

# ESRF OPTICAL METROLOGY APPLIED TO BENDABLE OPTICAL SURFACES

**ACTOP08**  
**Trieste Italy, October 9-11, 2008**

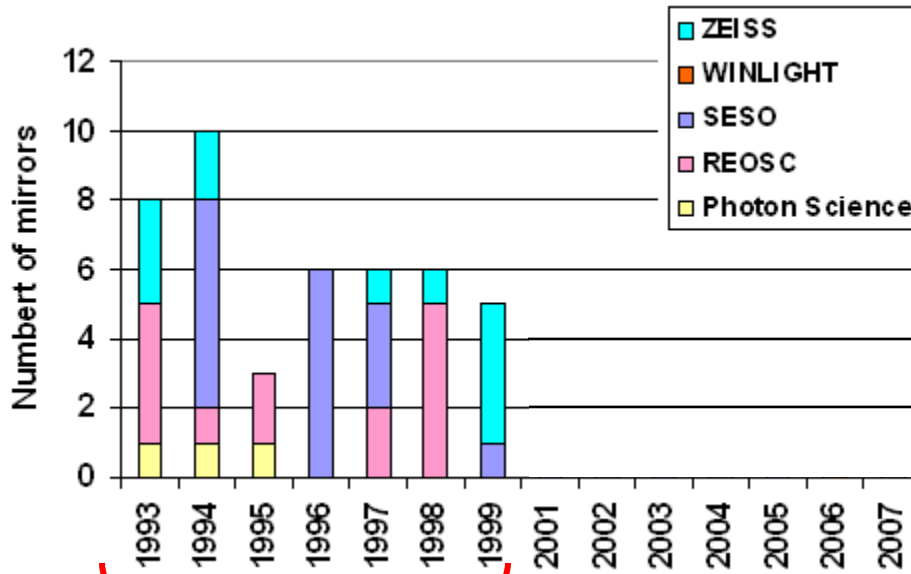
**Amparo Rommeveaux**

**Raymond Barrett**  
**Robert Baker**

# Outline

- ❖ **Overview of 15 years of metrology at the ESRF**
- ❖ **ESRF small bender devices**
- ❖ **New LTP measurement procedures and calculation methods**
- ❖ **Last KB systems for Nano-focusing applications:**
  - ❖ **Bender technology**
  - ❖ **Fixed curvature mirrors**
- ❖ **Conclusion**

# ESRF Long mirrors : length $\geq 400$ mm

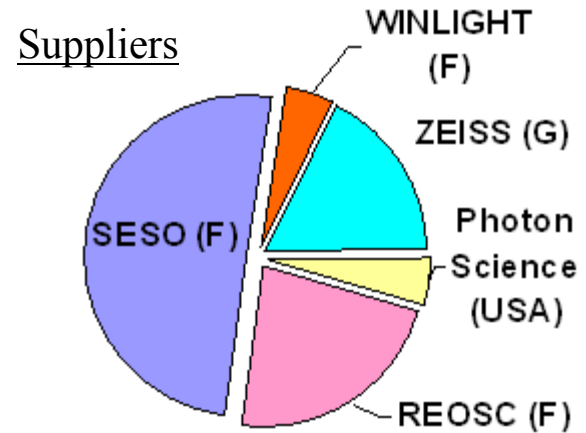


Construction Phase

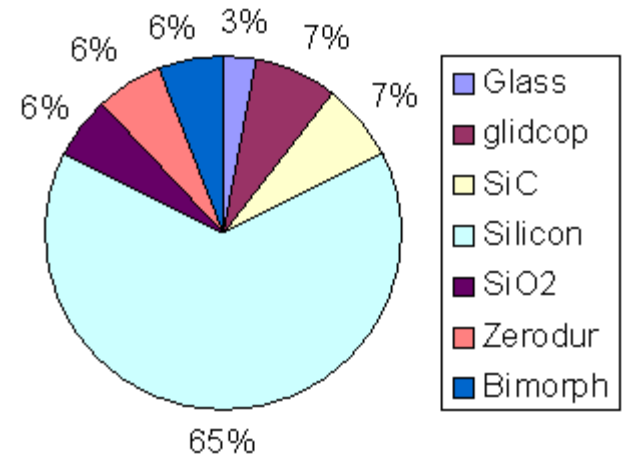
Beginning of refurbishment

Metrology characterization of:

- 65 long mirrors (46  $\geq$  700mm)
- 26 bending systems

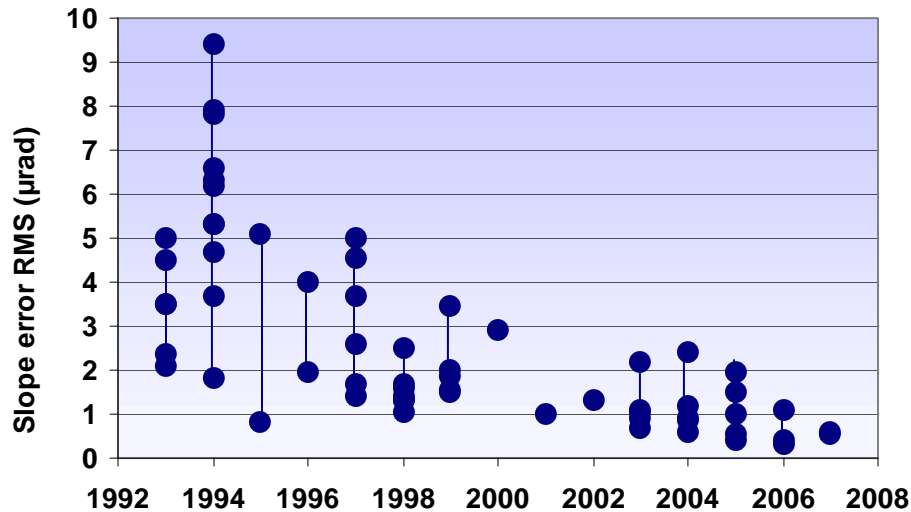


Materials



# ESRF Long Mirrors quality evolution

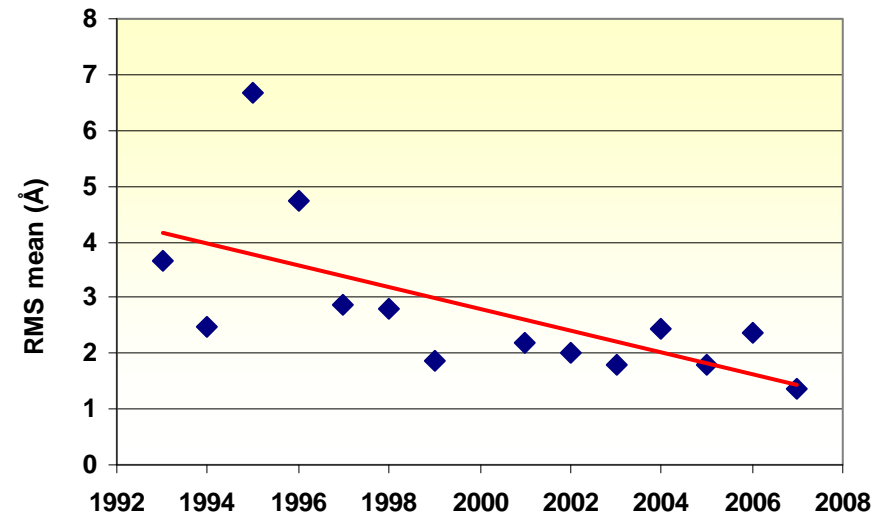
Slope errors  
LTP



## Best of slope error:

0.3  $\mu\text{rad}$  / 900 mm - Silicon  
 Mirror size 1200x100x60 mm  
 but micro-roughness  $\approx 3 \text{ \AA}$  rms

