\nabla^2 \vec{\mathbf{A}} + \frac{1}{c^2} \frac{\partial^2 \vec{\mathbf{A}}}{\partial t^2} = \frac{\mu}{c} \vec{\mathbf{j}}$$

$$\nabla^2 V = \rho$$
$$-\nabla^2 \vec{\mathbf{A}} = \frac{\mu}{c} \vec{\mathbf{j}}$$

$$\nabla^2 \vec{\mathbf{A}} - \frac{1}{c^2} \frac{\partial^2 \vec{\mathbf{A}}}{\partial t^2} = \mathbf{0}$$

## Semiclassical approach: the radiation

$$\vec{A} = \vec{A}_{\vec{k}} e^{i(\vec{k}\vec{r} - \omega t)}$$

$$\vec{E} = -\frac{1}{c} \frac{\partial \vec{A}}{\partial t} - \text{grad} V$$
$$\vec{B} = \text{rot } \vec{A}$$

$$\vec{E} = -\vec{A}_{\vec{k}} \frac{i\omega}{c} e^{i(\vec{k}\vec{r} - \omega t)}$$
$$\vec{B} = \vec{k} \times \vec{A}_{\vec{k}} e^{i(\vec{k}\vec{r} - \omega t)}$$

## Semiclassical approach: the matter

### Matter: Quantum system

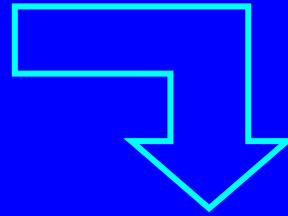
The system is characterized by its **Hamiltonian**  $H_0$  and by its **eigenfunctions**  $\psi_n$  and **energy eigenvalues**  $E_n$  obtained by solving the **Schrodinger equation**

$$\hat{H}_0 \psi_n = E_n \psi_n$$

$$\left( \frac{\hat{p}^2}{2m} + V \right) \psi_n = E_n \psi_n$$

## Interaction Hamiltonian

$$\hat{\mathbf{p}} \rightarrow \left( \hat{\mathbf{p}} - \frac{e}{c} \vec{\mathbf{A}} \right)$$



$$\begin{aligned} \hat{\mathbf{H}} &= \frac{1}{2m} \left( \hat{\mathbf{p}} - \frac{e}{c} \vec{\mathbf{A}} \right)^2 + \mathbf{V} = \\ &\left( \frac{\hat{\mathbf{p}}^2}{2m} - \frac{e}{mc} \vec{\mathbf{A}} \hat{\mathbf{p}} + \frac{e^2}{2mc^2} \mathbf{A}^2 \right) + \mathbf{V} \\ \hat{\mathbf{H}}_0 - \frac{e}{mc} \vec{\mathbf{A}} \hat{\mathbf{p}} + \frac{e^2}{2mc^2} \mathbf{A}^2 &= \hat{\mathbf{H}}_0 + \hat{\mathbf{H}}_{\text{int}} \end{aligned}$$

# Perturbation Hamiltonian

$$\hat{H} = \hat{H}_0 + \hat{H}_{\text{int}}$$

$$\hat{H}_{\text{int}} = -\frac{e}{mc} \vec{A} \hat{p} + \frac{e^2}{2mc^2} A^2$$

Linear in A

Quadratic in A

$$\vec{A} = \vec{A}_{\vec{k}} e^{i(\vec{k}\vec{r} - \omega t)}$$

Time dependent terms



## Fermi Golden rule

The perturbation due to the e.m. field induce transitions from the ground state  $\psi_i$  to excited states  $\psi_f$  with a probability per unit time given by

$$\Gamma_{if} = \frac{2\pi}{\hbar} |\mathbf{M}_{if}|^2 \delta(\mathbf{E}_f - \mathbf{E}_i) = \frac{2\pi}{\hbar} |\mathbf{M}_{if}|^2 g(\mathbf{E}_f)$$

$$\mathbf{M}_{if} = \langle \psi_f | \hat{\mathbf{H}}_{\text{int.}} | \psi_i \rangle + \sum_n \frac{\langle \psi_f | \hat{\mathbf{H}}_{\text{int.}} | \psi_n \rangle \langle \psi_n | \hat{\mathbf{H}}_{\text{int.}} | \psi_i \rangle}{\mathbf{E}_i - \mathbf{E}_n \pm \hbar\omega + \mathbf{i}\varepsilon}$$