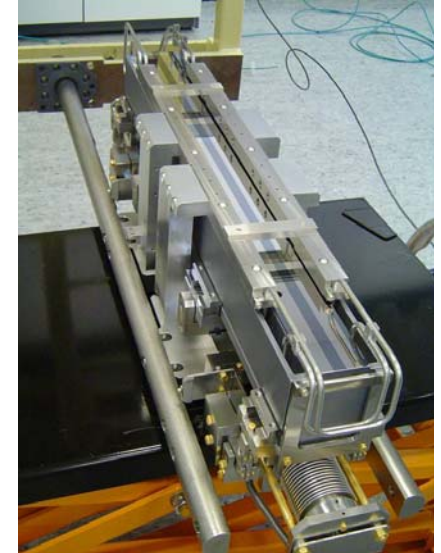
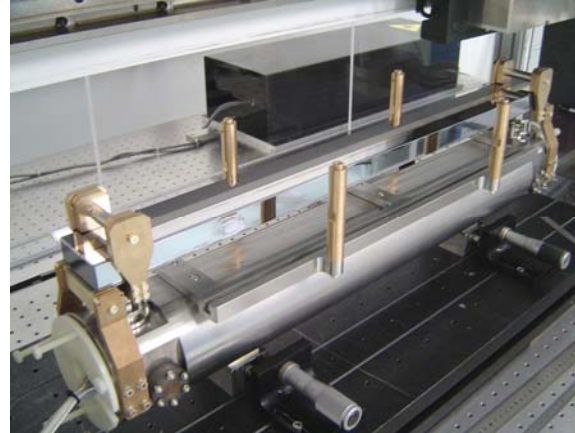
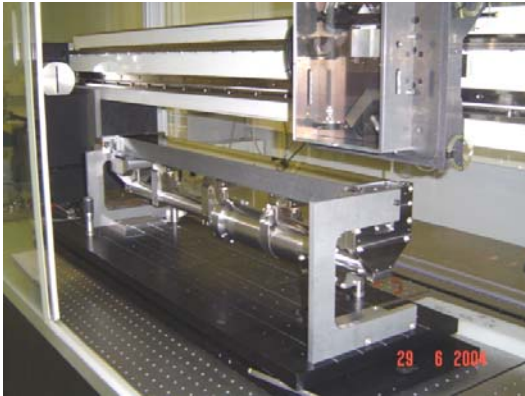
Micro-roughness  
Wyko (10X) /Micromap (5X-50X)



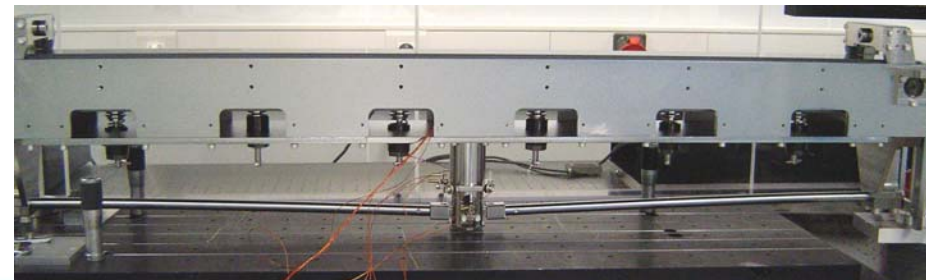
## Best of micro-roughness:

0.6  $\text{\AA}$  - Silicon mirror  
 Mirror size 700x60x27 mm  
 but slope error  $\approx 2 \mu\text{rad}$  rms

# LTP characterization of Long mirror benders

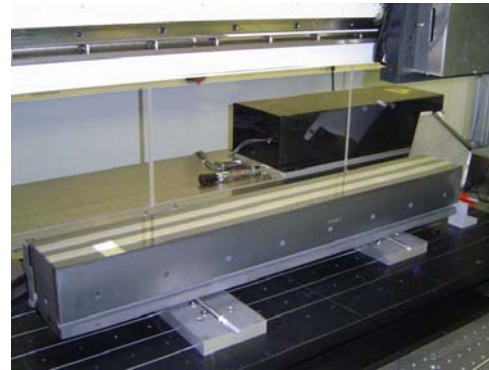
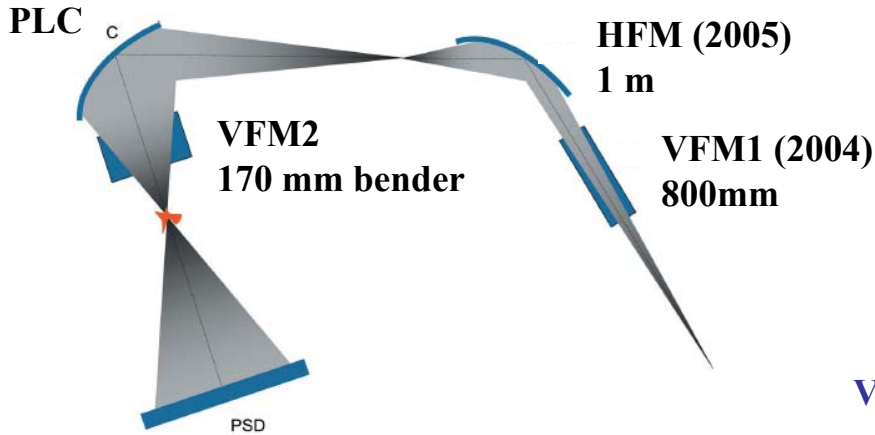


1. Mirror intrinsic slope error characterization (out of bender)
2. Mirror clamped on mechanics:
  - Evaluation of deformation induced by mechanics and correction if possible
  - Adjustment, optimization of gravity compensators
  - Verifying safety switches, range of curvature
3. Hysteresis cycles
4. Long term stability



# Refurbishment: ID24 beamline

Energy dispersive/X-ray Absorption Spectroscopy (ED-XAS)