# Absorption

$$\hat{H}_{\text{int}} = -\frac{e}{mc} \vec{A} \hat{p} + \frac{e^2}{2mc^2} A^2$$

$$\Gamma_{\text{if}} = \frac{2\pi}{\hbar} |\mathbf{M}_{\text{if}}|^2 g(\mathbf{E}_f)$$

$$\mathbf{M}_{\text{if}} = \langle \psi_f | \hat{H}_{\text{int.}} | \psi_i \rangle + \sum_n \frac{\langle \psi_f | \hat{H}_{\text{int.}} | \psi_n \rangle \langle \psi_n | \hat{H}_{\text{int.}} | \psi_i \rangle}{E_i - E_n \pm \hbar\omega + i\epsilon}$$

$$\Gamma_{\text{if}} = \frac{2\pi}{\hbar} \left( \frac{e A_k}{m c} \right)^2 \left| \langle \psi_f | e^{i\vec{k}\vec{r}} (\hat{e}_k \cdot \hat{p}) | \psi_i \rangle \right|^2 \delta(E_f - E_i - \hbar\omega)$$

$$\Gamma_{\text{if}} = \frac{2\pi}{\hbar} \left( \frac{e E_k}{m \omega} \right)^2 \left| \langle \psi_f | e^{i\vec{k}\vec{r}} (\hat{e}_k \cdot \hat{p}) | \psi_i \rangle \right|^2 \delta(E_f - E_i - \hbar\omega)$$

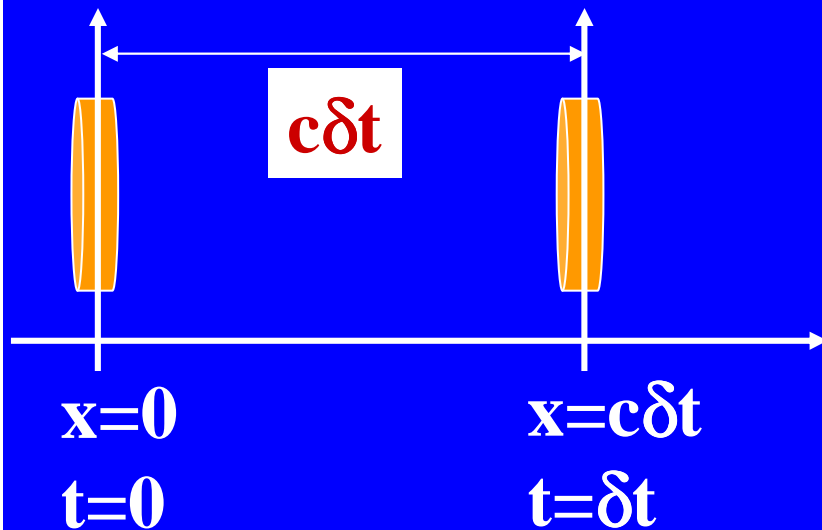
# Power Absorption

$$\Gamma_{if} = \frac{2\pi}{\hbar} \left( \frac{e \mathbf{E}_k}{m \omega} \right)^2 \left| \langle \psi_f | e^{i\mathbf{k}\cdot\mathbf{r}} (\hat{\mathbf{e}}_k \cdot \hat{\mathbf{p}}) | \psi_i \rangle \right|^2 \delta(\mathbf{E}_f - \mathbf{E}_i - \hbar\omega)$$

Total power absorbed per unit volume

$$\frac{dW}{dt} = \frac{1}{V} \sum_f \hbar\omega \Gamma_{if} =$$
$$\frac{1}{V} \sum_f \frac{2\pi}{\omega} \left( \frac{e \mathbf{E}_k}{m \omega} \right)^2 \left| \langle \psi_f | e^{i\mathbf{k}\cdot\mathbf{r}} (\hat{\mathbf{e}}_k \cdot \hat{\mathbf{p}}) | \psi_i \rangle \right|^2 \delta(\mathbf{E}_f - \mathbf{E}_i - \hbar\omega)$$

# Absorption Coefficient



$$w(0)\Delta V$$

$$w(x)\Delta V$$

$w(\mathbf{r}) = \text{Energy density}$

$$w dV = \langle \mathbf{E}^2 \rangle dV = \frac{1}{2} \mathbf{E}_0^2 dV$$

$$w(x) = w(0)e^{-\mu x} \cong w(0)(1 - \mu x) = w(0)(1 - \mu c \delta t)$$

$$\frac{dW}{dt} = \frac{(w(c\delta t) - U(0))}{dt} = w(0)\mu c$$

## Absorption Coefficient

$$\frac{dW}{dt} = \frac{(w(cdt) - w_0)}{dt} = w(0) \mu c = \frac{\mu c}{2} E_0^2$$

$$\frac{dW}{dt} = \sum_f \hbar \omega \frac{2\pi}{\hbar} \left( \frac{e E_k}{m \omega} \right)^2 \left| \langle \psi_f | e^{i\vec{k}\vec{r}} (\hat{e}_k \cdot \hat{p}) | \psi_i \rangle \right|^2 \delta(E_f - E_i - \hbar\omega)$$

$$\mu = \frac{4\pi^2 \hbar \alpha}{m^2 \omega} \sum_f \left| \langle \psi_f | e^{i\vec{k}\vec{r}} (\hat{e}_k \cdot \hat{p}) | \psi_i \rangle \right|^2 \delta(E_f - E_i - \hbar\omega)$$

$$\alpha = \frac{e^2}{\hbar c} \cong \frac{1}{137}$$

# Absorption Coefficient:dipole approximation

$$e^{i\vec{k}\vec{r}} \cong 1 + i\vec{k}\vec{r}$$

$$\mu = \frac{4\pi^2\hbar\alpha}{m^2\omega} \sum_f \left| \langle \psi_f | (\hat{e}_k \cdot \hat{p}) | \psi_i \rangle \right|^2 \delta(E_f - E_i - \hbar\omega)$$

Optical transitions:  $\lambda \approx 5000 \text{ \AA} \rightarrow$  always valid

In the case of X-ray, the wavelength is few Å, i.e. of the same order as the extensions of the atomic orbitals

In general the core states **spatial extension reduces as  $1/Z$**  with increasing the  $Z$  number of the atom with respect to the hydrogen orbitals

the energy of the absorption edges increases as  $Z^2$  and **the wavelength of the radiation needed to excite a core level decreases as  $1/Z^2$**



**Therefore for high  $Z$  elements, deviations from the dipole approximations must be expected and must be taken into account.**

## Quadrupole approximation

Second term in the expansion of  $e^{j\mathbf{k}\mathbf{r}}$

$$\begin{aligned} \mu &= N_A 4\pi^2 \alpha \hbar \omega_{\mathbf{k}} \left| \hat{\mathbf{e}}_{\vec{\mathbf{k}},\lambda} \cdot \langle \psi_f | (1 + i\vec{\mathbf{k}}\vec{\mathbf{r}}_i) \vec{\mathbf{r}}_i | \psi_i \rangle \right|^2 g(E_f) = \\ & N_A 4\pi^2 \alpha \hbar \omega_{\mathbf{k}} \left| \hat{\mathbf{e}}_{\vec{\mathbf{k}},\lambda} \cdot \langle \psi_f | \hat{\mathbf{r}}_i | \psi_i \rangle + \hat{\mathbf{e}}_{\vec{\mathbf{k}},\lambda} \cdot \langle \psi_f | (i\vec{\mathbf{k}}\vec{\mathbf{r}}_i) \vec{\mathbf{r}}_i | \psi_i \rangle \right|^2 \end{aligned}$$