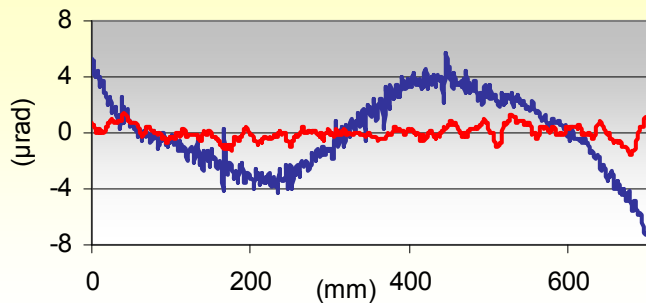


VFM1 (1995) :graphite +SiC- flat



VFM1 (2004): Silicon - flat

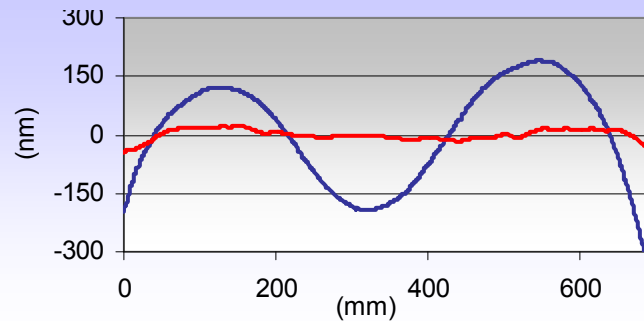
## Residual slopes to best sphere



1995 : 2.7  $\mu\text{rad}$  rms

2004 : 0.5  $\mu\text{rad}$  rms

## Residual heights to best sphere



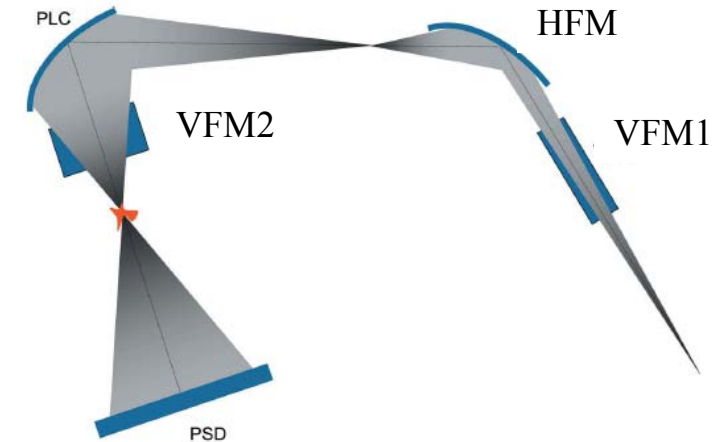
1995 : 137 nm rms

2004 : 14 nm rms

2005  
HFM replacement:  
flat mirror + bender  
↓  
Elliptical mirror

# Refurbishment: ID24 beamline

## Energy dispersive/X-ray Absorption Spectroscopy (ED-XAS)

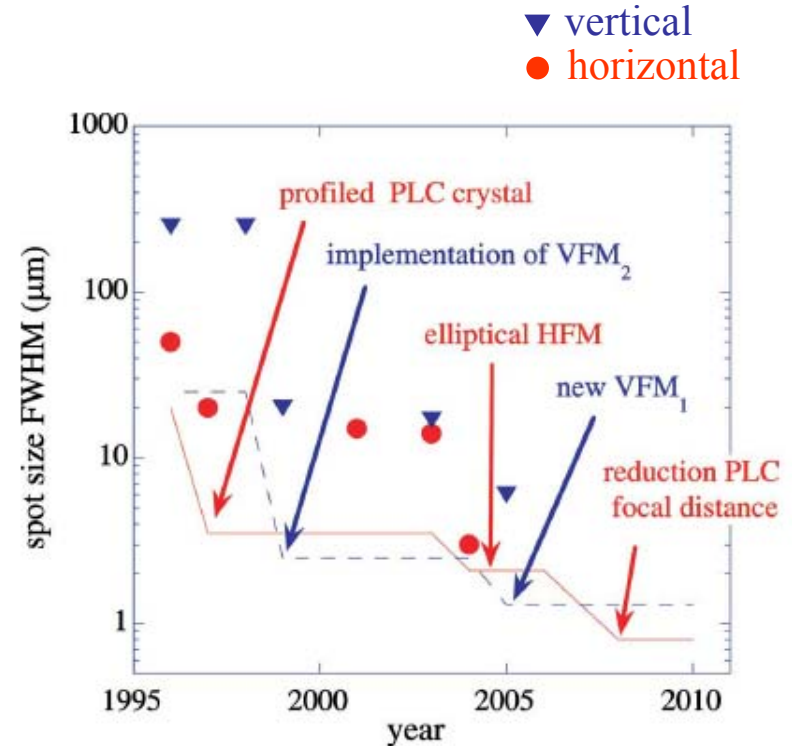


	Shape	Slope error ( $\mu\text{rad}$ ) FWHM	$p$ (m)	$q$ (m)	$q/p$
VFM <sub>1</sub>	Cylindrical	1.2	30	41	1.367
HFM	Elliptical	3.3	32.5	1.65	0.051
PLC	Elliptical	1.2	29.85	1.3	0.044
VFM <sub>2</sub>	Elliptical	1.2	6	0.3	0.050

1995 : 50  $\mu\text{m}$  x 110  $\mu\text{m}$

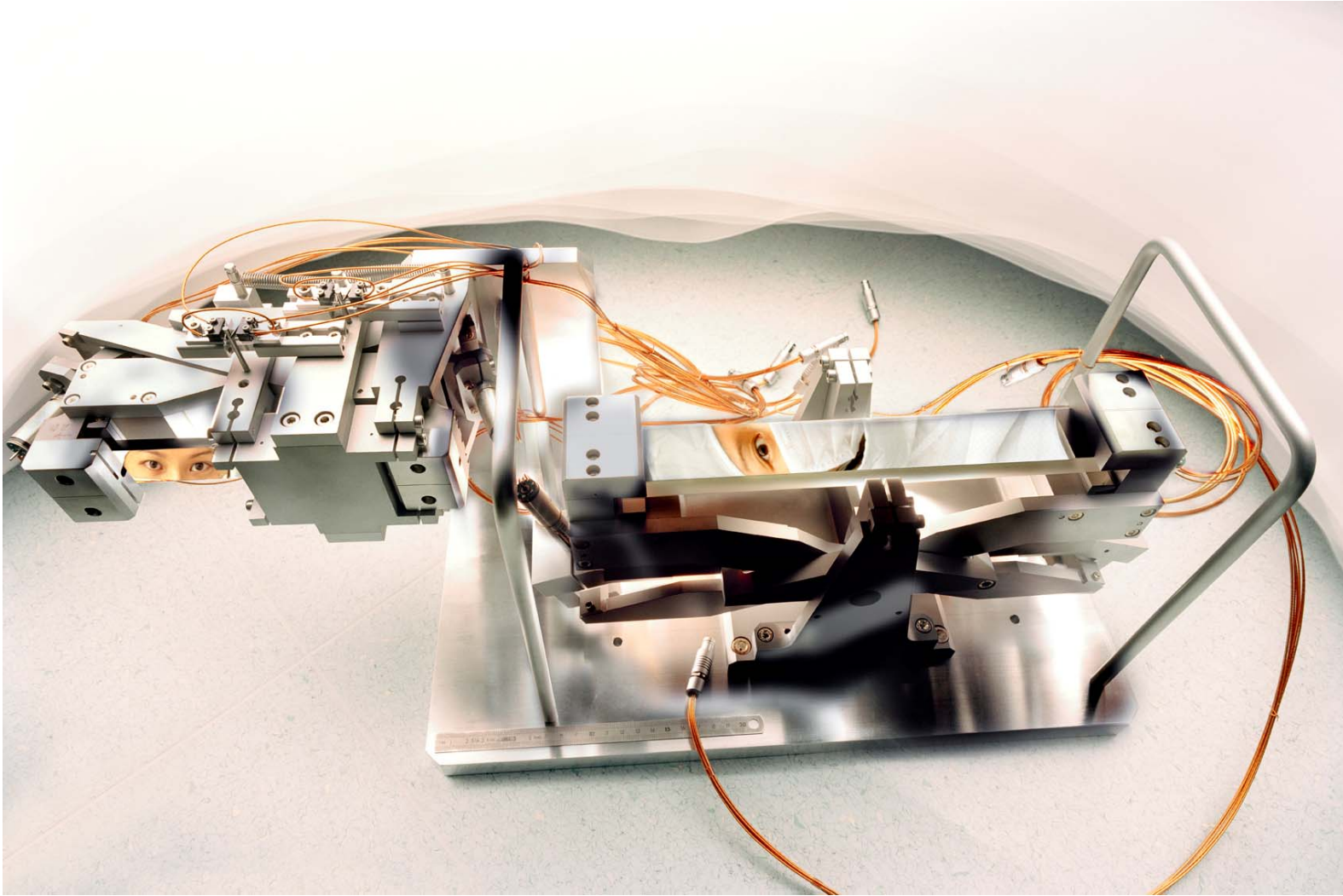
**2006 : 2  $\mu\text{m}$  x 5  $\mu\text{m}$**

Significant spot size FWHM improvement !



“Energy-dispersive absorption spectroscopy for hard-X-ray micro-XAS applications”, S. Pascarelli et al., J. Synchrotron Rad. (2006). 13, 351–358

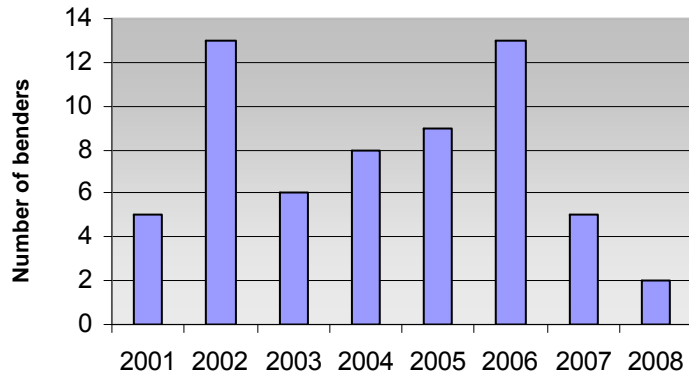
# Kirkpatrick-Baez systems at ESRF





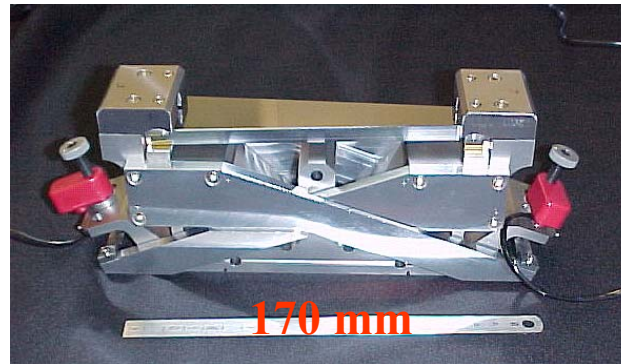
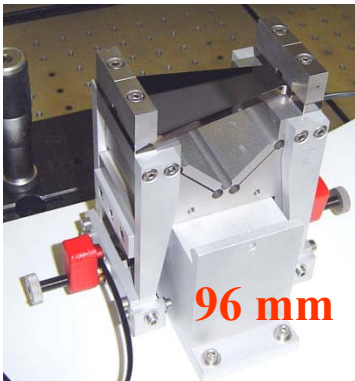
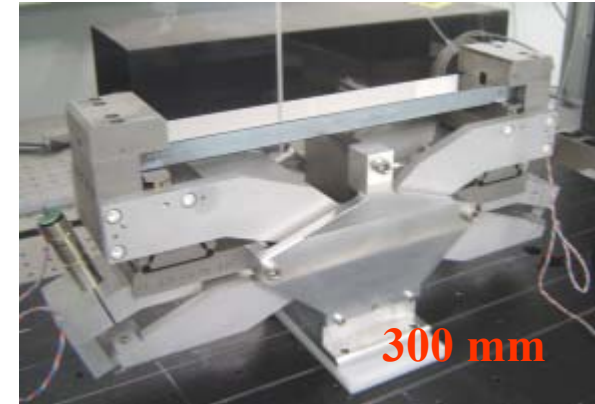
# KB development at ESRF

Since 2001: 61 benders tested and pre-shaped at the metrology lab  
22 with multilayer coatings



Different configurations:

- Single bender (13)
- KB 170-170 (8)
- KB 170-96 (8)
- KB 170-300 (3)
- KB 300-300 (5)