Peculiar angular dependance

Important when the dipole term is zero



## Quadrupole/dipole term

Atom	K Edge	L <sub>1</sub> Edge	L <sub>2,3</sub> Edge
Al	0.012	0.004	0.001
Cu	0.058	0.026	0.01
Ag	0.152	0.073	0.03
Yb	0.314	0.194	0.06
Au	0.397	0.270	0.08

Negligible for light  
elements

Relevant for the K edge of  
3d elements

Cannot be neglected for  
heavy elements

**Always negligible far from the edge**

# Absorption Coefficient: electric dipole

$$\frac{\langle \psi_f | \hat{p} | \psi_i \rangle}{\hbar} = \frac{\langle \psi_f | m \hat{r} | \psi_i \rangle}{\hbar} = \frac{\langle \psi_f | [\hat{H} \hat{r} - \hat{r} \hat{H}] | \psi_i \rangle}{\hbar} =$$

$$\frac{\langle \psi_f | \hat{r} | \psi_i \rangle}{\hbar} = \frac{\langle \psi_f | \hat{r} | \psi_i \rangle}{\hbar} = \frac{\langle \psi_f | \hat{r} | \psi_i \rangle}{\hbar} = \frac{\langle \psi_f | \hat{r} | \psi_i \rangle}{\hbar}$$

$$\mu = 4\pi^2 \hbar \omega \alpha \sum_f |\langle \psi_f | (\hat{e}_k \cdot \hat{r}) | \psi_i \rangle|^2 \delta(E_f - E_i - \hbar\omega)$$

$$\mu = 4\pi^2 \hbar \omega \alpha |\langle \psi_f | (\hat{e}_k \cdot \hat{r}) | \psi_i \rangle|^2 D(E_f)$$

$D(E_f)$  = Density of states

# Scattering in the semiclassical approach - I

$$\left( \hat{H}_0 + -\frac{e}{mc} \vec{A} \hat{p} + \frac{e^2}{2mc^2} A^2 \right) \Psi = -i\hbar \frac{\partial \Psi}{\partial t}$$

$$\Psi_n = \psi_n e^{-i\frac{E_n t}{\hbar}}$$



$$\Psi'_n = \psi_n e^{-i\frac{E_n t}{\hbar}} + \text{correction}$$

$$\hat{j} = e\vec{v} = e \frac{\hat{p}}{m} \rightarrow \frac{e}{m} \left( \hat{p} - \frac{e}{c} \vec{A} \right)$$

## Scattering in the semiclassical approach - II

$$\vec{j}_{nm} = \frac{e}{2m} \langle \Psi'_n | \hat{p} | \Psi'_m \rangle - \frac{e^2}{mc} \vec{A} \langle \Psi'_n | | \Psi'_m \rangle$$

**Current associated with a moving electron:**

$$\vec{r} = \vec{r}_0 \sin \omega t$$

$$\vec{j} = e\vec{v} = ei\omega\vec{r} = -i \frac{\omega e^2}{m\omega^2} \vec{E} = -\frac{e^2}{mc} \vec{A}$$



$$\frac{d\sigma}{d\Omega} = (\hat{e}_{in} \cdot \hat{e}_{out})^2 r_e^2$$

# Elastic Scattering at high energy - I

$$\Psi'_n = \psi_n e^{-i\frac{E_n t}{\hbar}} + \text{correction}$$

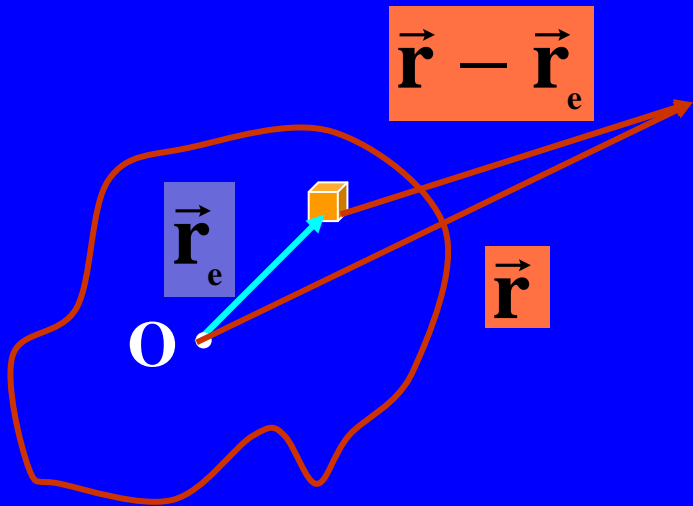
$$\text{correction} \propto \left| \frac{1}{\hbar\omega_{ln} \pm \hbar\omega} \right|^2 \cong 0 \Rightarrow \Psi'_n \cong \psi_n e^{-i\frac{E_n t}{\hbar}}$$

$$\vec{j}_{\text{m}} = \frac{e}{2m} \langle \Psi_n | \hat{\mathbf{p}} | \Psi_n \rangle - \frac{e^2}{mc} \vec{\mathbf{A}} \langle \Psi_n | \Psi_n \rangle \cong -\frac{e^2}{mc} \vec{\mathbf{A}} |\psi_n|^2$$

Does not depend on time

Depend on time

# Elastic Scattering at high energy - II



$$\vec{j}_{nn} \cong -\frac{e^2}{mc} \vec{A} |\psi_n|^2$$

$$dE_\theta = \frac{1}{4\pi\epsilon_0} \frac{e^2 E_0}{mc^2} (\hat{e}_{in} \cdot \hat{e}_{out}) \frac{e^{i(\vec{k}_{out} \vec{r} - \omega t)}}{|\vec{r}|} e^{i(\vec{k}_{out} - \vec{k}_{in}) \vec{r}_e} \rho_e dV$$

$$\frac{d\sigma}{d\Omega} = r_e^2 (\hat{e}_{in} \cdot \hat{e}_{out})^2 |f(\vec{q})|^2$$

# Inelastic Scattering at high energy

$$\vec{j}_{nm} \cong -\frac{e^2}{mc} \vec{A} \langle \Psi_n \parallel \Psi_m \rangle =$$

$$-\frac{e^2}{mc} \vec{A}_k e^{i\vec{k}\vec{r} - \omega_0 t} \langle \Psi_n \parallel \Psi_m \rangle e^{i(\omega_n - \omega_m)t}$$

$$\omega = \omega_0 \pm (\omega_n - \omega_m)$$

$$\vec{j} = e\vec{v} = e i \omega \vec{r} = -\frac{e^2}{mc} \vec{A} \left( \frac{\omega}{\omega_0} \right)$$



$$\left( \frac{d\sigma}{d\Omega} \right)_{in.} = r_e^2 (\hat{e}_{in} \cdot \hat{e}_{out})^2 \left( \frac{\omega}{\omega_0} \right)^2$$

$$\left( \frac{d\sigma}{d\Omega} \right)_{in.} = r_e^2 (\hat{e}_{in} \cdot \hat{e}_{out})^2 \left( \frac{\omega}{\omega_0} \right)^2 \sum_{m \neq n} |\langle \Psi_n | e^{i\vec{q}\vec{r}} | \Psi_m \rangle|^2$$