Spot size

ID23	5 x 7 $\mu\text{m}$
ID27	2 x 4 $\mu\text{m}$
ID13	0.3 x 0.4 $\mu\text{m}$
ID22	76 x 84 nm
ID19	45 nm in Vertical Graded multilayer *

Spot size achieved is generally close to geometrical limit (i.e. not limited by optics aberrations)

\* O.Hignette et al., AIP Conf Proc.- January 19, 2007 - Volume 879, pp. 792-795

# Metrology for thermal stability studies on KB

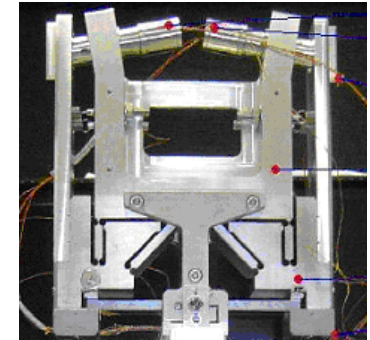
ID08 example: UHV bender

$$\left. \begin{array}{l} p=3 \text{ m} \\ q=5 \text{ m} \\ \Theta=28 \text{ mrad} \end{array} \right\} R_c = 137 \text{ m}$$

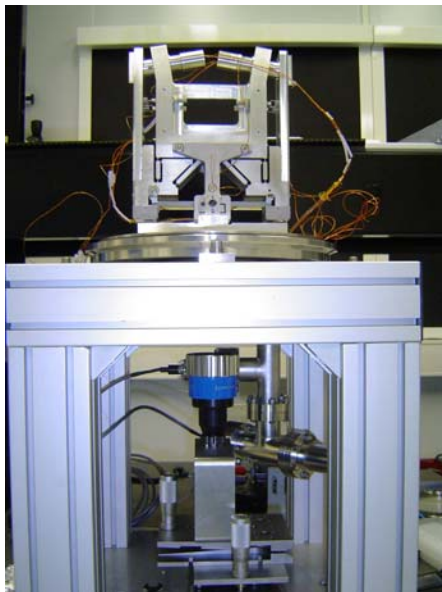
Focused beam instability over 24 hours :

- spot position drift :  $>300 \mu\text{m}$
- spot size increase:  $70\mu\text{m} \rightarrow 500\mu\text{m}$

Ex-situ evaluation of bender to determine origins of instabilities

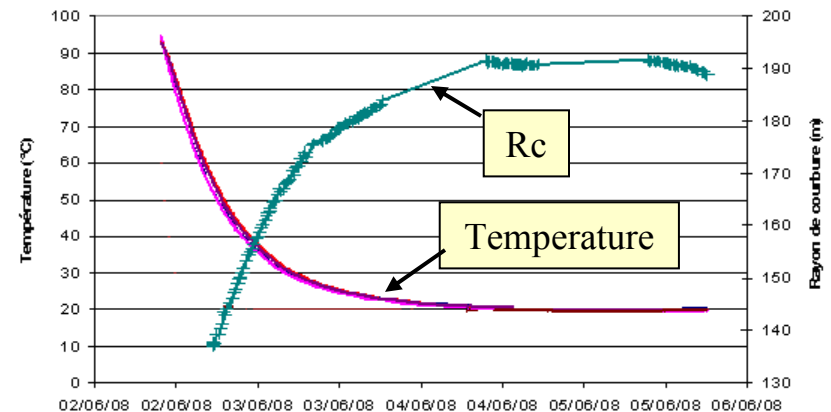


Software development for automated control of Fisba acquisitions



Monitoring  $R_c$  and temperature:

- 2.5 days to return to room temperature
- Mirror relaxing : 50 m ( $R_c=191\text{m}$ )



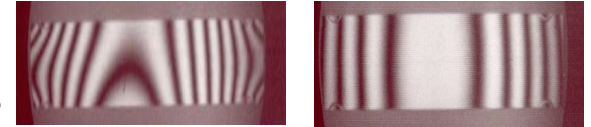
Time to reach stability defined

Setup with FISBA interferometer + 8 thermocouples

Setting high vacuum  $2.10^{-8}\text{mbar}$   
6 days – baking up to  $120^\circ \text{C}$

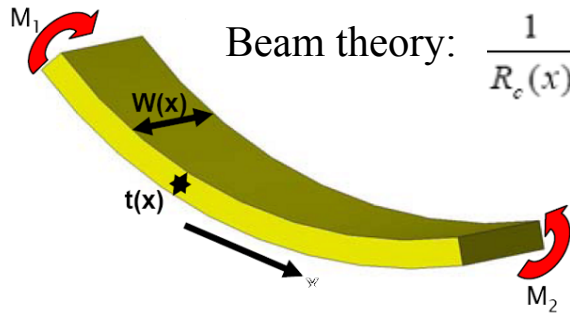
# ESRF KB technology improvements

✓ Mirror clamping:  
significant improvement of the interface mirror/mechanics



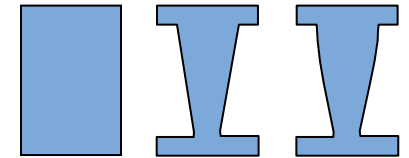
✓ Mirror width profile optimization mandatory for strong aspherizations

Beam theory:  $\frac{1}{R_c(x)} = \frac{M(x)}{EI(x)}$       $I(x) = \frac{W(x)t^3(x)}{12}$       $R_c = \frac{2}{\sin \theta} \left( \frac{pq}{p+q} \right)$

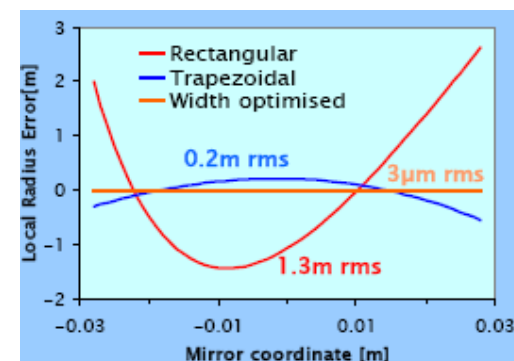
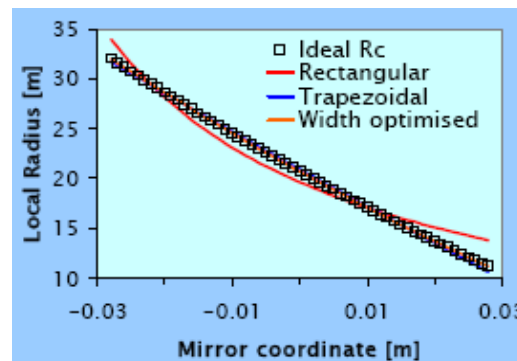


$R_c(x)$  = radius of curvature  
 $I(x)$  = moment of inertia  
 $E$  = Young's modulus

$W(x)$  = beam width  
 $t(x)$  = beam thickness



$p=36$  m  
 $q=0.083$  m  
 $\Theta=8$  mrad



Limitation: optimised for only one incidence angle

# Quality highly dependent on optics

New generation of hard X-ray mirrors used in KB configuration:

- Short focal distance (tens of mm)
- Strong radius of curvature variation along meridian
- Shape error better than 1 nm P-V

Development of manufacturing techniques:

- Ion Beam Figuring (ZEISS, REOSC, ESRF...)
- Magneto-rheological finishing (SESO...)
- Differential coating (APS, Osaka University)
- Computer controlled optical surfacing (Tinsley Corp. ...)
- Elastic Emission Machining (Osaka University- JTEC)

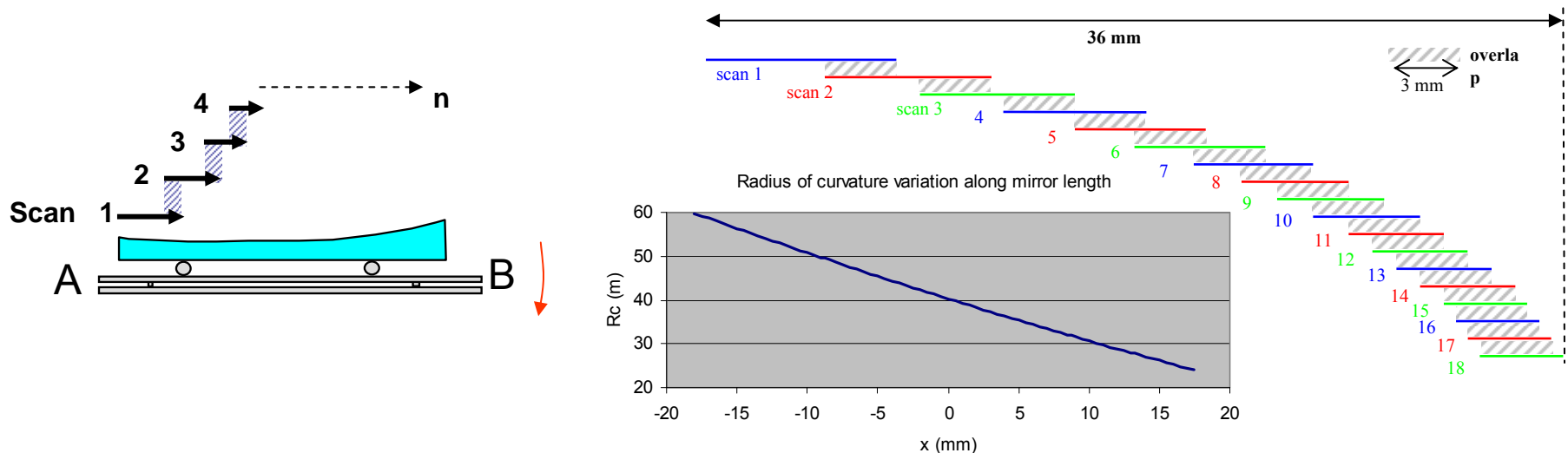
**These techniques of deterministic surface correction require accurate metrology.**

# Measurement procedures : ESRF LTP stitching method

The idea is to split the scan line AB in short scans overlapped with:

1. small and constant angular deviation for each of them
2. constant spatial overlap

Before each scan, the mirror is tilted to reproduce similar LTP beam path over optical components.  
Mirror slope profile is reconstructed by stitching all scans together.

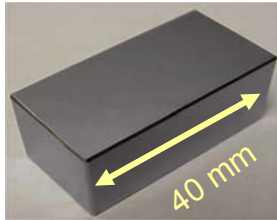


➡ Reduction of LTP optics contribution

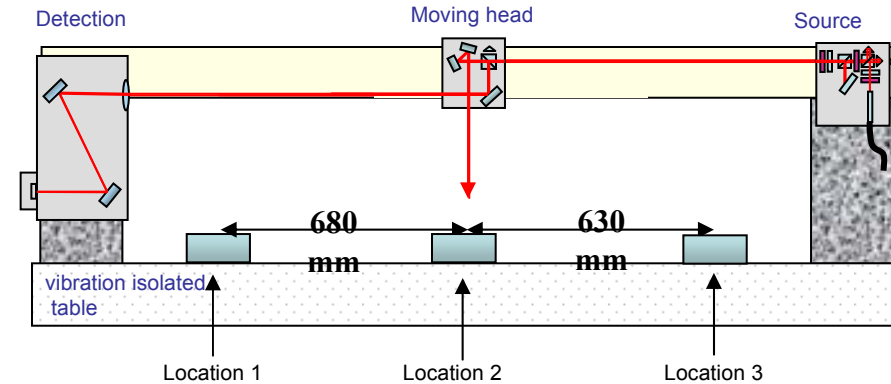
This procedure fully automated is quite fast

# Illustration of ESRF LTP stitching results

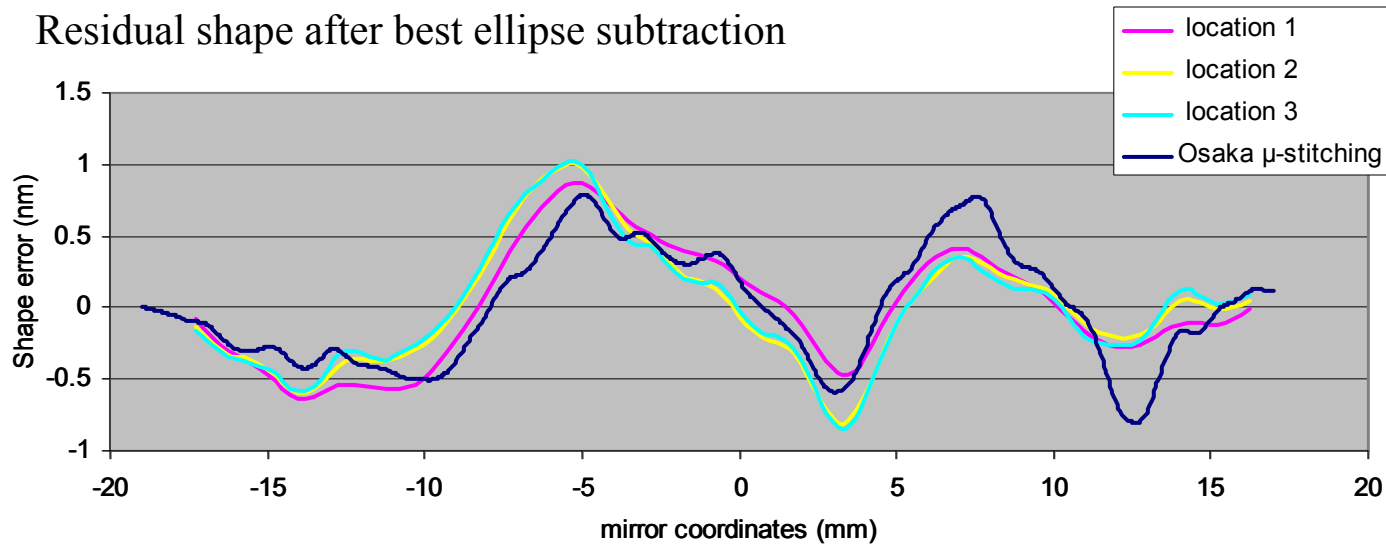
Mirror : SN1 provided by APS for the second 3big-RR  
 Design parameters:  $p=60$  m,  $q=60$  mm,  $\theta=3$  mrad



Slope error =  $0.26 \mu\text{rad rms}$   
 Shape error =  $0.42 \text{ nm rms}$



Residual shape after best ellipse subtraction

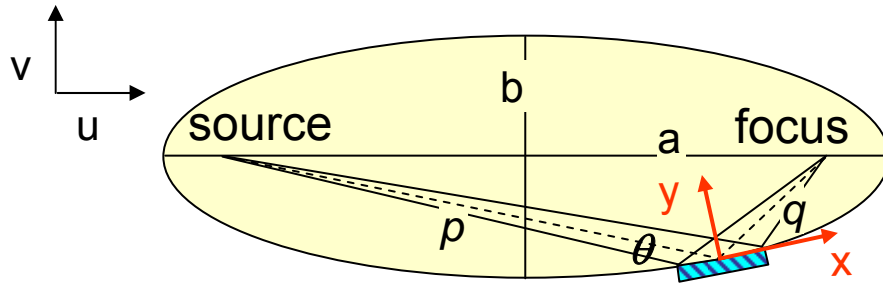


➡ Agreement better than 0.5 nm peak to peak with micro-stitching interferometry result

# Ellipse analytical description

Round-Robin on aspheric optics: APS/ SPring-8 / ESRF (SPIE Proc, vol. 6704, 2007 )

Ellipse definition in the (u,v) coordinates system:



$$\frac{u^2}{a^2} + \frac{v^2}{b^2} = 1$$

$$a = \frac{p+q}{2}$$

$$b = \sqrt{pq} \sin \theta$$

$\left\{ \begin{array}{l} p: \text{Source distance} \\ q: \text{Focus distance} \\ \theta: \text{Incidence angle} \end{array} \right.$

In the (x,y) coordinates system the equation of the ellipse figure is given by:

$$y(x) = -\cos \mu \times \left[ \sqrt{b^2 - b^2 \times \left( \frac{x \cos \mu + x_0}{a} \right)^2} - y_0 \right] + x \times \cos \mu \times \sin(-\mu)$$

$x_0, y_0$ : coordinates of mirror center / ellipse center

$\mu$ : slope at the center of mirror in u,v coordinate system

Theoretical slope of ellipse is the derivative of ellipse figure equation

Optimization of  $q$  and  $\Theta$  to minimize the RMS of residual slopes is necessary whereas slope errors are dominated by defocus !