# Inelastic Scattering at very high energy

$$\left( \frac{d\sigma}{d\Omega} \right)_{\text{in.}} = r_e^2 (\hat{\mathbf{e}}_{\text{in}} \cdot \hat{\mathbf{e}}_{\text{out}})^2 \left( \frac{\omega}{\omega_0} \right)^2 \sum_{m \neq n} \left| \langle \psi_m | e^{i\vec{q}\vec{r}} | \psi_n \rangle \right|^2$$

$$\begin{aligned} \sum_{m \neq n} \left| \langle \psi_m | e^{i\vec{q}\vec{r}} | \psi_n \rangle \right|^2 &= \sum_{m \neq n} \langle \psi_n | e^{i\vec{q}\vec{r}} | \psi_m \rangle \langle \psi_m | e^{-i\vec{q}\vec{r}} | \psi_n \rangle = \\ \langle \psi_n | e^{i\vec{q}\vec{r}} \left( \sum_{m \neq n} | \psi_m \rangle \langle \psi_m | \right) e^{-i\vec{q}\vec{r}} | \psi_n \rangle &= 1 - \left| \langle \psi_n | e^{i\vec{q}\vec{r}} | \psi_n \rangle \right|^2 = \\ &= 1 - |\mathbf{f}(\vec{q})|^2 \end{aligned}$$

$$\left( \frac{d\sigma}{d\Omega} \right)_{\text{in.}} = r_e^2 (\hat{\mathbf{e}}_{\text{in}} \cdot \hat{\mathbf{e}}_{\text{out}})^2 \left( 1 - |\mathbf{f}(\vec{q})|^2 \right)$$



## Inelastic Scattering at very high energy

$$\left( \frac{d\sigma}{d\Omega} \right)_{\text{in.}} \cong r_e^2 (\hat{\mathbf{e}}_{\text{in}} \cdot \hat{\mathbf{e}}_{\text{out}})^2 \left( 1 - |\mathbf{f}(\vec{\mathbf{q}})|^2 \right)$$

$$\left( \frac{d\sigma}{d\Omega} \right)_{\text{el.}} + \left( \frac{d\sigma}{d\Omega} \right)_{\text{in.}} \cong r_e^2 (\hat{\mathbf{e}}_{\text{in}} \cdot \hat{\mathbf{e}}_{\text{out}})^2$$

**The sum of the elastic and inelastic cross sections is equal to the Classical cross section of a free electron**

# Anomalous and Resonant Scattering

$$\Psi'_n = \psi_n e^{-i\frac{E_n t}{\hbar}} + \text{correction}$$

$$\vec{j}_{nm} = \frac{e}{2m} \langle \Psi'_n | \hat{\mathbf{p}} | \Psi'_m \rangle - \frac{e^2}{mc} \vec{\mathbf{A}} \langle \Psi'_n || \Psi'_m \rangle$$

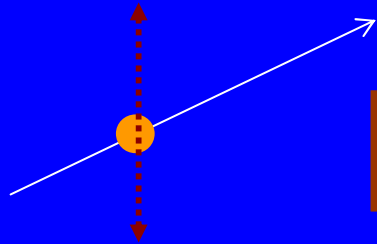
$$\Psi'_n = \psi_n e^{-i\frac{E_n t}{\hbar}} + \frac{e\mathbf{A}_k}{mc} \sum_{l \neq n} \left( \frac{\langle \psi_l | e^{i\vec{k}\vec{r}} \hat{\mathbf{p}} | \psi_n \rangle}{\hbar\omega_l - \hbar\omega} e^{i(\omega_l - \omega)t} - \frac{\langle \psi_n | e^{i\vec{k}\vec{r}} \hat{\mathbf{p}} | \psi_l \rangle}{\hbar\omega_l + \hbar\omega} e^{i(\omega_l + \omega)t} \right) \psi_l e^{-i\frac{E_l t}{\hbar}}$$

Corrections are frequency dependent  $\rightarrow$  anomalous scattering

One term is resonant

# Magnetic Interactions

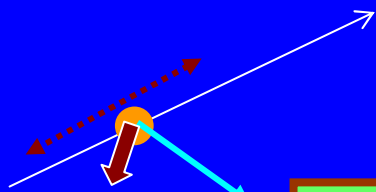
An electromagnetic wave transport both an electric and a magnetic field



$$\vec{F} = -e\vec{E}$$



Thomson scattering

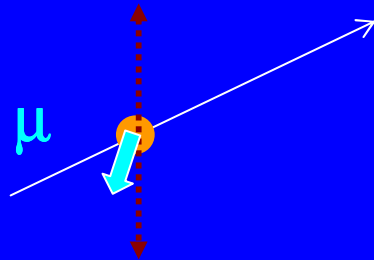


$$E = -\vec{\mu}\vec{H}$$

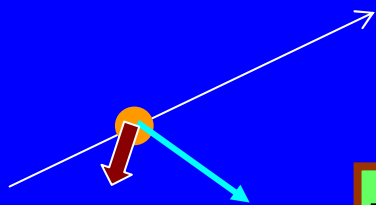
$$\vec{F} = \text{grad}(\vec{\mu}\vec{H})$$

Is due to the variation of the energy for the non uniformity of the magnetic field of the radiation

# Magnetic Interactions



**Magnetic dipole oscillations**

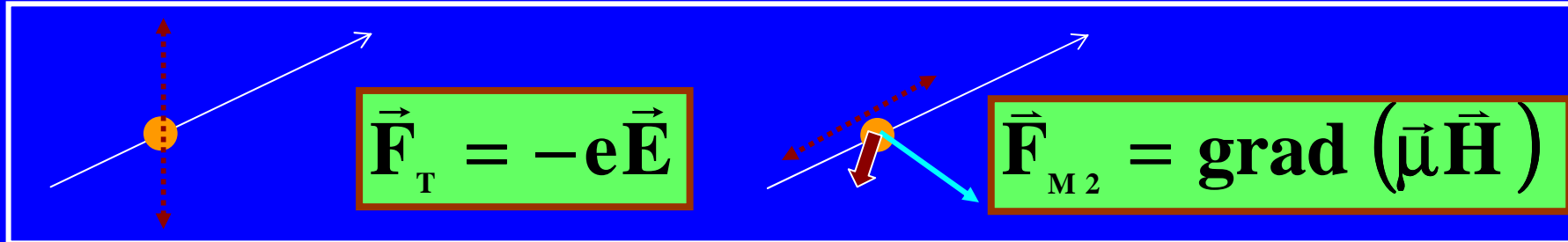


**Torque**

$$\vec{M} = (\vec{\mu} \times \vec{H})$$

**Due to the variation of the torque associated with the time dependence of the magnetic field of the radiation**

# Strength of Magnetic Interactions



$$\frac{|\vec{F}_{M2}|}{|\vec{F}_T|} = \frac{|\text{grad}(\vec{\mu} \cdot \vec{H})|}{|e\mathbf{E}|} = \frac{|\text{grad}(\vec{\mu} \cdot \vec{H}_0 e^{i\vec{k}\vec{r}})|}{eE_0} =$$

$$\mathbf{k} \frac{\vec{\mu} \cdot \mathbf{H}_0}{eE_0} = \frac{2\pi}{\lambda} \left( \frac{e\hbar}{2m} \right) \frac{1}{e} \frac{H_0}{E_0} \cong \frac{\pi\hbar}{mc\lambda} = \frac{\lambda_{\text{Compton}}}{\lambda} \approx 10^{-2}$$

Only magnetic  
Electrons are active

$$\frac{I_{\text{mag.}}}{I_T} \approx 10^{-4} \left( \frac{Z_{\text{mag.}}}{Z} \right)^2 \approx 10^{-6} \div 10^{-7}$$

## de Bergevin e Brunel on NiO(1972)

- NiO e' un cristallo cubico antiferromagnetico ( $T_{\text{Neel}}=250\text{ }^{\circ}\text{C}$ )
- Gli ioni  $\text{Ni}^{++}$  hanno due soli spin accoppiati
- Gli spin sono allineati magneticamente nel piano (111)
- Ed antiferromagneticamente tra i piani (111)