# Errors related to polynomial approximation

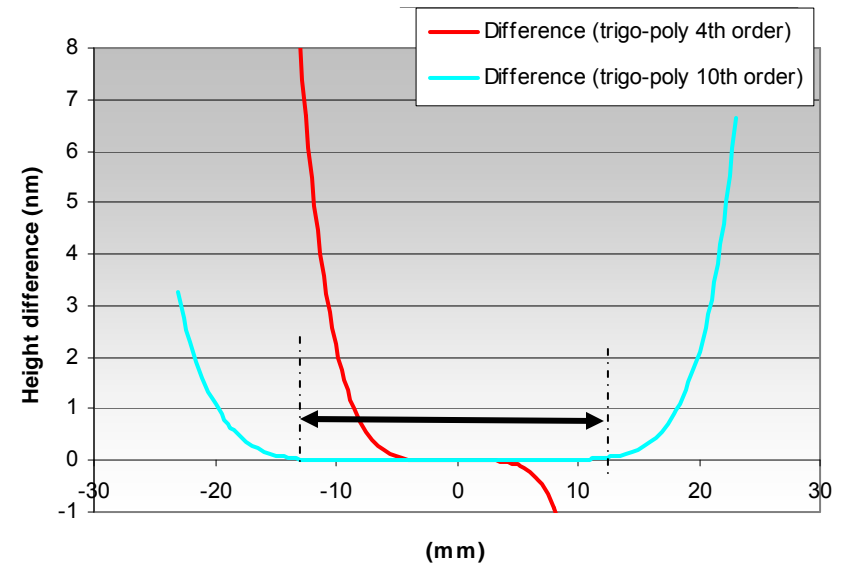
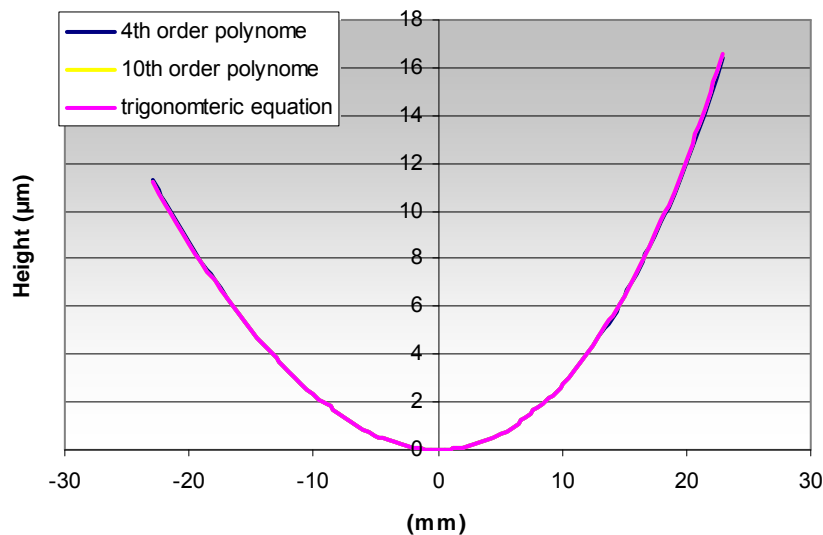
For large radius of curvature, approximation by a polynomial is acceptable  
 But not for strongly curved surfaces

$$\left. \begin{array}{l} p=59.5 \text{ m} \\ q=0.06 \text{ m} \\ \Theta=6 \text{ mrad} \end{array} \right\} R_c \approx 20 \text{ m}$$

**4<sup>th</sup> order:** SUSINI, "Design parameters for hard X-Ray mirrors: The European Synchrotron Radiation Facility case", *Optical Engineering* - Volume 34, Issue 2, pp. 361-376 (1995)

**10<sup>th</sup> order:** HOWELLS, "Theory and practice of elliptically bent X-ray mirrors", *Optical Engineering* - Volume 39, No10, pp. 2748-2762 (2000)

Theoretical ellipse profiles



4<sup>th</sup> order : valid on 20% of length  
 10<sup>th</sup> order: valid on 50% of length



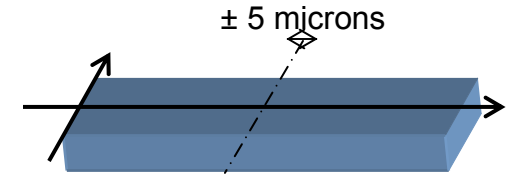
# Precision required on mirror coordinates

- Center of mirror definition: LTP encoder + fringe pattern detection  
repeatability achieved  $\pm 6$  microns

Mirror coordinates are equally interleaved

Values are rounded to a tenth of mm

(can get better with encoder feedback but not used up to now!)



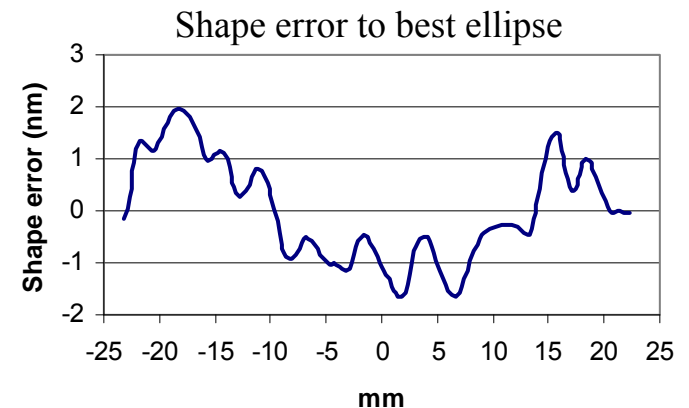
What is the consequence of an uncertainty in the determination of X mirror coordinates of the order of a tenth of a micron ?

Numerical example :

$$\left. \begin{array}{l} p=59.5 \text{ m} \\ q=0.06 \text{ m} \\ \Theta=6 \text{ mrad} \end{array} \right\} R_c \approx 20 \text{ m}$$

Starting from an LTP measurement with a sampling step of 0.5 mm

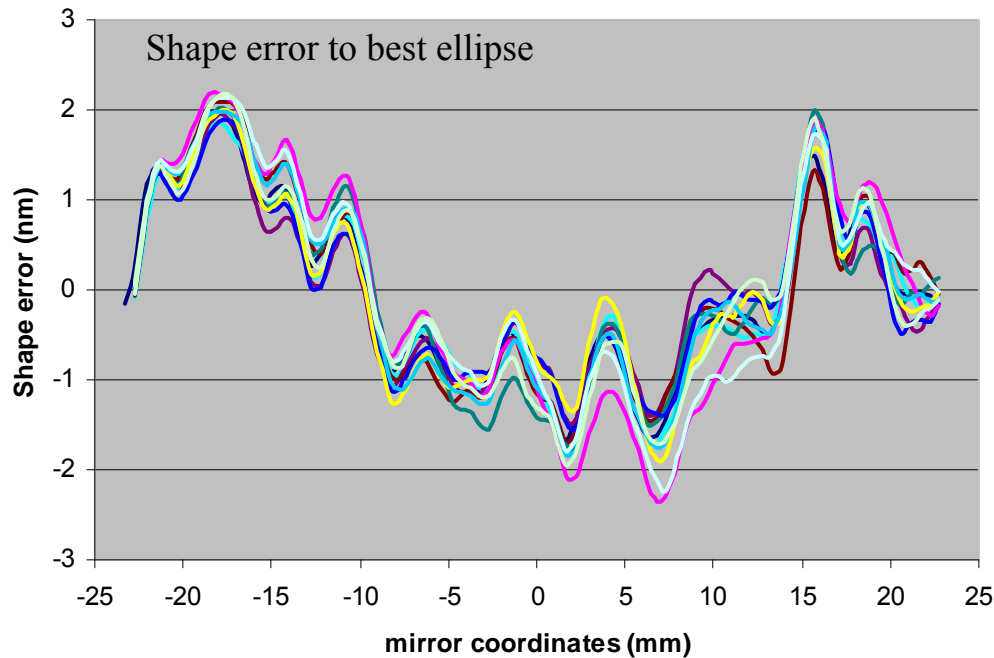
- X position array rounded to tenth of mm
- Slope array



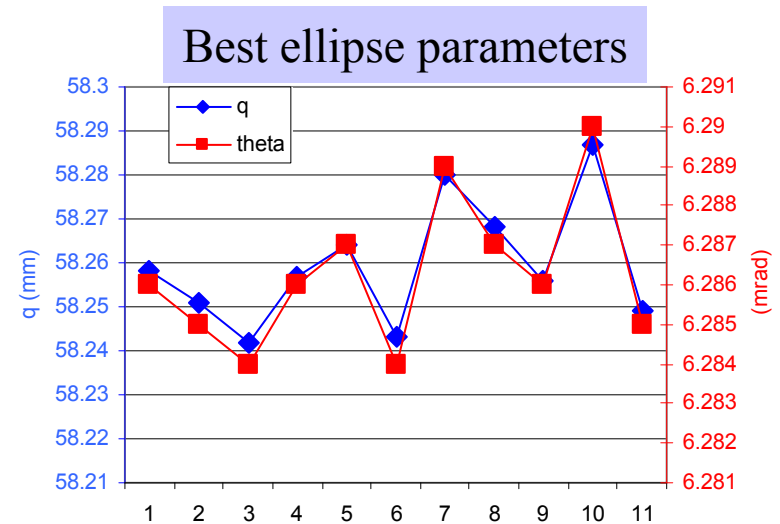
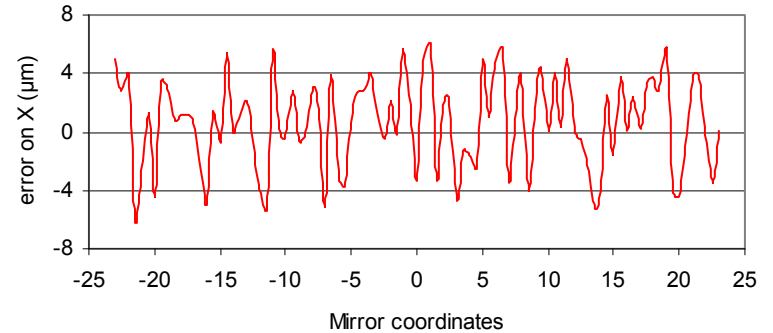
Now, random noise is added to mirror coordinates array