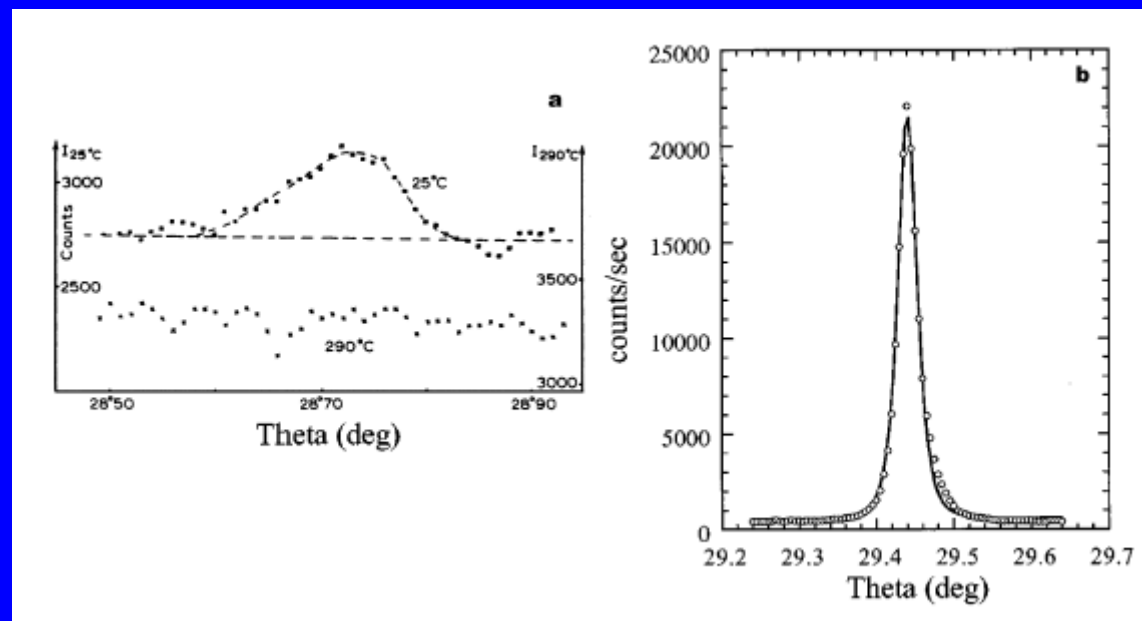


Figure 10: Panel a: Superlattice magnetic reflection (3/2, 3/2, 3/2) of NiO measured in magnetic phase (25°), and in the paramagnetic phase. The disappearance of the peak shows its magnetic origin. Panel b: The magnetic reflection (3/2, 3/2, 3/2) of NiO measured today at a third generation synchrotron radiation facility.

# Quantum origin of the magnetic interactions

Relativistic correction  
To the kinetic energy

Darwin term  
Do not depend on A because

$$\vec{\nabla} \cdot \vec{\nabla} = \nabla^2$$

$$\hat{H}'_{el.} = \sum_i \frac{\left( \hat{\mathbf{p}}_i - \frac{e}{c} \vec{\mathbf{A}} \right)^2}{2m} + V(\vec{\mathbf{r}}_i) - \frac{\mathbf{p}_i^4}{8m^3c^2} + \frac{e}{8m^2c^2} \nabla \vec{\mathbf{E}}$$

$$- \frac{e\hbar}{mc} \vec{\mathbf{s}}_i \cdot \vec{\mathbf{B}} - \frac{e\hbar}{2m^2c^2} \vec{\mathbf{s}}_i \cdot \vec{\mathbf{E}} \times \left( \hat{\mathbf{p}}_i - \frac{e}{c} \vec{\mathbf{A}}(\vec{\mathbf{r}}_i) \right)$$

Spin – magnetic field  
interaction

Spin-orbit  
interaction