# Precision required on mirror coordinates

Random noise added to mirror coordinates array  
 amplitude  $\pm 6$  microns  
 Applied 10 times



Discrepancy: up to 1 nanometer peak to peak !



focal distance :  $45\mu\text{m}$

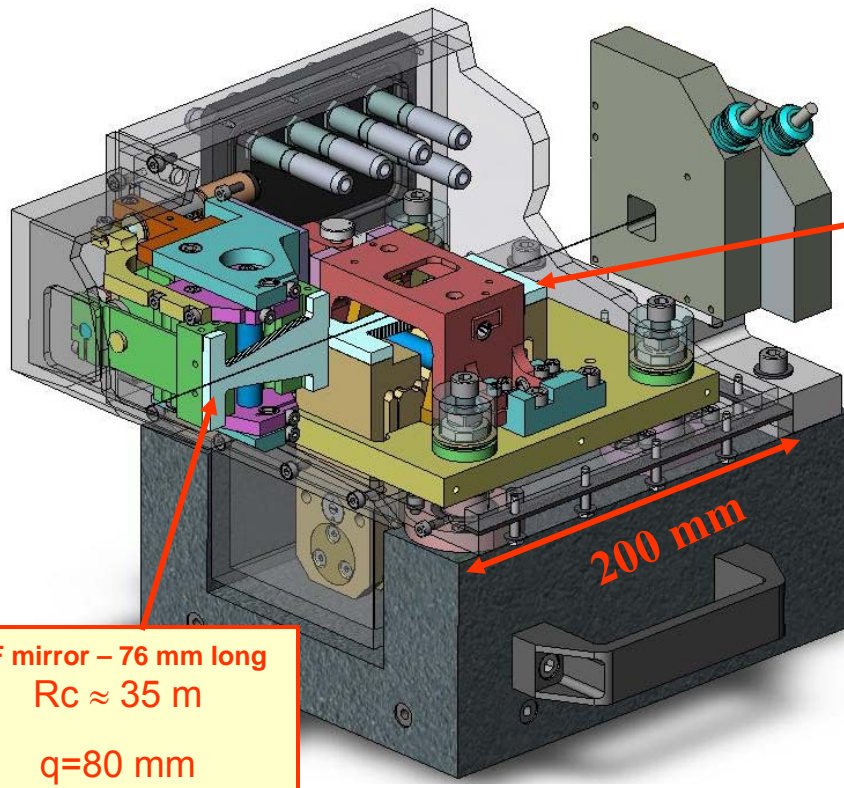
Incidence angle :  $6\mu\text{rad}$

Ratio  $p/q = \text{constant}$

# Nano-focusing: ESRF 'state of the art' KB system

## Compact Dynamic KB ID13 Microfocus

**Spot size goal : 200nm x 200nm**



VF mirror  
112mm long  
 $R_c \approx 72 \text{ m}$   
 $q=177\text{mm}$

HF mirror – 76 mm long  
 $R_c \approx 35 \text{ m}$   
 $q=80 \text{ mm}$

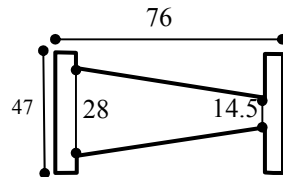
- Flex arm bender design
- Width profiled mirrors  
VF Shape error rms : 3.2 nm rms  
HF Shape error rms : 6.9 nm rms
- Bonding optics technology
- All invar construction
- Online orthogonality adjustment

High flux measured :  $10^{11}$  photons  
Stable curvature over several days

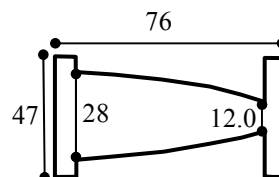
**Spot size achieved : 300nm x 250nm**  
**Due to non-optimal mirror profile...**

# Compact Dynamic KB : Mirror profile width influence

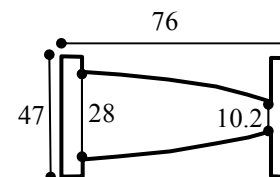
Horizontal Focusing Mirror : 3 different width profile tested



GO mirror



CS#3



LC mirror

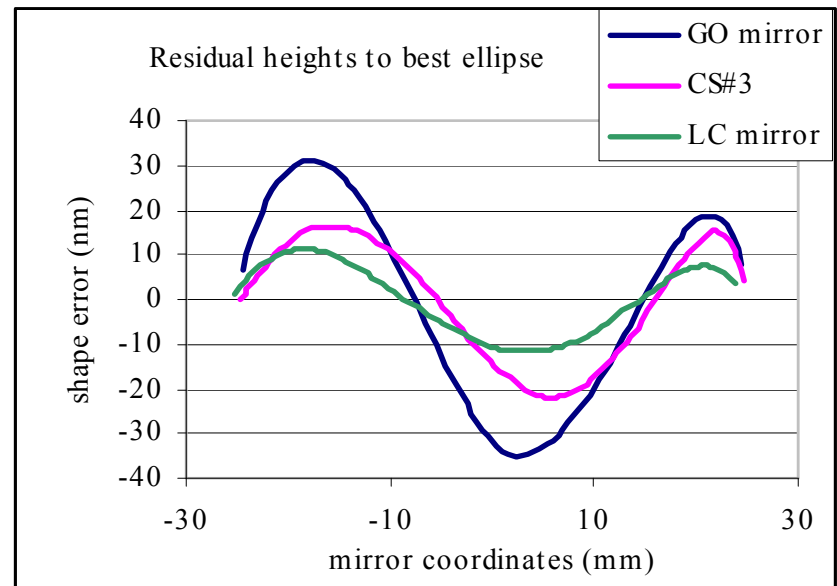
Ellipse parameters:

$p = 98\text{m}$ ,  $q = 80\text{mm}$  and  $\theta = 4.4\text{ mrad}$

scan length (mm)	slope error rms / best ellipse	shape error rms / best ellipse
50 mm	1.4 $\mu\text{rad}$	6.9 nm
40 mm	0.35 $\mu\text{rad}$	1.4 nm

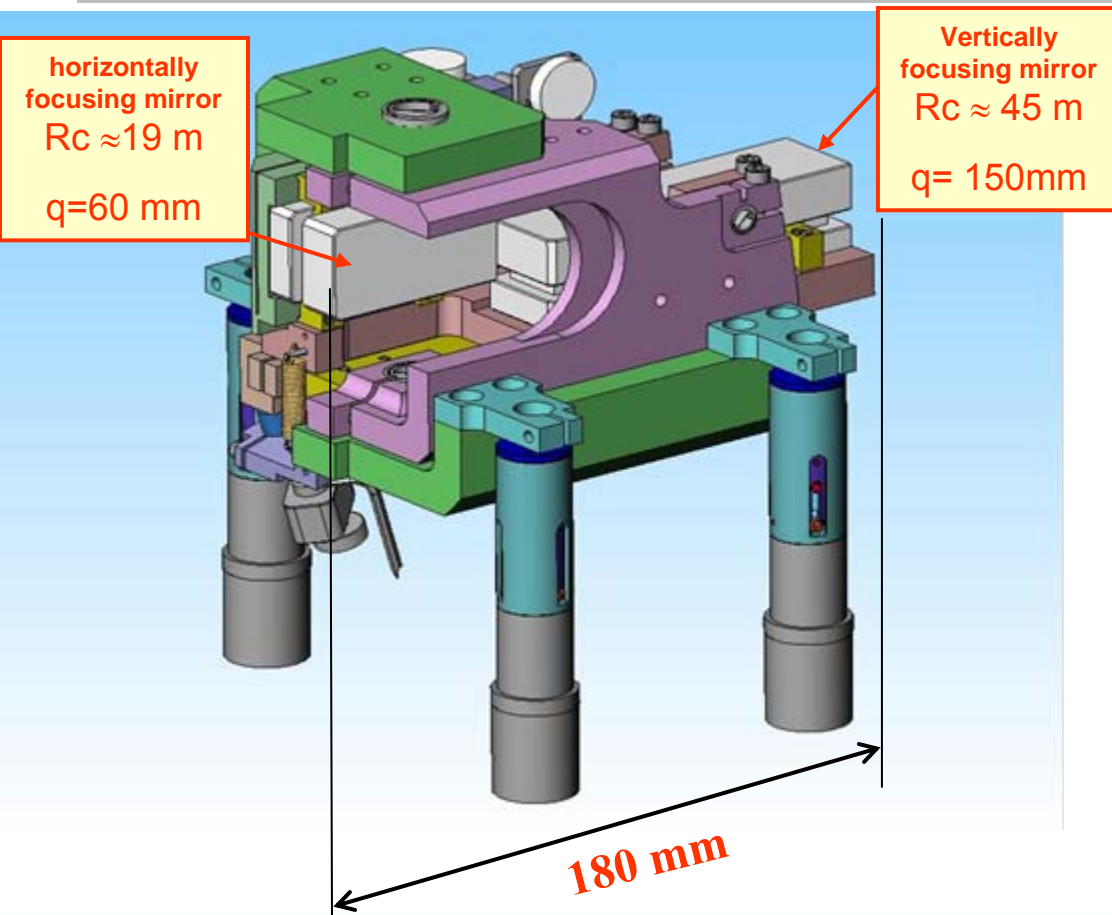
Optimal width not achieved:

- manufacturing errors ?
- bad model ?



# Nano-focusing: ESRF 'state of the art' KB system

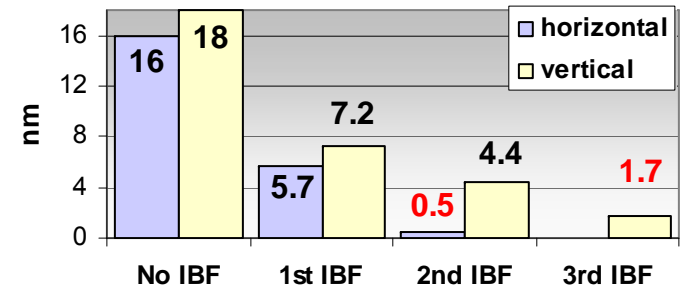
## Compact fixed curvature KB ID21 X-ray Microscopy



**Spot size goal : 200nm x 200nm**

- 110mm / 60mm IBF optics (ZEISS)
- 2/3 IBF iterations: roughness < 3Å

Shape error RMS evolution



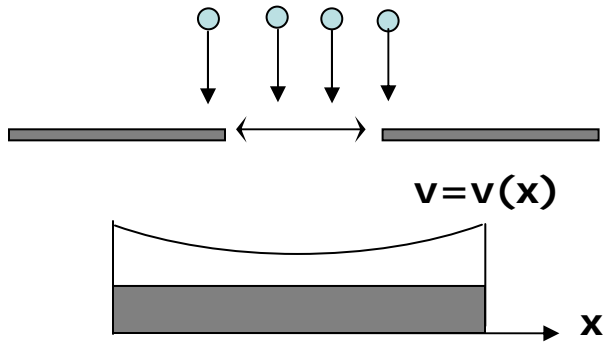
- All invar construction
- UHV Picomotors
- Invar body capacitive sensors

# Ion Beam Figuring at ESRF

Approach # 1: direct surfacing

Gap scans

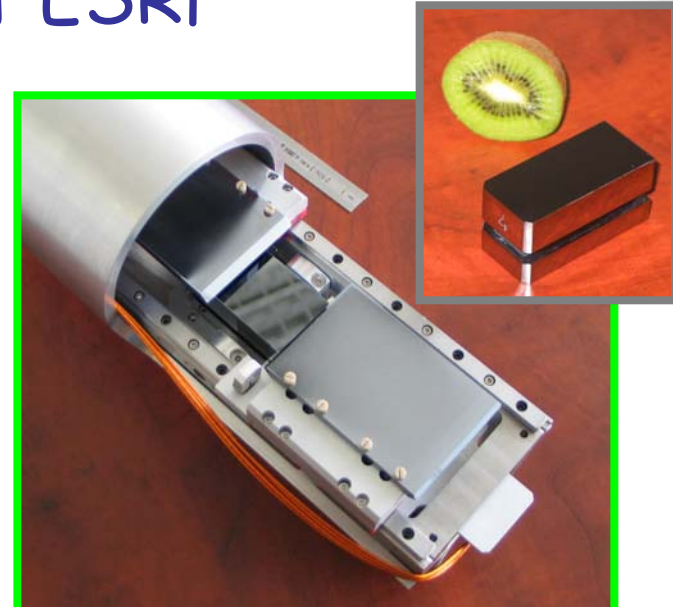
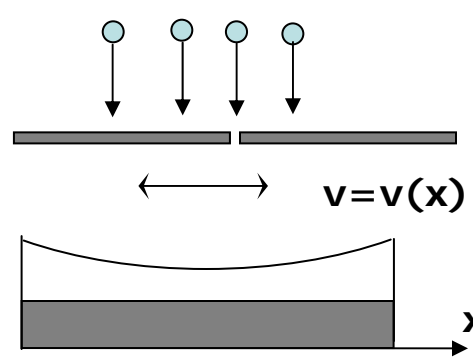
2 blades - online metrology absent



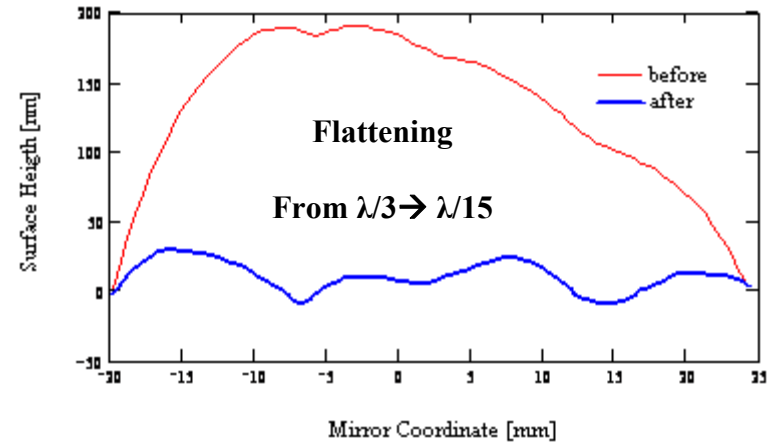
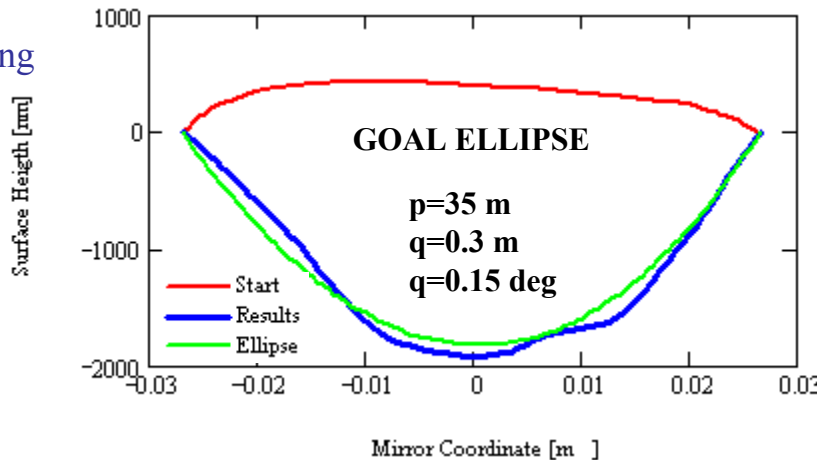
Approach # 2: figure correction

Offset scans

2 blades - online metrology



Direct surfacing results



Good Shape but slope errors still too high ( $\sim 30\mu\text{rad}$ )

# Summary

- Long mirror optical surface quality has been really improved over 15 years
- Micro-roughness still limited above 1 Å rms for flat mirrors and higher values for cylinders
- Today, KB development at ESRF is oriented towards nano-focusing needs with particular emphasis on stability issues.
- Due to compacity criteria, new approaches of KB are investigated:
  - bonded technology in dynamic KB
  - fixed curvature mirrors prefigured by IBF or differential coating (APS-ESRF)
- Optical metrology has also been improved:
  - development of LTP measurement procedures
  - Round-Robin activity
- Metrology plays a key role in all these developments.

# Acknowledgements

- L. Assoufid (APS, USA) and H. Ohashi (SPring-8, Japan)
- Sakura Pascarelli (ESRF)
- Manfred Burghammer (ESRF)
- Luca Peverini (ESRF)
- Francois Perrin and Pierre Bandois (ESRF)

*Thank you for your attention !*